



Late Pliocene temperatures and their spatial variation at the southeastern border of the Qinghai–Tibet Plateau



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ABSTRACT

It is widely accepted that the late Pliocene spans a time with globally warmer conditions compared to today. Regional specifics in temperature patterns from this period, however, remain poorly known. In this study, we reconstruct quantitatively late Pliocene climates for eight sites at the southeastern border of the Qinghai–Tibet Plateau (SBTP), based on palaeobotanical data compiled from published sources using the Coexistence Approach (CoA), and analyze anomalies with respect to modern climates. The reconstructed temperatures indicate that in the late Pliocene, the northwestern part of the study area was cooler than its southern part. This spatial differentiation in temperature was largely due to differences in altitude: the northwest of the SBTP probably had higher altitudes than the south at that time. Mean annual temperatures (MATs) were around 1 °C higher than today, suggesting a cooling trend since the late Pliocene. Our data show that summer temperatures have declined significantly since the late Pliocene while winter temperatures have remained similar to those of the present, different from observations in other territories. The unexpected summer and winter temperature changes can be explained by the regional orogenic uplift plus the global cooling. The eastward extrusion of the Qinghai–Tibet Plateau might have blocked the southward cold high pressure of the winter monsoon and forced it to circumvent the eastern flank of the plateau, weakening its impact on the SBTP. The post-Pliocene mountain uplift increased the overall altitude of the region, which caused the temperature decline for both summer and winter. The reconstructed summer precipitation was lower while the winter precipitation was higher than today, suggesting a weaker monsoon climate during the late Pliocene.

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1. Introduction

The late Pliocene epoch spans a time when the Earth experienced a transition from warm conditions to the Pleistocene ice-house. Temperature reconstructions at the global scale consistently indicate a warmer late Pliocene compared to today (Zachos et al., 2001; Dowsett et al., 2009; Salzmann et al., 2011). Regional observations in temperature patterns from this time period, however, are not yet well known.

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In recent years, the southeastern border of the Qinghai–Tibet Plateau (SBTP) has become the focus of several studies regarding its climates during the late Pliocene (Xu et al., 2004; Kou et al., 2006; Sun et al., 2011; Xie et al., 2012; Yao et al., 2012; Su et al., 2013). This region experienced dramatic changes in topography and climate throughout the late Cenozoic associated with the uplift and expansion of the Qinghai–Tibet Plateau (Kutzbach et al., 1993; Ge and Li, 1999; Schoenbohm et al., 2006; Westaway, 2009; Zhang et al., 2012). Eastward and southeastward extrusions of the Qinghai–Tibet Plateau occurred during the later stages of the Cenozoic, causing mountain uplift to the north of the SBTP (England and Houseman, 1989; Clark and Royden, 2000; Williams et al., 2001; Zhang and Ding, 2003; Shen et al., 2005; Westaway, 2009). Intense orogenic processes also triggered uplift

of various parts of the SBTP region itself since the Pliocene (Schoenbohm et al., 2004, 2006; Shen et al., 2005). Furthermore, the uplift of the Qinghai–Tibet Plateau had a huge impact on the surrounding atmospheric circulation and led to the establishment and intensification of the Asian monsoon climate in South and East China: summer rainfall increased while winter precipitation decreased (Zheng et al., 2004; Harris, 2006; Biasatti et al., 2010). The combination of mountain uplift and monsoon intensification makes the SBTP an interesting key region to study the regional climates of the late Pliocene.

The SBTP comprises a series of isolated sedimentary basins, many of which yield well-exposed Neogene sediments containing plant fossil remains (Ge and Li, 1999). Around 20 Neogene floras have been well documented from this region, of which several are late Pliocene in age (e.g., Tao, 1986; Ge and Li, 1999; Zhou, 2000; Xu, 2002; Xu et al., 2003, 2004; Wang and Shu, 2004; Kou et al., 2006; Su et al., 2013). During the last decade, palaeoclimate data for some of those late Pliocene floras were reconstructed quantitatively (Xu, 2002; Xu et al., 2004; Kou et al., 2006; Sun et al., 2011; Yao et al., 2012; Su et al., 2013). These palaeoclimatic reconstructions consistently show warmer conditions in the late Pliocene than at present (e.g., Kou et al., 2006; Sun et al., 2011; Su et al., 2013). Mostly published as case studies, they demonstrate their capability to reveal the late Pliocene climatic regime at a given locality. However, the spatial variation of temperatures at that time which existed as a function of great geological and topographical complexity that characterized the region has not been studied. In addition, the patterns of summer and winter temperature changes since the late Pliocene have not been fully investigated.

In this study, we reconstruct quantitatively the palaeoclimates of eight late Pliocene floras from the SBTP that provide sufficient taxonomical information for the Coexistence Approach (CoA) proxy and are adequately dated. Palaeoclimates of three of the floras are reconstructed for the first time, and those of the remaining five floras are re-evaluated based on taxonomical data presented in previous studies. All the reconstructed palaeoclimates are compiled to achieve a clearer view of the late Pliocene temperature patterns of this region. They are compared with those of the present day to observe the temperature changes since the late Pliocene. The late Pliocene monsoon climate is also observed based on the reconstructed summer and winter precipitations.

2. Material and methods

2.1. Study area, fossil sites and ages

In this study, eight late Pliocene floras, including both mega- and microfossil assemblages, from the SBTP are compiled from published sources (Table 1 and Fig. 1). These floras contain at least 15 fossil taxa at the generic level, except for Lanping which contains only 10 fossil genera. The floras are well documented with regard to their stratigraphy and age (Table 1). Here, we use the age units based on the updated stratigraphic chart by Ogg (2012). The Miyi, Lanping and Eryuan floras belong to the Sanying Formation which is widely developed in the northwest of the SBTP region (WGYRGS, 1978; YBGMR, 1990). The Sanying Formation is dated as late Pliocene based on lithostratigraphy and biostratigraphy (Ge and Li, 1999), mammalian fossils (Su et al., 2011), and magnetostratigraphy (≈ 3.6 Ma, Li et al., 2013). The Yuanmou flora belongs to the Gantang Formation, which is determined as late Pliocene based on lithostratigraphic and biostratigraphic correlations (Liu et al., 2002; Yao et al., 2012). The Zhujie flora is referred to the Ciyang Formation, which is constrained as late Pliocene based on lithostratigraphic correlations

Table 1
Eight late Pliocene floras in the SBTP, including information about location, geological age, dating method, fossil type and number of fossil taxa.

Flora	Location	Altitude (m)	Age	Formation	Time dating method	Fossil type	Number of Taxa (genera)	References
1. Miyi	102°01'E, 26°58'N	1150	Late Pliocene	Sanying	Lithostratigraphy, biostratigraphy	Leaf	28	Zhou (2000)
2. Lanping	99°26'E, 26°28'N	2740	Late Pliocene	Sanying	Lithostratigraphy, biostratigraphy, magnetostratigraphy	Leaf	10	Tao (1986) and Huang (2012)
3. Eryuan	99°49'E, 26°00'N	2100	Late Pliocene	Sanying	Lithostratigraphy, biostratigraphy, magnetostratigraphy	Pollen	21	Kou et al. (2006)
4. Yuanmou	101°48'E, 25°51'N	1030	Late Pliocene	Gantang	Lithostratigraphy, biostratigraphy	Leaf	21	Liu et al. (2002)
5. Zhujie	103°53'E, 25°11'N	2090	Late Pliocene	Ciyang	Lithostratigraphy	Pollen	25	Wang and Shu (2004)
6. Yangyi	99°15'E, 24°57'N	1650	Late Pliocene	Yangyi	Lithostratigraphy, biostratigraphy	Pollen	19	Xu (2002)
7. Tuantian	98°38'E, 24°41'N	1250	Late Pliocene	Mangbang	Lithostratigraphy, biostratigraphy, isotopic dating	Leaf	19	Sun et al. (2011)
8. Longling	98°50'E, 24°41'N	1840	Late Pliocene	Yangyi	Lithostratigraphy, biostratigraphy	Pollen	25	Xu (2002) and Xu et al. (2004)

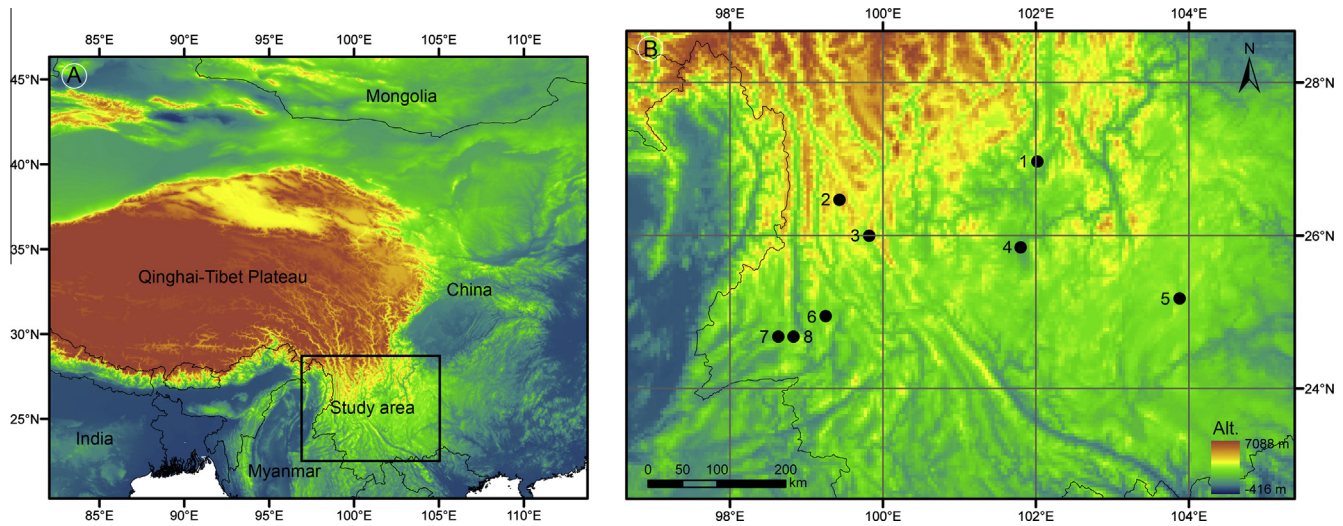


Fig. 1. Study area and localities of the eight late Pliocene floras. (A) Map showing the location of the study area; (B) map showing the localities of the eight late Pliocene floras in the SBTP region. The floral localities are numbered following Table 1.

(WGYRGS, 1978; YBGMR, 1990; Wang and Shu, 2004). The Yangyi and Longling floras belong to the Yangyi Formation, which is dated as late Pliocene based on lithostratigraphic and biostratigraphic comparisons (WGYRGS, 1978; YBGMR, 1990; Ge and Li, 1999; Xu et al., 2003). The Tuantian flora belongs to the Mangbang Formation, which is dated as late Pliocene based on lithostratigraphic and biostratigraphic correlations (WGYRGS, 1978; YBGMR, 1990; Ge and Li, 1999; Sun et al., 2011) as well as radiometric dating (3.297 ± 0.040 Ma, Li et al., 2000).

2.2. Palaeoclimatic reconstructions

Plant fossils are valuable proxies for quantitative palaeoclimatic reconstructions. As the eight floras in this study include both mega- and microfossils, we use the Coexistence Approach (CoA; Mosbrugger and Utescher, 1997) which functions with all types of plant organs provided their taxonomical statuses can be determined. This approach is based on the hypothesis that the fossil taxa have similar climatic requirements as those of their nearest living relatives (NLRs; Mosbrugger and Utescher, 1997). The climatic ranges in which a maximum numbers of NLRs of a given fossil flora can coexist are considered the general palaeoclimatic situation of the fossil flora (Utescher et al., 2014). To enable a maximum number of taxa to contribute to the CoA analysis, numerous climatic data sets of NLRs have been added to the database (Utescher and Mosbrugger, 2013) on which the present analysis was based. This updated database can be freely accessed at the Palaeoflora website (<http://www.palaeoflora.de>; Utescher and Mosbrugger, 2013).

For five of the eight late Pliocene floras, i.e., Eryuan, Yuanmou, Yangyi, Tuantian and Longling, palaeoclimates have already been quantitatively reconstructed using the CoA (Xu et al., 2003, 2004; Kou et al., 2006; Sun et al., 2011; Yao et al., 2012). The remaining three floras, i.e., Miyi, Lanping and Zhujie have not yet been studied with respect to palaeoclimate. To obtain a homogeneous data set, the five reconstructed floras were re-evaluated and the remaining three floras were calculated for the first time, both using the updated climatic database. Climatic requirements of the NLRs of the fossil taxa from the Miyi, Lanping and Zhujie flora are given in the Appendix (A, B, C). To minimize uncertainties related to taxonomic identification and assignment of the NLRs, the coexistence intervals are calculated based on the climatic requirements of the NLRs at the generic level. Six palaeoclimatic parameters are calculated, including mean annual temperature (MAT), mean temperature of the warmest month (WMMT), mean temperature of the

coldest month (CMMT), mean annual precipitation (MAP), mean precipitation of the wettest month (MP WET), and mean precipitation of the driest month (MP DRY).

2.3. Spatial analysis

Means of the palaeoclimatic parameters are calculated using the minimum and maximum values of the reconstructed CoA intervals for each climatic parameter considered. Anomalies are calculated as the differences between the calculated palaeoclimatic parameters and modern values. Means of the anomalies are calculated using the minimum and maximum values of the calculated anomaly intervals. Modern climatic information at the fossil sites is obtained from their nearest meteorological stations (Yunnan Meteorological Bureau, 1984). The climates recorded at the meteorological stations can be used as modern climates at the fossil sites, because the fossil sites have comparable altitudes with their nearest meteorological stations. The reconstructed temperatures, and temperature and precipitation anomalies are displayed using spatial analysis tools of the geographical information software ArcGIS 9.3 (Esri Company, Redlands). The comparative spatial temperature variations for the present-day are based on the basic worldwide climatic data (Hijmans et al., 2005). They are also displayed using spatial analysis tools of the geographical information software ArcGIS 9.3.

3. Results

The calculated and re-evaluated palaeoclimatic parameters, together with their means, of the eight late Pliocene floras are given in Table 2. Temperatures including MATs, WMMTs and CMMTs are displayed in Fig. 2 to visualize spatial gradients during the late Pliocene. As is shown, temperatures in the northern part of the SBTP were clearly lower while those in its southern part were higher. The northwestern part appears to have the lowest temperatures (Fig. 2). The three temperature-related parameters are further displayed in Fig. 3 to show their comparisons with modern values. The late Pliocene temperatures seem higher than today, but those in a few places such as Yuanmou were even lower than the present-day (Fig. 3).

Anomalies of five climatic parameters, i.e., MAT, WMMT, CMMT, MP WET and MP DRY and their means are given in Table 3. Anomalies of the WMMTs and CMMTs are further illustrated in Fig. 4 to visualize the changes of summer and winter temperatures,

Table 2
The reconstructed six climatic parameters for the eight late Pliocene floras in the SBTP. Climatic parameters for five floras, i.e., Eryuan, Yuanmou, Yangyi, Tuantian and Longling are re-evaluated based on palaeobotanical information given by Kou et al. (2006), Yao et al. (2012), Xu et al. (2003, 2004), Sun et al. (2011) and Xu et al. (2004), respectively, using the extended and updated NLR climatic database. Climatic parameters for the remaining three floras, i.e., Miyi, Lanping and Zhujie are presented for the first time.

Site	Age	MAT (°C)	Mean (°C)	WMMT (°C)	Mean (°C)	CMMT (°C)	Mean (°C)	MAP (mm)	Mean (mm)	MP WET (mm)	Mean (mm)	MP DRY (mm)	Mean (mm)	Palaeoclimatic data
1. Miyi	Late Pliocene	15.5–18.6	17.05	25.4–28.1	26.75	3.1–8.1	5.6	1019–1335	1177	182–225	203.5	9–58	33.5	This study
	Modern	16.7		22.1		9.1		1060		219		6		
2. Lanping	Late Pliocene	7–17.4	12.2	16.9–27	21.95	–3.1–8.3	2.6	552–1151	851.5	116–149	132.5	5–57	31	This study
	Modern	11.3		17.9		3.4		1025		215		8		
3. Eryuan	Late Pliocene	11.5–17.5	14.5	23–27.9	25.45	–1–7.7	3.5	620–1483	1051.5	109–139	124	9–55	32	Kou et al. (2006), re-evaluated
	Modern	12.8		18.2		6.2		1048		187		19		
4. Yuanmou	Late Pliocene	14.8–17.4	16.1	19.8–27.6	23.7	2–6	4	1484–1784	1634	166–183	174.5	13–25	19	Yao et al. (2012), re-evaluated
	Modern	21.8		27		14.5		634		141		3		
5. Zhujie	Late Pliocene	15.7–18.4	17.05	23–28.1	25.55	9.6–12.5	11.05	892–982	937	109–178	143.5	18–41	29.5	This study
	Modern	14.4		19.9		6.9		1058		232		14		
6. Yangyi	Late Pliocene	11.6–18.4	15	23–28.2	25.6	–0.3–12.5	6.1	798–1255	1026.5	116–293	204.5	16–41	28.5	Xu (2002), re-evaluated
	Modern	15.6		20.9		8.1		974		188		13		
7. Tuantian	Late Pliocene	15.6–20.8	18.2	26.5–28.1	27.3	6–13.3	9.65	1377–1695	1536	204–248	226	19–55	37	Sun et al. (2011), re-evaluated
	Modern	14.9		19.8		7.6		1452		271		19		
8. Longling	Late Pliocene	17.5–20.8	19.15	24–28.1	26.05	9.6–13.3	11.45	816–1255	1035.5	225–293	259	9–55	32	Xu et al. (2004), re-evaluated
	Modern	15		19.9		7.4		2084		475		15		

respectively, since the late Pliocene. The WMMTs were generally higher than those at present, except for that of Yuanmou (Fig. 4). The CMMTs appear generally similar to the modern values. Those of only a few sites have changed, e.g., the CMMT of Zhujie has declined while that of Yuanmou has increased since the late Pliocene. Anomalies of the MP WETs and MP DRYs are illustrated in Fig. 5 to visualize the changes of summer and winter precipitations, respectively. It is evident that the MP WETs have generally increased whereas the MP DRYs have significantly decreased.

4. Discussion

4.1. Spatial temperature gradients

As is shown in Table 2 and Fig. 2, Lanping and Eryuan as the most northwesterly of the eight sites have lower MAT estimates, with values being 7–17.4 °C and 11.5–17.5 °C, respectively. Zhujie, Tuantian and Longling as the most southerly of the eight sites yield higher MAT estimates, with values being 15.7–18.4 °C, 15.6–20.8 °C and 17.5–20.8 °C, respectively. The remaining three sites have intermediate MAT estimates. This suggests spatial temperature gradients in the SBTP during the late Pliocene: the northwest was cooler while the south was warmer. This pattern of temperature gradients can also be seen in the WMMT and CMMT (Table 2 and Fig. 2).

Usually, surface temperature gradients are mainly correlated to differences in altitude or latitude or both. Although there is so far no evidence from the SBTP, we expect the latitudinal temperature gradient to be generally similar to the value in other regions of the Northern Hemisphere, e.g., Europe, which was estimated to have been 0.6–0.65 °C per degree in the Pliocene (Fauquette et al., 2007). Hence the mean annual temperature difference between the northern most site namely Lanping and the three southern most sites namely Zhujie, Tuantian and Longling would be approximately 1.2 °C. In fact, the late Pliocene MAT at Lanping was 1–8.7 °C, 3.4–8.6 °C and 3.4–8.5 °C lower than that at Zhujie, Tuantian and Longling, respectively. These spatial differences in MAT are much larger than expected. We therefore tend to believe that the steep temperature gradients observed were largely attributable to altitudinal differences while latitude played only a subordinate role. Because of the southeastward extrusion of the Qinghai–Tibet Plateau and intense mountain uplift, altitude increased in the SBTP during the late Cenozoic (Schoenbohm et al., 2004, 2006; Shen et al., 2005). The late Pliocene temperature gradients suggest that the northern part might have increased more significantly than the southern part of this region. In addition, the northwestern part probably constituted the area with the greatest altitude during that time. This is in line with previous understandings that the southern part of the SBTP uplifted later, primarily during the Pliocene and Quaternary (Schoenbohm et al., 2004, 2006).

Today, the SBTP region is famous for its topographical diversity and complexity, with altitude varying enormously from 6750 m in the northwest to only around 500 m in the south (Wang and Zhang, 2002; Wang, 2006). Correspondingly, the climatic regime varies from the cold alpine meadows in the northwest to warm seasonal rain forests in the south (Yunnan Meteorological Bureau, 1984; Wang and Zhang, 2002; Wang, 2006). Our results would seem to suggest that this pattern of temperature gradients related to altitude became established by the late Pliocene.

4.2. Mean annual temperature changes

The late Pliocene is widely recognized as having warmer conditions than today, both globally and regionally (Haywood et al.,

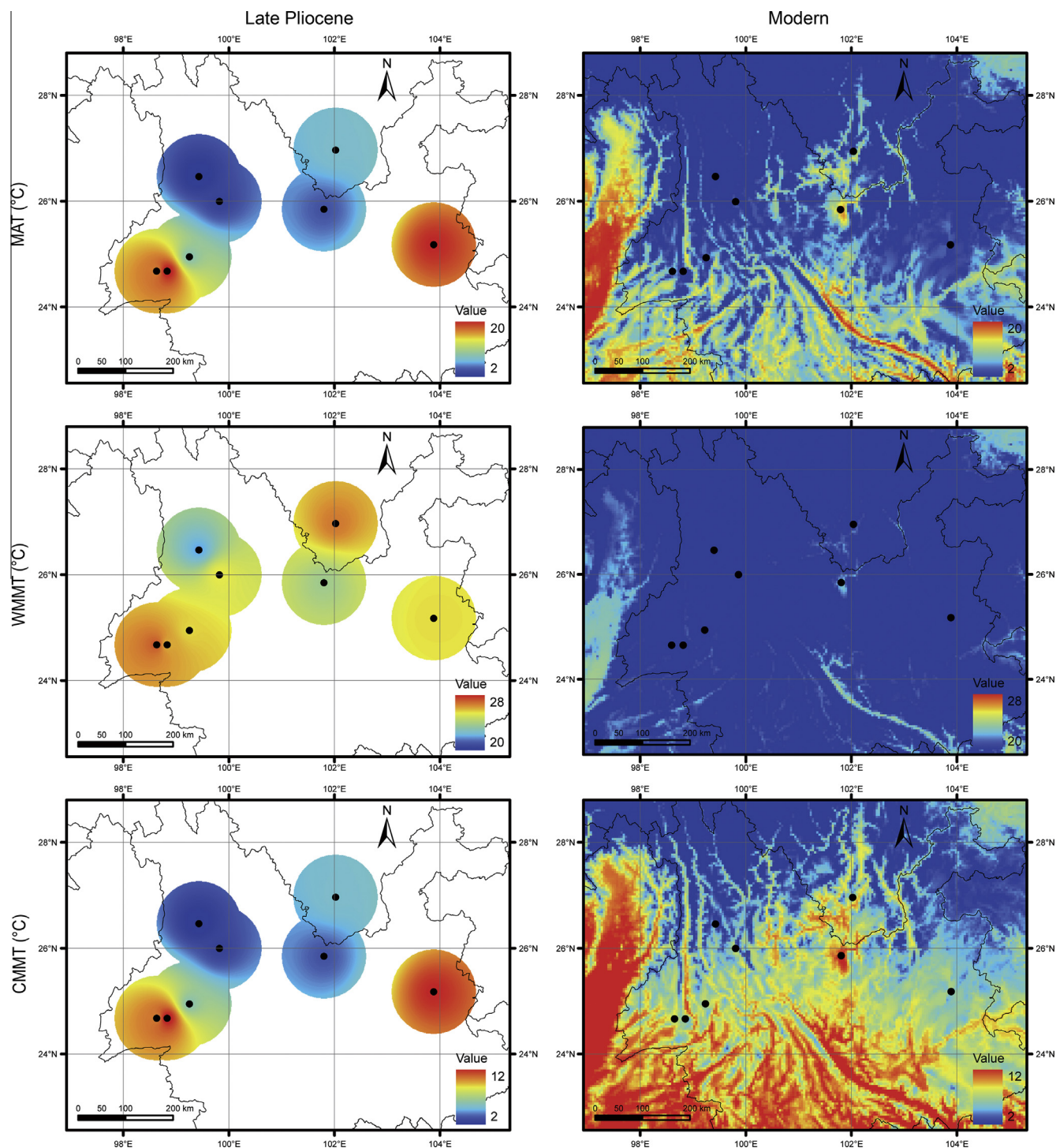


Fig. 2. Spatial temperature gradients in the late Pliocene and at present in the study area.

2000; Haywood and Valdes, 2004; Mosbrugger et al., 2005; Uhl et al., 2007; Dowsett et al., 2009; Lunt et al., 2010; Brigham-Grette et al., 2013). In the SBTP, MAT estimates from the eight sites except Yuanmou are generally higher than those at present (Table 2). This is also demonstrated by the calculated MAT anomalies and their means (Table 3). Yuanmou was exceptional in having a much lower MAT in the late Pliocene (Fig. 3). Today's higher temperatures at Yuanmou are thought to be attributed to a Foehn wind effect and the development of warm dry valleys (Yao et al., 2012). This suggests that the warm dry deep valleys now existing at Yuanmou were probably not yet established in the

late Pliocene. Based on the above comparisons in MAT, it is evident that the late Pliocene SBTP was overall warmer than at present though Yuanmou was exceptionally cooler, in agreement with previous palaeoclimatic investigations in this region (Xu, 2002; Xu et al., 2004; Kou et al., 2006; Sun et al., 2011; Xie et al., 2012; Su et al., 2013).

As suggested by various studies, mean global temperature declined around 2–3 °C since the late Pliocene (Haywood et al., 2000; Lunt et al., 2010; Salzmann et al., 2011). However, the cooling trend did not affect all regions to the same degree. In the Northern Hemisphere, it is thought to be most pronounced

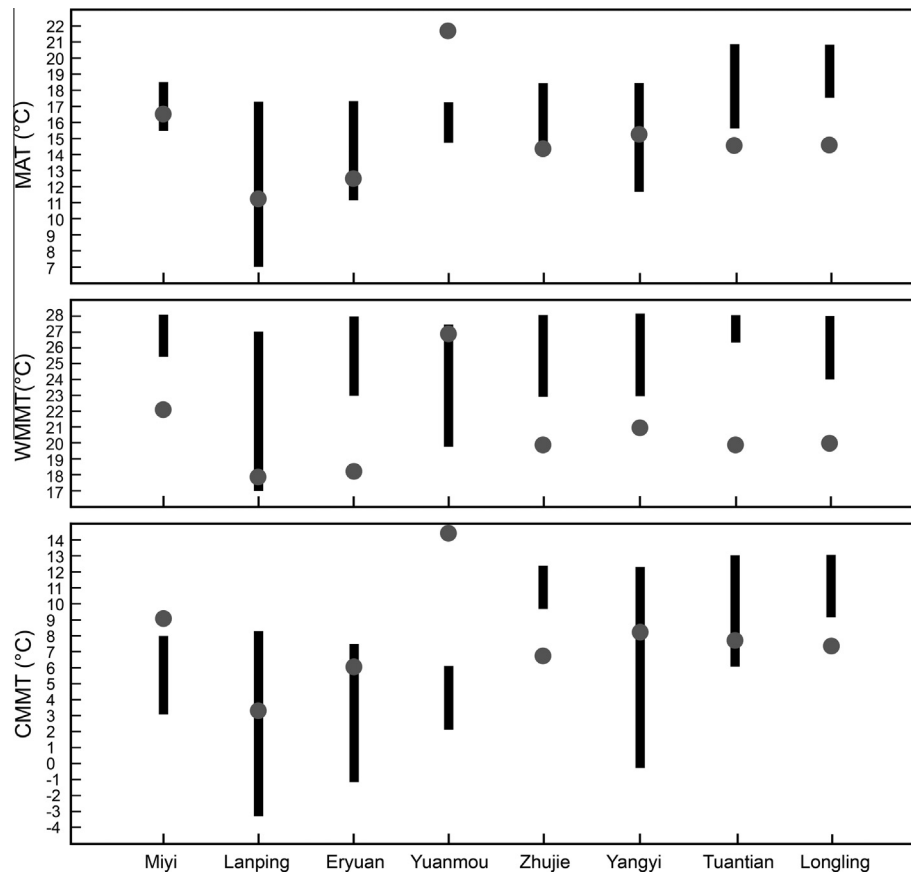


Fig. 3. Chart showing the intervals of the reconstructed late Pliocene MATs, CMMTs and WMMTs (bars) in the study area, and their comparisons with modern values (dots).

Table 3

Late Pliocene anomaly ranges and their mean values for five climatic parameters.

Site	MAT anomaly (°C)	Mean (°C)	WMMT anomaly (°C)	Mean (°C)	CMMT anomaly (°C)	Mean (°C)	MP WET anomaly (mm)	Mean (mm)	MP DRY anomaly (mm)	Mean (mm)
1. Miyi	−1.2–1.9	0.35	3.3–6	4.65	−6–(−1)	−3.5	−37–6	−15.5	3–52	27.5
2. Lanping	−4.3–6.1	0.9	−1–9.1	4.05	−6.5–4.9	−0.8	−99–(−66)	−82.5	−3–49	23
3. Eryuan	−1.3–4.7	1.7	4.8–10	7.4	−7.2–1.5	−2.85	−78–(−48)	−63	−10–36	13
4. Yuanmou	−7–(−4.4)	−5.7	−7.2–0.6	−3.3	−12.5–(−8.5)	−10.5	25–42	33.5	10–22	16
5. Zhujie	1.3–4	2.65	3.1–8.3	5.7	2.7–5.6	4.15	−123–(−54)	−88.5	4–37	20.5
6. Yangyi	−4–2.8	−0.6	2.1–7.3	4.7	−8.3–4.4	−1.95	−72–105	16.5	3–28	15.5
7. Tuantian	0.7–5.9	3.3	6.7–8.3	7.5	−1.6–5.7	2.05	−68–(−24)	−46	0–36	18
8. Longling	2.5–5.8	4.15	4.1–8.2	6.15	2.2–5.9	4.05	−250–(−182)	−216	−6–40	18

in mid to high latitudes (Mosbrugger et al., 2005; Uhl et al., 2007; Utescher et al., 2011). For example, Central Europe experienced a MAT decline of around 5 °C since the late Pliocene, based on evidence from palaeobotanical proxy data (Mosbrugger et al., 2005). The late Pliocene temperatures from relatively low latitudes have not been extensively studied so far, except for palaeoclimatic model simulations (e.g., Haywood et al., 2000; Haywood and Valdes, 2004). The present study area, ranging from 24.5° to 27° N (Fig. 1, B), does not strictly represent a low latitude region, but clearly has a more southerly position than Central Europe. As discussed above, most studied sites in the SBTP indicate a temperature decline since the late Pliocene. MAT anomalies, ranging from −0.6 °C to 4.15 °C, suggest a mean temperature decline of about 1 °C since the late Pliocene, far lower than 5 °C of temperature decline in Central Europe. This is consistent with previous interpretations that the post-Pliocene cooling was more pronounced in the northern higher latitudes than in the lower ones (Uhl et al., 2007; Utescher et al., 2011).

4.3. Decline of summer and winter temperatures

The WMMTs estimated from the eight fossil sites except for Yuanmou are generally higher than their modern values, suggesting warmer summers in the late Pliocene (Figs. 3 and 4). In contrast to the WMMTs, the CMMTs estimated from the eight sites approximate the present-day figures: Miyi and Yuanmou had higher CMMTs, Zhujie and Longling had slightly lower CMMTs than today, while the remaining four sites had CMMTs comparable to the present (Figs. 3 and 4). All these indicate that in the SBTP, the WMMT has declined significantly while the CMMT has not changed notably since the late Pliocene. In other words, summer temperature has declined markedly while winter temperature has changed only moderately, in agreement with a recent palaeoclimatic observation from the western part of this region (Su et al., 2013).

It is believed that the Cenozoic global surface cooling is more pronounced for the CMMT than the WMMT and MAT (Utescher et al., 2000; Mosbrugger et al., 2005). In the present study area, however, the WMMT rather than the CMMT displays the most

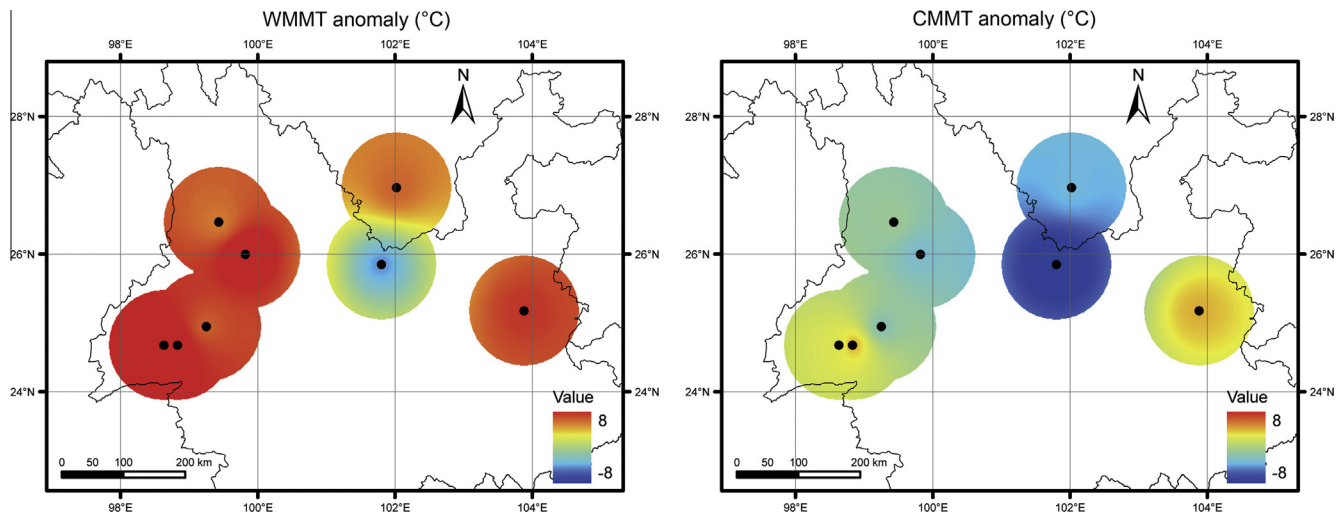


Fig. 4. WMMT and CMMT anomalies at a mean of the eight late Pliocene floras in the study area.

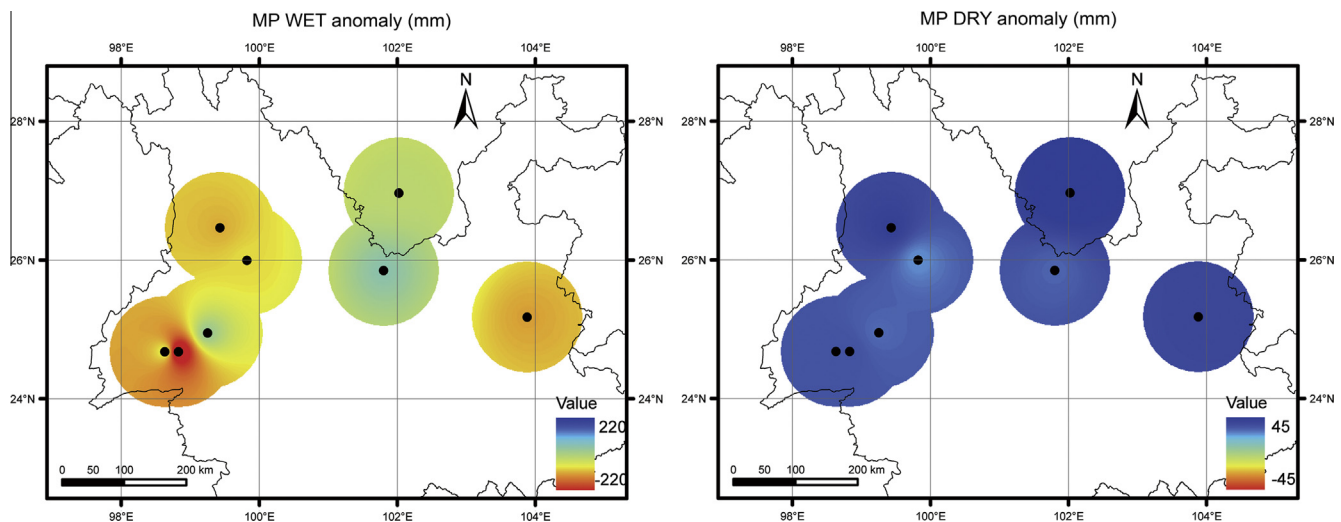


Fig. 5. MP WET and MP DRY anomalies at a mean of the eight late Pliocene floras in the study area.

pointed declining trend. This unexpected pattern is probably attributable to the eastward extrusion of the Qinghai–Tibet Plateau and related processes of tectonic uplift that has impacted regional atmospheric circulation patterns. In monsoonal zones of East Asia, winter temperatures are usually related to the intensity of the Asian winter monsoon. In the cold season, a high pressure system originates over the Siberian–Mongolian region and causes the southward flow of cold air masses, thus bringing down winter temperatures across monsoon-affected areas of East Asia (Ding, 1994; Wu and Chan, 1997; Chang et al., 2006). The post-Pliocene eastern extrusion of the Qinghai–Tibet Plateau created high mountainous areas to the north of the study area (England and Houseman, 1989; Williams et al., 2001; Zhang and Ding, 2003; Zhang et al., 2006; Xu et al., 2008). The extended eastern Qinghai–Tibet Plateau, having an average altitude of over 3000 m, possibly blocked the intrusion of cold surges from the north, and forced them to circumvent the eastern flank of the plateau (Chang et al., 2006; Molnar et al., 2010; Chang and Lu, 2012). This process would have weakened the impact of the cold winter monsoon on the study area, and thus would explain the relatively high present level of winter temperatures with respect to late Pliocene values. On the other hand, the study area itself experienced overall intense mountain uplift since the late Pliocene (Schoenbohm et al., 2004, 2006; Shen et al., 2005). The increase in altitude should have led to a

decline of both summer and winter temperatures. The balance between the related warming caused by the eastern extrusion of the Qinghai–Tibet Plateau, and the cooling caused by mountain uplift and the global surface cooling probably resulted in the insignificant winter cooling. Meanwhile, the post late Pliocene mountain uplift plus the global signal might have resulted in the pronounced summer cooling.

4.4. Weaker monsoon climate

Located in East Asia, the SBTP has had higher precipitation in summer and lower precipitation in winter, a characteristic of the Asian monsoon climate, at least since the early Miocene (Sun and Wang, 2005; Guo et al., 2008). We therefore assume that the MP WET and MP DRY in this region can roughly represent the precipitations of summer and winter, respectively. As is shown (Table 2 and Fig. 5), estimates of the MP WETs from the eight fossil sites are generally lower while estimates of the MP DRYs are overall higher than their modern values. This indicates a weaker monsoon climate in the SBTP during the late Pliocene. The increased summer rainfall and decreased winter one suggest an intensification of the monsoon since the late Pliocene, which was probably related to mountain uplift in the west of this region (Su et al., 2013).

5. Conclusions

Impacted by the uplift and expansion of the Qinghai–Tibet Plateau, the SBTP has experienced drastic topographic and climatic changes since the late Pliocene. Quantitative palaeoclimatic reconstructions performed on eight late Pliocene floras from this region lead to the following conclusions:

- (1) Spatial temperature gradients occurred in the SBTP during the late Pliocene: the northwest was cooler while the south was considerably warmer than other parts of the region. This pattern of temperature gradients is largely attributed to differences in altitude: the northwest was already higher than the south of the SBTP at that time.
- (2) Compared to the marked global cooling since the late Pliocene, the temperature decline in the SBTP is only minor. The cooling trend in this region is not as pronounced as that observed from the mid to high latitudes in Central Europe. This is consistent with previous interpretations that the late Cenozoic cooling is most pronounced in the northern mid to high latitudes, but minimal in lower latitude regions.
- (3) The post late Pliocene summer cooling is pronounced while winter temperatures have remained more or less unchanged since the late Pliocene. This is at variance with previous

observations from other territories. This unexpected pattern of cooling trend can be attributed to the eastward extrusion of the Qinghai–Tibet Plateau and mountain uplift since the late Pliocene. The eastward extrusion of the plateau probably weakened the impact of the cold high pressure on this region in winter. The post-Pliocene mountain uplift in the whole region caused the decline of both summer and winter temperatures.

- (4) Summer precipitation increased while winter precipitation decreased since the late Pliocene, suggesting an intensification of the Asia monsoon climate in this region.

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Appendix A. Fossil taxa at the generic level and climatic requirements of their NLRs of three late Pliocene floras in the SEBQTP.

A. Fossil taxa at the generic level and climatic requirements of their NLRs of the late Pliocene Miyi flora (palaeobotanical data based on Zhou, 2000).

Fossil taxa at generic level	NLRs	MAT (°C)		CMMT (°C)		WMMT (°C)		MAP (mm)		MP WET (mm)		MP DRY (mm)	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
<i>Acer</i> sp.	<i>Acer</i> sp.	−0.4	24	−24.2	20.6	12.9	29.3	115	2559	19	370	1	135
<i>Albizzia</i> sp.	<i>Albizzia</i> sp.	14.8	28	2.5	27	19.8	32.5	307	3151	81	558	0	165
<i>Betula</i> sp.	<i>Betula</i> sp.	−15	25.8	−41	21.1	1.3	28.7	110	2559	23	353	0	135
<i>Camellia</i> sp.	<i>Camellia</i> sp.	13.8	27.7	1.8	27	25.4	29.6	1090	3151	182	605	2	165
<i>Castanopsis</i> sp.	<i>Castanopsis</i> sp.	9.3	27.9	−1.5	27	12.7	32.5	397	3151	68	610	0	165
<i>Cinnamomum</i> sp.	<i>Cinnamomum</i> sp.	13.5	27.2	2.5	26.1	18.6	31.7	828	3293	160	988	3	135
<i>Corylopsis</i> sp.	<i>Corylopsis</i> sp.	9.1	18.6	−2.7	8.1	22.3	28.8	979	1562	148	304	7	67
<i>Cyclocarya</i> sp.	<i>Cyclocarya</i> sp.												
<i>Desmodium</i> sp.	<i>Desmodium</i> sp.												
<i>Engelhardia</i> sp.	<i>Engelhardia</i> sp.	13.8	27	3.1	25	22.1	33.6	823	3172	204	518	5	152
<i>Eurya</i> sp.	<i>Eurya</i> sp.	9.1	27.7	−2.9	27	15.9	31.1	1065	3151	116	422	1	165
<i>Fraxinus</i> sp.	<i>Fraxinus</i> sp.	0	24	−25.8	16.7	14.9	33.9	148	1844	28	358	2	95
<i>Gordonia</i> sp.	<i>Gordonia</i> sp.	14.4	25.5	0.9	21.4	20.2	29.2	810	2100	106	304	3	74
<i>Ilex</i> sp.	<i>Ilex</i> sp.	−0.4	27.7	−12.9	27	10.4	33.6	641	3151	98	389	2	165
<i>Indigofera</i> sp.	<i>Indigofera</i> sp.	15.5	27.7	2.2	26.1	20.2	29.2	815	3370	60	479	9	165
<i>Juglans</i> sp.	<i>Juglans</i> sp.	0	27.5	−22.7	25	9.5	31.2	210	2617	28	582	1	114
<i>Laurifolia</i> sp.	<i>Laurifolia</i> sp.												
<i>Lespedeza</i> sp.	<i>Lespedeza</i> sp.												
<i>Lindera</i> sp.	<i>Lindera</i> sp.	9.3	27.7	−7.3	27	21.6	28.1	396	3151	90	389	4	165
<i>Lithocarpus</i> sp.	<i>Lithocarpus</i> sp.	7	27.1	−3.1	23.5	16.7	29.9	529	2395	116	379	0	64
<i>Litsea</i> sp.	<i>Litsea</i> sp.	13.6	27.7	2.2	27	20.9	28.6	816	3151	109	389	7	165
<i>Myrica</i> sp.	<i>Myrica</i> sp.	−8.9	28.1	−29	27	8.9	33.9	233	3151	34	508	0	165
<i>Populus</i> sp.	<i>Populus</i> sp.	−16	26	−49	13.6	9.8	35.6	25	2559	8	358	0	93
<i>Quercus</i> sp.	<i>Quercus</i> sp.	−1.4	27	−25.1	25.9	8.4	28.3	201	3905	33	610	0	180
<i>Rhododendron</i> sp.	<i>Rhododendron</i> sp.	−12	27.7	−27.9	27	3.9	28.1	110	3151	23	389	3	165
<i>Smilax</i> sp.	<i>Smilax</i> sp.	−1.1	27.7	−25.8	27	15.1	33.1	37	3151	8	389	0	165
<i>Thermopsis</i> sp.	<i>Thermopsis</i> sp.	−3.2	22.8	−25.6	13.6	13.5	28.5	201	1335	33	225	0	58
<i>Ulmus</i> sp.	<i>Ulmus</i> sp.	−4.9	26.6	−25.8	26.1	16	29.4	201	3285	33	569	0	75

B. Fossil taxa at the generic level and climatic requirements of their NLRs of the late Pliocene Lanping flora (palaeobotanical data based on Tao, 1986; Huang, 2012).

Fossil taxa at generic level	NLRs	MAT (°C)		CMMT (°C)		WMMT (°C)		MAP (mm)		MP WET (mm)		MP DRY (mm)	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
<i>Acer</i> sp.	<i>Acer</i> sp.	−0.4	24	−24.2	20.6	12.9	29.3	115	2559	19	370	1	135
<i>Berberis</i> sp.	<i>Berberis</i> sp.	−4.9	18	−32.4	10	15	27.1	304	2336	45	454	0	75
<i>Desmodium</i> sp.	<i>Desmodium</i> sp.												
<i>Hippophae</i> sp.	<i>Hippophae</i> sp.	1.6	17.4	−11.1	8.3	13.4	27	552	1151	64	149	5	57
<i>Lithocarpus</i> sp.	<i>Lithocarpus</i> sp.	7	27.1	−3.1	23.5	16.7	29.9	529	2395	116	379	0	64
<i>Picea</i> sp.	<i>Picea</i> sp.	−8.9	21.7	−28.6	15.6	7.3	31.6	142	6000	36	700	2	400
<i>Pinus</i> sp.	<i>Pinus</i> sp.	−9.2	25.5	−36.8	21.4	7.1	32.9	180	1741	28	293	0	94
<i>Populus</i> sp.	<i>Populus</i> sp.	−16	26	−49	13.6	9.8	35.6	25	2559	8	358	0	93
<i>Quercus</i> sp.	<i>Quercus</i> sp.	−1.4	27	−25.1	25.9	8.4	28.3	201	3905	33	610	0	180
<i>Salix</i> sp.	<i>Salix</i> sp.	−17	27.7	−50.1	26.5	7.6	32.9	122	2399	22	448	0	108

C. Fossil taxa at the generic level and climatic requirements of their NLRs of the late Pliocene Zhujie flora (palaeobotanical data based on Wang and Shu, 2004).

Fossil taxa at generic level	NLRs	MAT (°C)		CMMT (°C)		WMMT (°C)		MAP (mm)		MP WET (mm)		MP DRY (mm)	
		Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
<i>Abies</i> sp.	<i>Abies</i> sp.	−6.7	27.4	−26	25.6	7.1	29.5	373	2648	57	369	0	135
<i>Alnus</i> sp.	<i>Alnus</i> sp.	−13.3	27.4	−40.9	25.6	12	38.6	41	2559	8	353	0	135
<i>Artemisia</i> sp.	<i>Artemisia</i> sp.												
<i>Carya</i> sp.	<i>Carya</i> sp.	4.4	26.6	−11.5	22.2	19.3	30.6	373	1724	68	434	8	93
<i>Castanea</i> sp.	<i>Castanea</i> sp.	8.7	24.2	−3.9	16.7	21.6	29.4	473	1857	70	424	3	88
<i>Cedrus</i> sp.	<i>Cedrus</i> sp.	11.6	18.4	−0.3	12.5	19.4	31.8	164	1577	43	434	0	41
<i>Celtis</i> sp.	<i>Celtis</i> sp.	2.5	25.8	−17.7	23	18.7	28.8	116	2730	25	597	0	88
<i>Elaeagnus</i> sp.	<i>Elaeagnus</i> sp.	−0.4	27.7	−24.2	27	18.2	28.5	84	3151	28	389	2	165
<i>Ephedra</i> sp.	<i>Ephedra</i> sp.	3.1	28.8	−12	25	16.4	32.9	33	932	5	178	0	45
<i>Euphorbia</i> sp.	<i>Euphorbia</i> sp.												
<i>Fagus</i> sp.	<i>Fagus</i> sp.	4.4	23.1	−11.5	17	17.3	28.5	376	2648	46	448	5	94
<i>Ilex</i> sp.	<i>Ilex</i> sp.	−0.4	27.7	−12.9	27	10.4	33.6	641	3151	98	389	2	165
<i>Juglans</i> sp.	<i>Juglans</i> sp.	0	27.5	−22.7	25	9.5	31.2	210	2617	28	582	1	114
<i>Liquidambar</i> sp.	<i>Liquidambar</i> sp.	11.5	24.6	−1	23.8	23	29.3	619	1823	109	340	2	72
<i>Lycopodium</i> sp.	<i>Lycopodium</i> sp.												
<i>Microlepidia</i> sp.	<i>Microlepidia</i> sp.	15.7	27.7	9.6	27	20.2	28.5	892	3151	208	398	3	165
<i>Osmunda</i> sp.	<i>Osmunda</i> sp.	0.2	25.8	−16.6	24.1	16.3	29.5	206	4150	34	914	2	59
<i>Picea</i> sp.	<i>Picea</i> sp.	−8.9	21.7	−28.6	15.6	7.3	31.6	142	6000	36	700	2	400
<i>Pinus</i> sp.	<i>Pinus</i> sp.	−9.2	25.5	−36.8	21.4	7.1	32.9	180	1741	28	293	0	94
<i>Polygonum</i> sp.	<i>Polygonum</i> sp.	−0.6	21.7	−12.8	14.8	15.6	28.2	435	2559	63	454	1	135
<i>Quercus</i> sp.	<i>Quercus</i> sp.	−1.4	27	−25.1	25.9	8.4	28.3	201	3905	33	610	0	180
<i>Rhus</i> sp.	<i>Rhus</i> sp.	3.4	24.9	−12.9	22.2	18.9	29.4	735	1613	73	389	18	93
<i>Salix</i> sp.	<i>Salix</i> sp.	−17	27.7	−50.1	26.5	7.6	32.9	122	2399	22	448	0	108
<i>Tilia</i> sp.	<i>Tilia</i> sp.	2.5	20.8	−17.7	13.3	15	28.1	373	1958	68	454	9	83
<i>Tsuga</i> sp.	<i>Tsuga</i> sp.	−1.8	21.9	−15.6	15.6	11	29.5	285	2648	48	350	0	108

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