



## Research paper

# Hydraulic traits and photosynthesis are coordinated with trunk sapwood capacitance in tropical tree species

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**Water stored in trunk sapwood is vital for the canopy to maintain its physiological function under high transpiration demands. Little is known regarding the anatomical properties that contribute to the hydraulic capacitance of tree trunks and whether trunk capacitance is correlated with the hydraulic and gas exchange traits of canopy branches. We examined sapwood capacitance, xylem anatomical characteristics of tree trunks, embolism resistance, the minimal xylem water potential of canopy branches, leaf photosynthesis and stomatal conductance in 22 species from a tropical seasonal rainforest and savanna. The results showed that the mean trunk sapwood capacitance did not differ between the two biomes. Capacitance was closely related to the fiber lumen fraction and fiber wall reinforcement and not to the axial and ray parenchyma fractions. Additionally, it was positively correlated with the theoretical hydraulic conductivity of a trunk and the specific hydraulic conductivity of branches, and showed a trade-off with branch embolism resistance. Species with a high trunk sapwood capacitance maintained less negative canopy water potentials in the dry season, but higher leaf photosynthetic rates and stomatal conductance in the wet season. This study provides a functional link among trunk sapwood capacitance, xylem anatomy, canopy hydraulics and photosynthesis in tropical trees.**

**Keywords:** embolism resistance, fiber lumen, fiber wall reinforcement, hydraulic safety, photosynthetic rate.

## Introduction

According to the tension-cohesion theory, canopy transpiration induces negative pressure that drives xylem water transport from the roots through the stems to the canopy in woody plants. However, under conditions of high transpiration or soil water deficit, low xylem water potentials may increase the level of xylem embolism and thus cause hydraulic dysfunction (Tyree and Sperry 1989, Ozanne et al. 2003, Larter et al. 2015, Nakamura et al. 2017). Previous studies have shown that water in the trunk can compensate, at least to a certain extent, for water depletion in the canopy induced by transpiration (Goldstein et al. 1998, Gartner and Meinzer 2005,

Hao et al. 2013, Chen et al. 2016). The amount of water released from trunk storage tissues can contribute between 10 and 50% of the total daily transpiration in trees, depending on the tree architecture and habitat (Holbrook and Sinclair 1992, Goldstein et al. 1998, Phillips et al. 2003, Steppe and Lemeur 2004, Scholz et al. 2007, Kobayashi and Tanaka 2010).

Sapwood capacitance, defined as the ratio of the change in cumulative water release per wood volume to the change in water potential, is typically used to quantify the ability of sapwood to store water (Meinzer et al. 2003). The released water is stored in the lumina and cell walls of dead cell types (vessels, tracheids or fibers) and in living cells, such as

the parenchyma and living fibers (Berry and Roderick 2005, Pfautsch et al. 2015, Chen et al. 2016, Jupa et al. 2016). Capacitance can be measured in different organs, such as the trunk wood, root xylem and shoots (Scholz et al. 2011, Jiang et al. 2021, Bucci et al. 2023). The water release process can be separated into three phases: an initial phase with rapid water release (Phase I), a second phase with slow water release (Phase II) and a third phase (Phase III) due to embolism formation (Tyree and Yang 1990, Hunt et al. 1991). Water released in Phase I is mainly withdrawn from the capillary spaces and lumina of vessels, tracheids or fibers. By contrast, the water released in Phase II is discharged from living cells, such as the axial or ray parenchyma, and this process usually occurs at more negative water potentials (Tyree and Yang 1990, Tyree and Zimmermann 2002). The magnitude of capacitance in different phases has been reported to be strongly related to the anatomical characteristics of the xylem tissues (Scholz et al. 2007, Jupa et al. 2016). For example, a recent study showed that Phase I sapwood capacitance in branches and roots strongly correlates with the fiber/tracheid lumen area in temperate tree species (Jupa et al. 2016). Therefore, fiber lumen area may contribute to sapwood capacitance, which has been linked to wood density (WD) (Ziemińska et al. 2013). Additionally, the xylem parenchyma tissue plays multiple roles in plants, including water storage (tissue capacitance) and participation in water delivery between the xylem and phloem (Borchert and Pockman 2005, Morris et al. 2016). In temperate forests, living parenchyma with thinner cell walls contributes more to water release during Phase II (Jupa et al. 2016). However, there is no published evidence on the influence of the fraction of parenchyma cells on the capacitance of the trunk sapwood.

The embolism resistance and hydraulic conductivity of branch xylem are coordinated with its sapwood capacitance (McCulloh et al. 2014, Santiago et al. 2018, Jiang et al. 2021). Embolism resistance is a crucial hydraulic trait that reflects plant adaptations to drought stress, particularly in a tropical seasonal rainforest (TSF) or a savanna (Zhang et al. 2019, Chen et al. 2021). Although previous studies have suggested a trade-off between xylem embolism resistance and sapwood capacitance in branches (Pratt et al. 2007), only a few studies support a link between the trunk sapwood capacitance and embolism resistance in canopy branches (Oliva Carrasco et al. 2014). Both sapwood capacitance and embolism resistance are associated with WD, which is an integrated indicator of the spatial allocation of various cell types (Scholz et al. 2007, Chave et al. 2009, Oliva Carrasco et al. 2014, Jupa et al. 2016). Higher WDs often result in higher resistance to xylem embolism (more negative  $P_{50}$  or  $P_{88}$ , the xylem water potential at 50 and 88% loss of hydraulic conductivity) and lower sapwood capacitance (Kraft et al. 2010, Liang et al. 2021). In addition, the sapwood capacitance of branches was coordinated with specific hydraulic conductivity, which may be because a high branch capacitance maintains a high water potential in the

canopy during branch development, and allows the development of large vessels, thus the high conductivity of branches (Cruziat et al. 2002). However, branches with large vessels may have low embolism resistance (Levionnois et al. 2021). In addition, high sapwood capacitance enables plants to diminish the occurrence of xylem embolism and thus maintain relatively high conductivity. However, little attention has been paid to the trunk sapwood capacitance and its influence on the hydraulic conductivity of both the trunk and canopy branches. Moreover, higher trunk sapwood capacitance can maintain higher midday water potentials and carbon assimilation (Siddiq et al. 2019).

In tropical regions, forest canopies are usually exposed to high daily evapotranspiration demands due to strong radiation, high temperatures and high vapor pressure deficit (VPD) levels. Water storage in the trunks has been reported to contribute substantially to daily water use (Meinzer et al. 2003, Čermák et al. 2007). However, little is known about the relationship between trunk sapwood capacitance and wood anatomical properties and between trunk sapwood capacitance and embolism resistance of the canopy branches and photosynthetic physiology. Most trees in a TSF are tall and have a large body size, which can store large amounts of water and temporarily replace water loss. By contrast, mature tropical savanna trees have a lower canopy and smaller tree diameter; however, they tend to be more drought tolerant (Chen et al. 2021). The coordination between trunk sapwood capacitance and canopy hydraulic traits and photosynthesis of tropical trees is still unclear and whether there is any common coordination between these traits across the TSF and savanna. Here, we measured the hydraulic capacitance and wood anatomy of trunk sapwood, xylem vulnerability of canopy branches and photosynthetic capacity across 22 tree species, including 16 species from a TSF, and 6 species from a tropical valley savanna. We aimed to characterize (i) the relationship between trunk sapwood capacitance and the hydraulic traits of canopy stem xylem and leaf photosynthetic physiology and (ii) the extent to which the anatomical properties of trunk sapwood contribute to hydraulic capacitance. We hypothesized that high trunk capacitance provides adequate water supply to the canopy crown and thus allows a high photosynthetic rate; however, it may decrease the xylem embolism resistance. We expected that the trunk sapwood capacitance of Phase I would be mainly contributed by the fractions of dead cells, such as fibers or vessels (Jupa et al. 2016). The fractions of axial and ray parenchyma cells can determine the trunk sapwood capacitance of Phase II since the xylem living cells are commonly regarded as a crucial reservoir of water storage (Morris et al. 2016).

## Materials and methods

### Study site and plant materials

This study was conducted in a TSF and valley savanna in Yunnan Province, SW China. The TSF site is located in the core area of the National Nature Reserve in Mengla County (21°36'N,

101°35'E, a.s.l. ~780 m). The mean annual precipitation is 1493 mm, with 84% of the precipitation falling between May and October, and the average annual temperature is 21.8 °C (Cao et al. 2006). The savanna site was located at the Yuanjiang Savanna Ecosystem Research Station (23°27'N, 102°10'E, a.s.l. ~481 m) in Yuanjiang County. The mean annual temperature is 24.7 °C, with a maximum air temperature of 43 °C. The mean annual precipitation is 732.8 mm, 80% of which falls between May and October (Zhang et al. 2016). For both sites, the rainy season was from May to October and the dry season is from November to April. The aridity indices (the ratio of mean annual precipitation to annual potential evapotranspiration) of the TSF and savanna sites are 0.96 and 0.33, respectively (Zhang et al. 2021).

Based on the species composition of the sample sites, 16 dominant tree species in the TSF and 6 dominant tree species at the savanna site were selected for this study (Table 1) (Chen et al. 2021, Song et al. 2023). However, certain subcanopy tree species have relatively small tree diameters in mature forests; hence, different tree species in the same biome vary greatly in tree diameter. All replicates of each sample species had similar tree diameters to avoid intraspecific variation. We conducted gas exchange measurements and collected branch samples using an 80-m high canopy crane (boom length of 60 m) at the TSF site. As the mean maximum height of the canopy for most selected species at the savanna site was <9 m, we could access the canopy using a 3-m high ladder. Detailed abbreviations for the measured traits are listed in Table 2.

### Water potential measurements

We measured the predawn and midday water potentials of canopy branches at both field sites for canopy branches during the dry season after at least three successive sunny days. Ten sun-exposed, healthy canopy branches from five individuals per species were selected and were tagged the day before measurements. Predawn leaf water potential ( $\Psi_{pd}$ ) was measured before sun rise (06:00–07:00 h solar time). Midday water potential measurements were taken between 12:30 and 14:30 h. Aluminum foil was used to bag the leaves 2 h before the midday water potential measurements. We selected terminal twigs from the same branches used for the predawn measurements. Midday branch water potentials ( $\Psi_{md}$ ) were then estimated based on bagged, non-transpiring leaves. Leaf samples were immediately placed in vapor-saturated sealed bags and were stored in an ice box after excision. All water potential measurements were conducted with a pressure chamber (PMS Instruments 1505D, Albany, OR, USA) within 1 h of sample collection. We defined the midday stem water potential ( $\Psi_{md}$ ) during the dry season as the seasonal minimal xylem water potential ( $\Psi_{min}$ ). The difference between predawn and midday water potential ( $\Delta\Psi$ ) was calculated to evaluate the maximal decline of xylem water potential during the day.

### Gas exchange measurements

Gas exchange measurements were performed during the wet season of 2021. Five mature, sun-exposed, healthy leaves from five individuals per species were selected for gas exchange measurements using a portable photosynthesis measurement system (LI-COR LI-6400XT, Lincoln, Nebraska, USA) between 09:00 and 11:30 h on a sunny day. The chamber temperature during the measurement was 22 °C, and the VPD was ~0.9 kPa. The air CO<sub>2</sub> concentration in the chamber was maintained at 400  $\mu\text{mol mol}^{-1}$  and the PPFD was maintained at 1200  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . The maximum photosynthetic rate ( $A_{\max}$ ,  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) and the maximum stomatal conductance ( $g_s$ ,  $\text{mol m}^{-2} \text{s}^{-1}$ ) were recorded in the steady state.

### Xylem vulnerability curves

We first estimated the maximal vessel length (MVL) of each species using an air-injection technique (Gao et al. 2019). Branches ( $n = 5$ ) 1.5 m long were cut and injected with air from the distal ends, with the basal end immersed in water. Then, we cut the branch from the basal end at a 1-cm interval until a continuous stream of tiny bubbles was observed. The remaining branch length plus 1 cm was defined as the MVL of the branch.

The xylem vulnerability curves (VCs) of the canopy branches were determined using a bench dehydration method during the wet season of 2022 (Sperry et al. 1988, Torres-Ruiz et al. 2015). Approximately 20 branches (1.5  $\times$  the length of MVL) from four to six individuals per tree species were sampled during the early morning and were instantly transported to the laboratory. Three to five leaves on two twigs were covered with aluminum foil to avoid water loss and were then dehydrated in a dry environment in a laboratory for a given time to cover a wide range of water potentials. Next, the branches were equilibrated in black plastic bags with wet paper towels for at least 1 h. The xylem water potential ( $\Psi$ ) was determined by taking the average of the two selected twigs. Subsequently, the branches were submerged in water, and the lateral branches were cut under water to relax the xylem tension for ~2 h (Wheeler et al. 2013). The segment was then attached to a hydraulic conductivity apparatus, and a digital liquid flow meter (Bronkhorst Liqui-Flow L13, Veenendaal, Netherlands) was used to record the flow rate. The pressure gradient was driven by the gravity of a 30-cm water column. The segments were then flushed with a degassed 20 mM KCl solution at a pressure of 0.15 MPa to remove air bubbles for 30–60 min until no bubbles were observed at the distal ends. The hydraulic conductance ( $K$ ,  $\text{kg m s}^{-1} \text{MPa}^{-1}$ ) was measured before and after flushing. The percentage loss of conductivity (PLC) was calculated as follows:

$$K_i = \frac{J_v}{(\Delta P / \Delta L)}, \quad (1)$$

$$\text{PLC} = 100 \times \frac{(K_{\max} - K_i)}{K_{\max}}, \quad (2)$$

Table 1. List of the 22 tree species studied in a TSF and savanna site.

| Species                                      | Family           | Code | DBH (cm)  | Height (m) |
|--|------------------|------|-----------|------------|
| Seasonal rainforest species                  |                  |      |           |            |
| <i>Ailanthus fordii</i>                      | Simaroubaceae    | AF   | 30.4–39.4 | 20–25      |
| <i>Barringtonia fuscicarpa</i>               | Lecythidaceae    | BF   | 22.5–37.4 | 19–23      |
| <i>Castanopsis indica</i>                    | Fagaceae         | CI   | 28.5–37.6 | 23–28      |
| <i>Colona thorelii</i>                       | Malvaceae        | CT   | 34–51.5   | 29–32      |
| <i>Drypetes hoensis</i>                      | Putranjivaceae   | DH   | 25.5–35   | 23–31      |
| <i>Garcinia cowa</i>                         | Clusiaceae       | GC   | 29–36     | 19–25      |
| <i>Garuga floribunda</i> var. <i>gamblei</i> | Burseraceae      | GG   | 32.5–54   | 26–38      |
| <i>Gironniera subaequalis</i>                | Cannabaceae      | GS   | 32–43.6   | 26–33      |
| <i>Litsea dilleniifolia</i>                  | Lauraceae        | LD   | 17.5–23   | 32–35      |
| <i>Parashorea chinensis</i>                  | Dipterocarpaceae | PC   | 35–37     | 24–27      |
| <i>Pterospermum menglunense</i>              | Malvaceae        | PM   | 24–31.2   | 24–28      |
| <i>Pometia pinnata</i>                       | Sapindaceae      | PP   | 33–37.4   | 23–27      |
| <i>Pseuduvaria trimera</i>                   | Annonaceae       | PT   | 29–32     | 24–25      |
| <i>Semecarpus reticulatus</i>                | Anacardiaceae    | SR   | 34–67     | 27–39      |
| <i>Sloanea tomentosa</i>                     | Elaeocarpaceae   | ST   | 41–48     | 34–38      |
| <i>Walsura pinnata</i>                       | Meliaceae        | WP   | 29–32     | 18–22      |
| Savanna species                              |                  |      |           |            |
| <i>Garuga pinnata</i> <sup>1</sup>           | Burseraceae      | GP   | 20–40     | 7–8        |
| <i>Haldina cordifolia</i> <sup>1</sup>       | Rubiaceae        | HC   | 19.2–28.5 | 8–9        |
| <i>Lannea coromandelica</i> <sup>1</sup>     | Anacardiaceae    | LC   | 25–29     | 8–9        |
| <i>Olea ferruginea</i>                       | Oleaceae         | OF   | 8.0–9.4   | 4–5        |
| <i>Polyalthia cerasoides</i> <sup>1</sup>    | Annonaceae       | POC  | 11–12     | 8–9        |
| <i>Terminalia franchetii</i> <sup>1</sup>    | Combretaceae     | TF   | 10–14     | 7–8        |

DBH, diameter at breast height.

<sup>1</sup>Denotes deciduous species; the remaining species are all evergreen.

where  $J_V$  was the flow rate ( $\text{kg s}^{-1}$ ) and  $\Delta P/\Delta L$  was the pressure gradient per stem segment length ( $\text{MPa m}^{-1}$ ); and  $K_i$  and  $K_{\max}$  were the hydraulic conductivity before and after flushing. The sapwood-specific conductivity ( $K_s$ ,  $\text{kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$ ) was calculated by dividing  $K_{\max}$  with the sapwood area ( $\text{m}^2$ ), which was measured in the middle of the segment.

### Trunk sapwood capacitance

Sapwood capacitance was measured by constructing water release curves using trunk sapwood samples (Meinzer et al. 2003, Siddiq et al. 2019). All samples were collected early in the morning in April 2021 to ensure that well-hydrated cores were obtained. We drilled cores of 1.5 cm length from the outer sapwood of the rainforest trees (TSF) or of 0.5 cm length from the savanna trees (four individuals per species) at 1.3 m above the soil surface using a 5-mm increment borer. Tree cores were sealed in 15-mL plastic tubes, transported to the laboratory and rehydrated in distilled water for at least 4 h. First, we determined the volume of fresh wood ( $V$ ,  $\text{cm}^3$ ) using the water displacement method. Then, the cores were cut into 5-mm segments and were used to cover the bottom of a sample cup for water potential measurements using a Dew Point Potential Meter (Decagon Devices WP-4C, Pullman, Washington, USA). The equipment was warmed for 30 min before placing the segments into the

chamber, which was run continuously. After measuring the water potential ( $\Psi_x$ , MPa), the sample cup was removed from the WP-4C device and was weighed using an analytical balance (0.0001 g) to obtain a constant fresh mass ( $W_f$ , g). Following this, the sample was dried at room temperature to reach a wide range of dehydration stages during which the water potential and fresh weight were periodically determined at 20-min and 6-h intervals, depending on the dehydration rate. This approach was applied until the water potential reached  $\sim -8$  MPa. Finally, the sample dry mass ( $W_d$ , g) was determined after oven-drying at 70 °C for >72 h. The WD ( $\text{g cm}^{-3}$ ) was calculated as:  $WD = W_d/V$ .

A water release curve was constructed as the cumulative sapwood water release (CWR,  $\text{kg m}^{-3}$ ) against  $\Psi_x$ , where the CWR was calculated as:

$$\text{CWR} = (1 - \text{RWC}) \times (W_s - W_d) \times \frac{1000 \times \text{WD}}{W_d}, \quad (3)$$

where  $W_s$  is sapwood saturated weight after rehydration, and RWC is the relative water content at each drying stage, calculated as:

$$\text{RWC} = \frac{(W_f - W_d)}{(W_s - W_d)}. \quad (4)$$



Table 2. Abbreviations and units of the traits examined in the study.

| Variables  | Abbreviations  | Unit   |
|--|----------------|--|
| <b>Sapwood anatomical traits</b>                             |                |  |
| Trunk sapwood density  | WD             | g cm <sup>-3</sup>                                   |
| Trunk sapwood SWC  | SWC            | g g <sup>-1</sup>                                    |
| Hydraulic weighted diameter                                  | $D_h$          | μm   |
| Fiber wall reinforcement                                     | FWR            | (μm μm <sup>-1</sup> ) <sup>2</sup>                  |
| Vessel fraction  | VF             | %  |
| Axial parenchyma fraction                                    | APF            | %  |
| Ray parenchyma fraction                                      | RPF            | %  |
| Fiber wall fraction  | FWF            | %  |
| Fiber lumen fraction   | FLF            | %  |
| Tracheid fraction  | TF             | %  |
| <b>Hydraulic and photosynthetic traits</b>                   |                |  |
| Branch sapwood specific hydraulic conductivity               | $K_S$          | kg m <sup>-1</sup> s <sup>-1</sup> MPa <sup>-1</sup> |
| Trunk sapwood theoretical hydraulic conductivity             | $K_{th}$       | kg m <sup>-1</sup> s <sup>-1</sup> MPa <sup>-1</sup> |
| Xylem water potential at 50% loss of hydraulic conductivity  | $P_{50}$       | MPa  |
| Xylem water potential at 88% loss of hydraulic conductivity  | $P_{88}$       | MPa  |
| Predawn leaf water potential                                 | $\Psi_{pd}$    | MPa  |
| Minimum branch water potential                               | $\Psi_{min}$   | MPa  |
| Difference between predawn and midday branch water potential | $\Delta\Psi$   | MPa  |
| Leaf maximum photosynthetic rate                             | $A_{max}$      | μmol m <sup>-2</sup> s <sup>-1</sup>                 |
| Leaf maximum stomatal conductance                            | $g_s$          | mol m <sup>-2</sup> s <sup>-1</sup>                  |
| <b>Water storage capacity</b>                                |                |  |
| Sapwood capacitance during Phase I                           | $C_I$          | kg m <sup>-3</sup> MPa <sup>-1</sup>                 |
| Sapwood capacitance during Phase II                          | $C_{II}$       | kg m <sup>-3</sup> MPa <sup>-1</sup>                 |
| Sapwood capacitance during the day                           | $C_{pd-md}$    | kg m <sup>-3</sup> MPa <sup>-1</sup>                 |
| Sapwood water potential at the turgor loss point             | $\Psi_{tlp-x}$ | MPa  |

A total of 15–20  $\Psi_x$ –CWR paired values were used to construct a water release curve for each species. Water release curves were analyzed using the hyperbolic model  $y = ax/(b + x)$  (Jupa et al. 2016). The sapwood capacitances  $C_I$  (capacitance of the initial linear portion, from  $\Psi = 0$  to  $b$  MPa) and  $C_{II}$  (capacitance of the second nearly linear portion, from  $\Psi = b$  to  $-8$  MPa) were determined by two different nearly linear phases of a water release curve (Meinzer et al. 2003).

The sapwood water potential at the turgor loss point ( $\Psi_{tlp-x}$ ) was calculated using a spreadsheet application (<https://sites.lifesci.ucla.edu/eeb-sacklab/protocols/>) (Santiago et al. 2018, De Guzman et al. 2021). The sapwood capacitance over the  $\Psi$  range during the day ( $C_{pd-md}$ ) was defined as

$$C_{pd-md} = \frac{(CWR_{md} - CWR_{pd})}{(\Psi_{pd} - \Psi_{md})}, \quad (5)$$

where  $CWR_{md}$  is the midday CWR and  $CWR_{pd}$  is the predawn CWR;  $C_{pd-md}$  was used to evaluate the water release ability between predawn and midday, as  $\Psi_{tlp-x}$  was less negative than  $\Psi_{md}$  for most tree species in this study (Zhang et al. 2013, Ziemińska et al. 2020).

### Trunk sapwood anatomy

The cores used for sapwood capacitance measurements were preserved in 15-mL plastic tubes with FAA solutions for at

least 3 months to soften the samples. A 5-mm long core sample from the outer sapwood was sectioned into 10-μm thick slices with a sliding microtome (Leica SM2010R, Nusslock, Germany), and the slices were stained with Safranin and Alcian blue solutions. Approximately 30 images were captured at 40× magnification using a Leica microscope (Leica DM3000 LED, Wetzlar, Germany). Tissue fractions or cell dimensions were identified and were drawn manually using the polygon selection tool in ImageJ software (Fiji, National Institutes of Health, USA) (Schindelin et al. 2012). According to the Hagen-Poiseuille law, the theoretical hydraulic conductivity of trunk sapwood ( $K_{th}$ , kg m<sup>-1</sup> s<sup>-1</sup> MPa<sup>-1</sup>) was calculated as (Tyree and Zimmermann 2002, Poorter et al. 2010):

$$K_{th} = \frac{\pi \rho D_h^4}{128 \eta} \times VD, \quad (6)$$

where  $\pi$  is the circular constant of 3.14,  $\rho$  is the density of water (997.05 kg m<sup>-3</sup> at 25 °C),  $VD$  is the vessel density and  $\eta$  is the viscosity of water ( $0.8937 \times 10^{-9}$  MPa s at 25 °C). The hydraulically weighted vessel diameter ( $D_h$ ; μm) was calculated as (Sterck et al. 2008):

$$D_h = \left[ \left( \frac{1}{n} \right) \sum_{i=1}^n D_i^4 \right]^{\frac{1}{4}}. \quad (7)$$

### Statistical analysis

All statistical analyses were performed using R software (version 4.2.0, R Core Team), and the relationships between key traits were examined using Pearson's correlation (Figure S1); data were log-transformed to meet normality and homoscedasticity if necessary. Water release curves were analyzed using the nls function (Figure S2). The trunk capacitance and WD of 14 tree species from a tropical rainforest and 10 tree species from a subtropical forest were collected from the literature, and the data from this study were used to determine whether there was a common relationship between these two sites. Xylem VCs were fitted with a Weibull model using the fitplc package. A total of 20–30 PLC values for each species were used to construct VCs (Ogle et al. 2009, Duursma and Choat 2017). Based on the fitted curves, we generated values of  $P_{50}$  and  $P_{88}$ , the xylem water potential at 50 and 88% loss of hydraulic conductivity. A few of the hydraulic traits of the savanna trees were retrieved from Chen et al. (2021). Given that evolutionary history may have affected our analyses, a phylogenetic tree of the 22 tree species from the TSF and savanna sites was constructed using the phylo.maker function of the VPhyloMaker package and the ggtree package (Figure S3 available as Supplementary data at *Tree Physiology Online*). The phylogenetic signals of the 17 functional traits were analyzed using the phylosig function of the phytools package to verify the influence of phylogenetic relationships on the functional traits (Blomberg et al. 2003). By combining the phylogenetic tree with the phylogenetic signals, the phylogenetic  $K$ -values ranged from 0.172 to 0.862. Phylogenetic  $P$ -values were  $>0.05$ , indicating that the functional traits were not affected by the evolutionary history of the tree species studied (Table S1 available as Supplementary data at *Tree Physiology Online*). To analyze the association of multiple traits and species grouping in the multivariate space of traits, a principal component analysis (PCA) of 17 traits from the 22 tree species from the two sites was conducted using the FactoMineR package (Lê et al. 2008).

## Results

### The association between trunk sapwood capacitance and branch traits

Trunk sapwood capacitance was associated with embolism resistance in canopy branches and a positive correlation between  $C_I$  and either  $P_{50}$  or  $P_{88}$  was observed ( $R^2 = 0.30$ ,  $P < 0.01$ ;  $R^2 = 0.47$ ,  $P < 0.001$ , respectively) (Figure 1a and c). Moreover, WD was negatively correlated with  $P_{50}$  ( $R^2 = 0.31$ ,  $P < 0.01$ ) (Figure S4a available as Supplementary data at *Tree Physiology Online*). Trunk sapwood capacitance is associated with the xylem hydraulic efficiency of the trunk or canopy branches. The  $K_{th}$  was positively correlated with  $C_I$  ( $R^2 = 0.30$ ,  $P < 0.01$ ) (Figure 1d), and the correlation between  $K_s$  and  $C_I$

was significantly positive when *Garuga pinnata* was excluded ( $R^2 = 0.32$ ,  $P < 0.01$ ) (Figure 1b).

### The coordination between trunk sapwood capacitance and canopy physiology

Trunk sapwood capacitance during the day ( $C_{pd-md}$ ) was closely associated with the daily canopy water status. An exponential relationship was found between  $C_{pd-md}$  and the seasonal minimum branch water potential ( $\Psi_{min}$ ) across the tree species studied ( $R^2 = 0.93$ ,  $P < 0.001$ ) (Figure 2a) despite a linear correlation between  $\Psi_{min}$  and  $C_{pd-md}$  in the TSF ( $R^2 = 0.53$ ,  $P = 0.001$ ) and savanna ( $R^2 = 0.76$ ,  $P = 0.024$ ). Additionally,  $C_{pd-md}$  was negatively associated with the difference between predawn and midday branch water potential ( $\Delta\Psi$ ) across the species studied ( $R^2 = 0.59$ ,  $P < 0.001$ ) (Figure 2c). Accordingly, tree species with a high trunk capacitance showed a smaller proportional decline in daily water potential. The WD was negatively related to  $\Psi_{min}$  ( $R^2 = 0.30$ ,  $P < 0.01$ ) (Figure S4b available as Supplementary data at *Tree Physiology Online*), and furthermore,  $C_I$  was positively correlated with  $A_{max}$  ( $R^2 = 0.21$ ,  $P < 0.001$ ) and  $g_s$  ( $R^2 = 0.26$ ,  $P < 0.001$ ) (Figure 2b and d).

The association between the 17 traits of the 22 tree species from the TSF and the savanna was evaluated using PCA (Figure 3a). The first axis of the PCA explained 47.5% of the variation in the traits. The positive loadings were associated with traits of water storage capacity ( $C_I$ ,  $C_{II}$ , SWC and FLF), while negative loadings, such as WD and FWR, were associated with structural resistance. The second axis accounted for 14.3% of the trait variation and was associated with hydraulic conductivity (i.e., VF, APF and  $K_{th}$ ). The tree species from the TSF and a savanna were well separated along the second axis (Figure 3b).

### Trunk sapwood capacitance and its structural determinants

Trunk sapwood capacitance varied greatly among species in TSFs and savanna sites. At the TSF site, the  $C_I$  ranged from 139.32 kg m<sup>-3</sup> MPa<sup>-1</sup> in *Walsura pinnata* to 508.08 kg m<sup>-3</sup> MPa<sup>-1</sup> in *Colona thorelii*. At the savanna site,  $C_I$  varied from 166.65 kg m<sup>-3</sup> MPa<sup>-1</sup> in *Olea ferruginea* to 434.76 kg m<sup>-3</sup> MPa<sup>-1</sup> in *Lannea coromandelica* (Table S2 available as Supplementary data at *Tree Physiology Online*). Although five of the six tree species at the savanna site were deciduous, the magnitude of capacitance showed no difference between the evergreen and deciduous tree species among the 22 species studied ( $P = 0.75$ ). The magnitude of  $C_I$  was ~10–66 times the value of  $C_{II}$  for TSF and savanna trees, respectively. After calculation with predawn and midday water potential,  $C_{pd-md}$  was significantly decreased compared with  $C_I$  and ranged from 2.78 kg m<sup>-3</sup> MPa<sup>-1</sup> in *O. ferruginea* to 165.81 kg m<sup>-3</sup> MPa<sup>-1</sup> in *Girardinia subaequalis*. Our results showed that both trunk  $C_I$  and  $C_{II}$  were negatively related to sapwood density (WD) (Figure S1 available as Supplementary data at *Tree Physiology Online* and Figure 4b). The data from

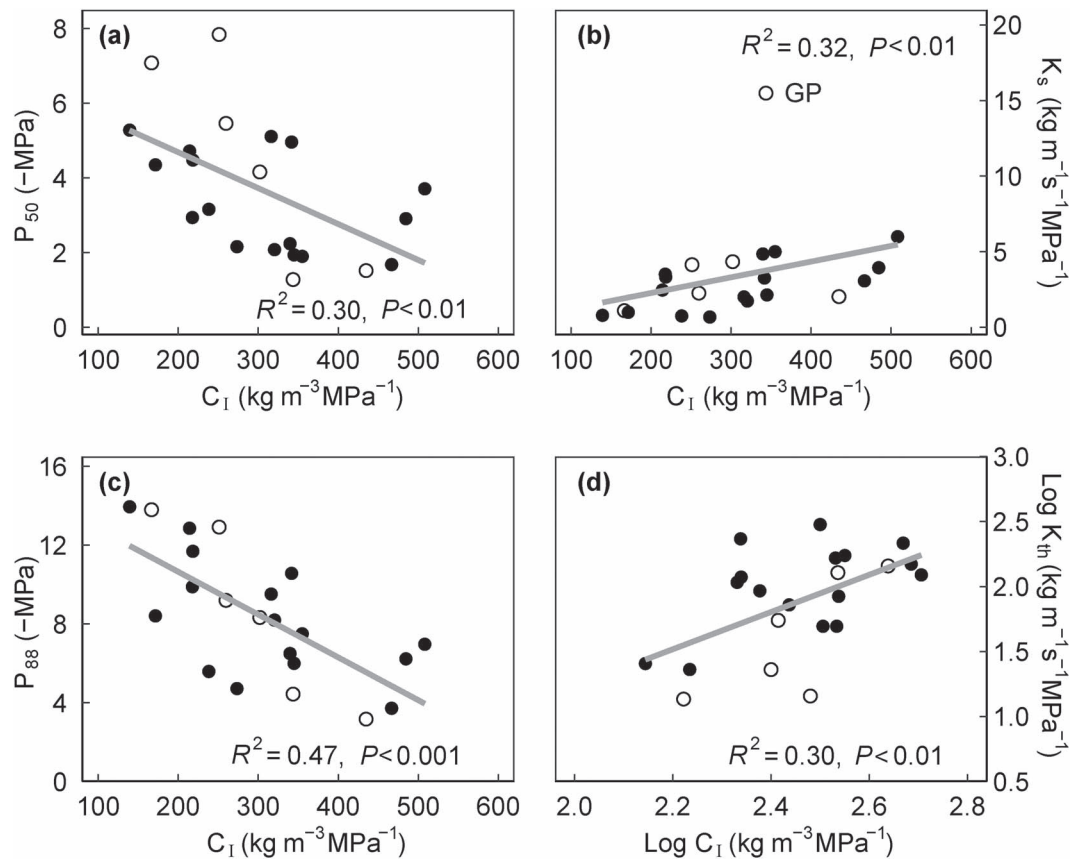


Figure 1. Relationships between trunk sapwood capacitance and branch hydraulic traits (a–d) across 22 tree species from the TSF (black circles,  $N = 16$ ) and savanna (white circles,  $N = 6$ ). Each symbol represents a mean value based on four individuals. The outlier (*Garuga pinnata*; GP) in (b) is excluded from the linear regression analysis.  $P_{50}$ , xylem water potential at 50% loss of hydraulic conductivity;  $P_{88}$ , xylem water potential at 88% loss of hydraulic conductivity;  $K_s$ , branch sapwood specific hydraulic conductivity;  $K_{th}$ , trunk sapwood theoretical hydraulic conductivity.

this study, together with an additional 24 tropical and subtropical tree species from the literature, exhibited an exponential decay relationship between WD and trunk capacitance ( $R^2 = 0.82$ ,  $P < 0.001$ ) (Figure 4a). Additionally, a close correlation exists between saturated water content (SWC) and  $C_I$  ( $R^2 = 0.82$ ,  $P < 0.001$ ) (Figure 4c). The sapwood water potential at the turgor loss point ( $\Psi_{tlp-x}$ ) showed a positive relationship with  $C_I$  (Figure 4d) and a negative relationship with WD (Figure S4c available as Supplementary data at *Tree Physiology Online*). Moreover,  $C_I$  had a negative correlation with fiber wall reinforcement ( $R^2 = 0.68$ ,  $P < 0.001$ ) (Figure 4e) and a strongly positive correlation with fiber lumen fraction (FLF) ( $R^2 = 0.56$ ,  $P < 0.001$ ) (Figure 4f). At the same time, there was no significant correlation between  $C_I$  and vessel fractions (Figure S3S1 available as Supplementary data at *Tree Physiology Online*). The  $C_{II}$  was independent of the axial and ray parenchyma fractions ( $R^2 = 0.11$ ,  $P = 0.14$ ;  $R^2 = 0.002$ ,  $P = 0.84$ ) (Figure 4g and h).

Significant interspecific differences exist in xylem anatomical characteristics; however, no significant differences were observed between the TSF and savanna trees (Figure S5

available as Supplementary data at *Tree Physiology Online*). For all 22 tree species, the fiber wall fraction had the lowest CV (27.17%), ranging from 9.71% (*Haldina cordifolia*) to 47.44% (*Walsura pinnata*). The CV of the ray parenchyma fraction was 33.35%, ranging from 6.13% (*Castanopsis indica*) to 34.55% in *Barringtonia fuscicarpa*. The axial parenchyma fraction had the highest CV (54.89%), ranging from 0.39% (*Sloanea tomentosa*) to 22.91% (*Garcinia cowa*). The CV of the fiber lumen and vessel fractions were 52.06 and 34.47%, respectively. In only two species, *Castanopsis indica* and *Haldina cordifolia*, the vessels were surrounded by tracheid cells, with tracheid fractions of 10.5 and 28.22%, respectively.

## Discussion

The results of our study show that the trunk sapwood capacitance of trees from savanna and rainforest sites is largely driven by fiber characteristics, with multifunctional consequences for the embolism resistance and hydraulic conductivity of canopy stems, the xylem water potential of the canopy and leaf gas exchange.

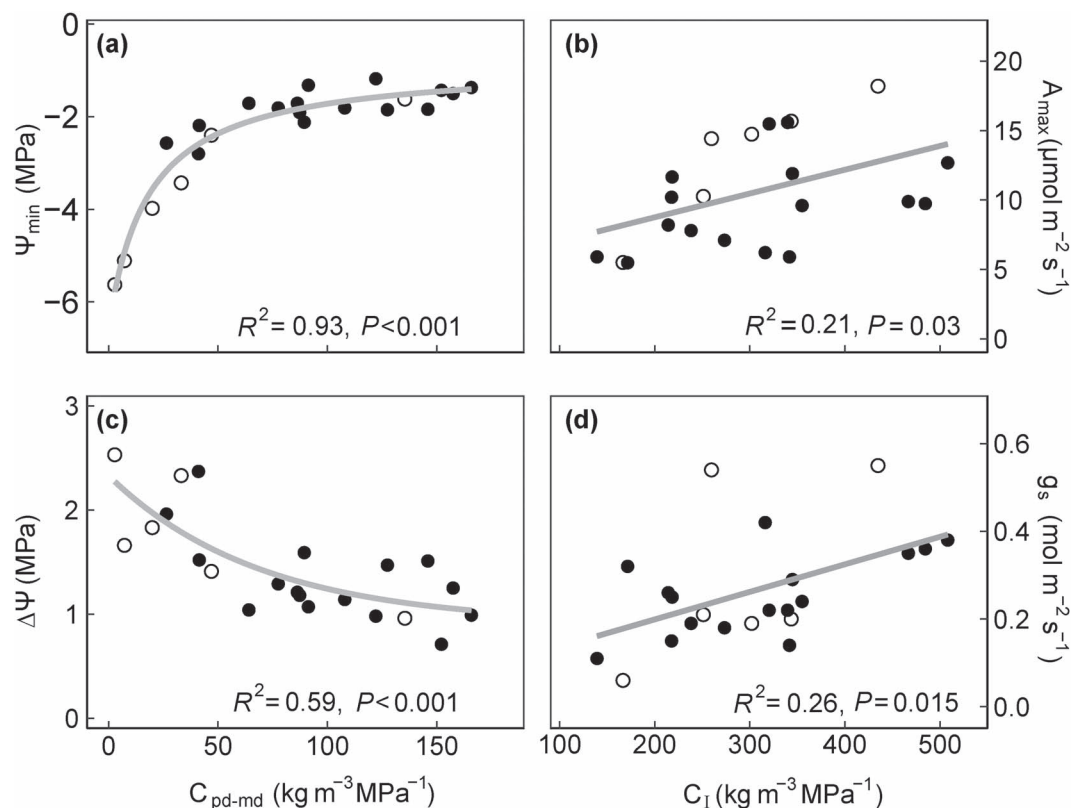


Figure 2. Relationship between trunk sapwood capacitance during the day ( $C_{pd-md}$ ) and (a) minimum water potential ( $\Psi_{min}$ ) of stem xylem measured in the dry season, and (c) the difference between predawn and midday branch water potential ( $\Delta\Psi$ ). Relationship between  $C_I$  (trunk sapwood capacitance during Phase I) and (b) maximum photosynthetic rate ( $A_{max}$ ) and (d) maximum stomatal conductance ( $g_s$ ). Each dot represents a tree species from the TSF (black circles,  $N = 16$ ) or savanna (white circles,  $N = 6$ ).

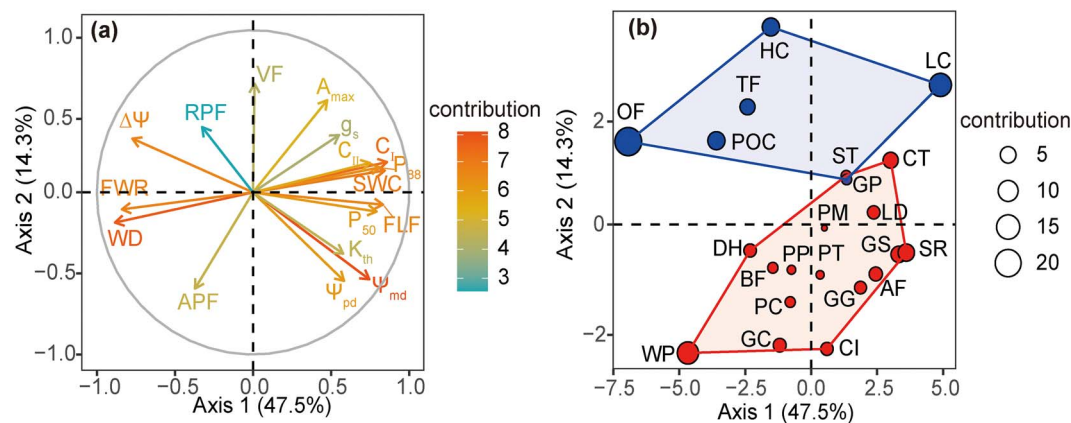


Figure 3. The PCA of 17 traits from 22 tree species. (a) The loadings of 17 traits on the first and second axes; (b) species loading on the first and second axes, red and blue circles represent the TSF ( $N = 16$ ) and savanna ( $N = 6$ ), respectively. The color gradient and circle size represent the contributions of traits (a) or species (b) to the first and second axes. Species acronyms and trait abbreviations are provided in Tables 1 and 2, respectively.

### High trunk sapwood capacitance was associated with low embolism resistance in canopy stems

Our results revealed that high trunk sapwood capacitance was associated with low embolism resistance in the canopy branches. If high trunk sapwood capacitance can supply a large volume of water to a tree canopy, it may not be necessary for the tree to invest in the high embolism resistance of the

xylem in branches. By contrast, species with a relatively low trunk sapwood capacitance could benefit from increased branch embolism resistance (more negative  $P_{50}$  and  $P_{88}$ ) to adapt to low xylem water potentials, which may induce hydraulic dysfunction during severe drought. Accordingly, water storage and embolism resistance are contrasting mechanisms by which plants cope with episodic droughts (Pivovarov et al. 2015).



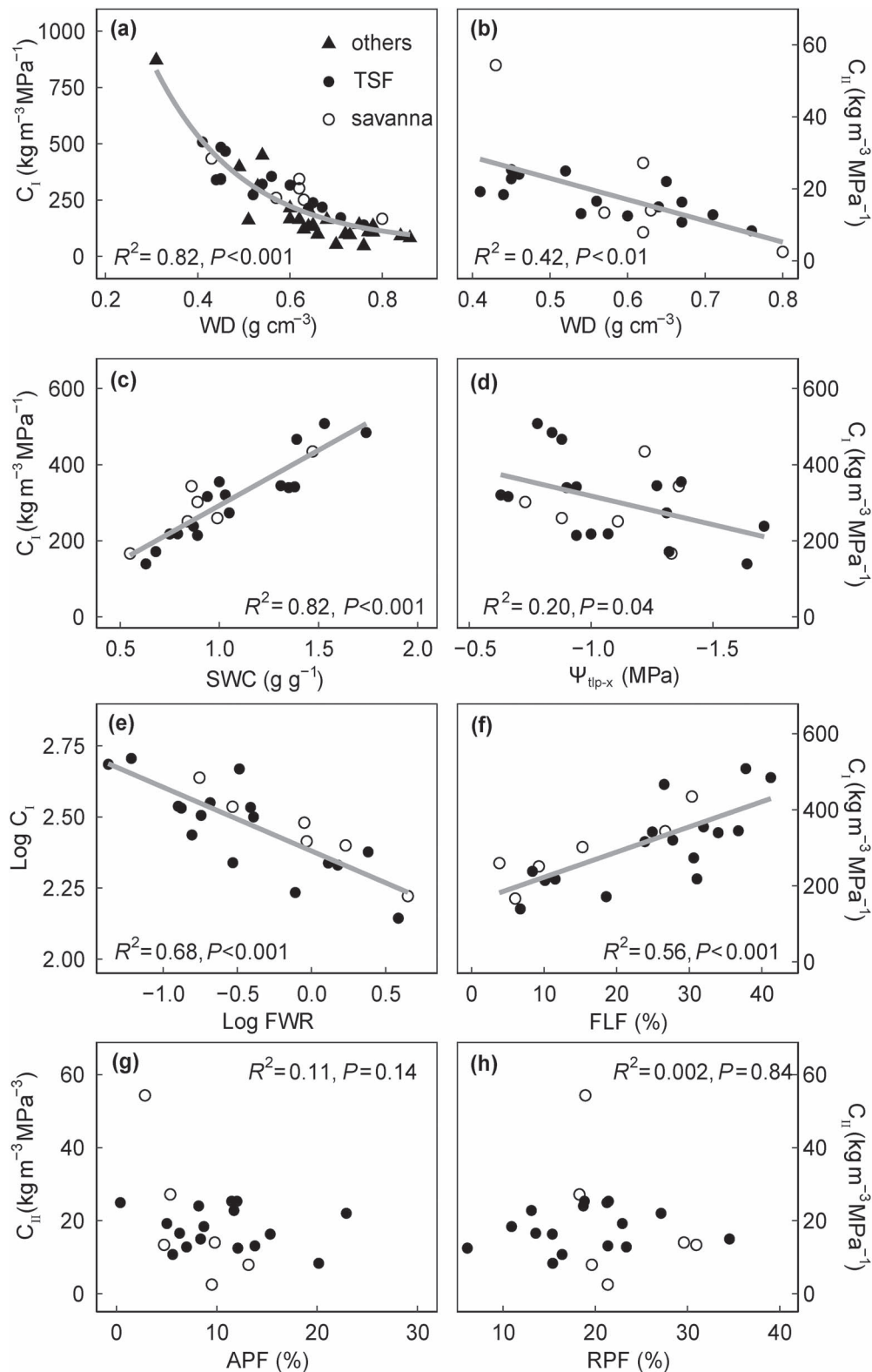


Figure 4. The relationship between mean values ( $N = 4$ ) of trunk sapwood capacitance during Phases I and II ( $C_I$  and  $C_{II}$ ) of water release and between anatomical parameters in trunk sapwood across 22 tree species from a TSF (black circles,  $N = 16$ ) and a savanna (white circles,  $N = 6$ ). (a) Between  $C_I$  and sapwood density (WD), the data of tropical and subtropical tree species from Siddiq et al. (2019) and Oliva Carrasco et al. (2014), as indicated by triangles, were collected to establish a robust relationship across 46 tree species ( $y = 52.28 + 3895.13\exp(-5.21x)$ ); (b) between  $C_{II}$  and WD; (c) between  $C_I$  and SWC; (d) between  $C_I$  and turgor loss point of sapwood ( $\Psi_{\text{tlp-x}}$ ); (e) between  $C_I$  and fiber wall reinforcement (FWR); (f) between  $C_I$  and FLF; (g, h) between  $C_{II}$  and the fraction of axial and ray parenchyma (APF and RPF).

Therefore, our results suggest a trade-off between the trunk sapwood capacitance and xylem embolism resistance in the branches. Moreover, the inverse correlation between the trunk sapwood capacitance and branch xylem embolism resistance suggests that tree species with high trunk sapwood capacitance probably enable the development of wide vessels in both the trunk and branch xylem. This may favor the stable maintenance of xylem water transport ( $K_{th}$  and  $K_s$ ) and may come at the expense of reducing xylem embolism resistance.

Our data suggest that there is coordination between transport efficiency and sapwood capacitance. Trunk sapwood capacitance positively correlated with trunk and branch hydraulic conductivity ( $K_{th}$  and  $K_s$ ) in TSF and tropical savanna trees, demonstrating that a high trunk sapwood capacitance could promote xylem water transport efficiency in both the trunk and branches. Time lags between crown and basal sap flow in tropical trees and lianas have proven the important role of trunk water storage (Burgess and Dawson 2007, Chen et al. 2016). Our observations illustrate that trunk sapwood capacitance was functionally achieved by optimizing xylem transport efficiency across tropical tree species in both the trunk and branch xylem.

#### *Trunk sapwood capacitance affects xylem water potential and the leaf gas exchange of the tree canopy*

Our findings show that high trunk sapwood capacitance can effectively buffer water stress in tree canopies. In this study,  $C_{pd-md}$  was observed to reflect the water release ability between predawn and midday. Unlike  $C_l$ ,  $C_{pd-md}$  showed a significant difference between TSF and the tropical savanna tree species ( $P = 0.014$ ). This may result from much lower  $\Psi_{pd}$  and  $\Psi_{md}$  in the savanna tree species and therefore decreased the values of  $\Delta CWR/\Delta \Psi$ . Our results indicated that tree species with higher capacitance experienced less negative branch water potential during the dry season. Moreover, savanna tree species were observed to undergo a relatively large change in  $\Psi_{min}$  due to their smaller tree size, which limits the storage volume for water. Another explanation for this discrepancy may emerge from higher potential transpiration rates and relatively lower soil water content in the savanna, which is reflected in the lower  $\Psi_{pd}$  of the savanna tree species during the dry season. Therefore, our observations revealed that tropical tree species with high trunk capacitance may mobilize large amounts of stored water to buffer the decline in diurnal branch water potential. This is due to canopy transpiration in the morning, thus, a fairly well hydrated canopy is sustained.

Furthermore, sapwood capacitance enhanced  $A_{max}$  and  $g_s$  as revealed by the positive correlation between  $C_l$  and  $A_{max}$  and between  $C_l$  and  $g_s$ . As stated above, trunk capacitance was positively associated with  $K_s$ ; high water transport capacitance permitted high photosynthetic rates, as reported previously (Brodribb and Feild 2000, Zhang and Cao 2009). Moreover, sufficient water supply from trunk storage buffers fluctuations

in the water potential of canopy leaves, thereby buffering fluctuations in photosynthetic rates.

#### *Trunk sapwood capacitance is determined by fiber properties*

Fiber lumina may be an important source of water in trunk sapwood. Although the proportion of trunk xylem tissues varied across all of the tropical tree species studied, we observed a strong and positive relationship between the FLF and  $C_l$  and a negative relationship between fiber wall reinforcement and  $C_l$ , which is in agreement with previous studies (Ziemińska et al. 2013, Jupa et al. 2016, Janssen et al. 2020). A large proportion of wood fibers are gas filled before the occurrence of embolism in xylem conduits, based on X-ray microtomography, which provides visual evidence for the vital role of fiber-stored water in the early stages of drought (Suuronen et al. 2013, Jupa et al. 2016). Fiber tissue is broadly defined here, including labriform fibers, fiber tracheids and even living fibers, excluding tracheids (Sano et al. 2011). How exactly the capacitance is linked to these different fiber types is complicated by the morphological and functional continuity between these fiber types; however, further research is required.

High fiber wall fraction and fiber wall reinforcement were closely related to high WD and low SWC (Figure S1 available as Supplementary data at *Tree Physiology Online*). Although there is a relatively large proportion of axial and ray parenchyma in the trunk sapwood xylem, our data showed that the fractions of axial and ray parenchyma cells were not associated with  $C_l$  or  $C_{II}$ . As such, xylem parenchyma cells may not have contributed to sapwood capacitance in our study, although they are widely thought to be important tissues for water storage (Vesala et al. 2003, Morris et al. 2016, Aritsara et al. 2021).

Our results showed that a low WD was beneficial for water storage and hydraulic conductivity (greater  $K_s$ ), which is consistent with previous studies (Oliva Carrasco et al. 2014, Siddiq et al. 2019). The WD is frequently used as a proxy for sapwood capacitance ( $C_l$  and  $C_{II}$ ). The combined data from different biomes and growth forms in this study and from the literature support a relationship between WD and  $C_l$ . Therefore, we observed that tropical tree species with low capacitance and high WD have a rather negative  $\Psi_{tlp-x}$ . Tree species with a lower  $\Psi_{tlp-x}$  could slowly release water in a relatively wide range of xylem water potentials (smaller  $\Delta CWR/\Delta \Psi$ ). At the same time, rapid release in the initial stage was observed in tree species with high  $\Psi_{tlp-x}$ . Additionally, tree species with high WD would maintain relatively high turgor of living tissues at a lower xylem water potential, which is beneficial for ensuring that living cells function well during mild drought periods. This divergent pattern could improve our understanding of the initial water release from the tropical tree species with differing WDs.

#### **Conclusions**

In summary, our data show a trade-off between the trunk sapwood capacitance and embolism resistance of branch xylem

across tree species in TSF and tropical savanna, with fiber lumina being the primary determinant of water storage in trunk sapwood. Moreover, trunk sapwood capacitance buffered water stress in the tree canopy and was positively correlated with the xylem hydraulic efficiency of both trunk and canopy branches and leaf photosynthesis, reflecting the crucial role of trunk capacitance in determining the drought adaptation and physiological performance of the tropical tree species.

## Supplementary data

Supplementary data for this article are available at *Tree Physiology* Online.

## Conflict of interest

None declared.

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## Data availability statement

Data are available in Supplementary data at *Tree Physiology* Online.

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