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# Plant canopies exhibit stronger thermoregulation capability at the seasonal than diurnal timescales

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#### ABSTRACT

Plant canopy temperature ( $T_c$ ) plays a crucial role in regulating plant growth and metabolism. Although dominant controls on  $T_c$  are observed to differ across timescales, whether this would cause differences in plant thermoregulation capability (PTC) remains unclear, raising concerns about extrapolating findings on plant thermoregulation from one timescale to another. Here we constructed diurnal and seasonal datasets of  $T_c$ , air temperature ( $T_a$ ), and other biotic and abiotic factors from global hourly flux data, and explored diurnal and seasonal variations in PTC (indicated by  $T_c$  vs.  $T_a$  regression slope, with lower slopes indicating higher  $T_c$  stability and stronger thermoregulation). Our result revealed significantly lower  $T_c$  vs.  $T_a$  slopes (i.e. stronger PTC) at seasonal than diurnal timescales, primarily due to different transpiration cooling at high  $T_a$  between the two timescales. At the diurnal timescale, transpiration rates initially increase before decreasing with  $T_a$  after reaching a specific temperature threshold (~85<sup>th</sup> percentile of  $T_a$ ; related to midday depression of stomatal activities); Conversely, at the seasonal timescale, transpiration rates consistently increase with  $T_a$  (related to the coincidence among high water availability and the peak annual  $T_a$ ). PTC also displays considerable spatial variability, with latent heat vs. net radiation relationship and water availability being the dominant regulators. Collectively, we recommend caution when extrapolating thermoregulation-relevant conclusions drawn from short-term observations to longer-term predictions, and *vice versa*, since they have different patterns and underlying mechanisms.

#### 1. Introduction

Canopy temperature ( $T_c$ ) importantly mediates plant metabolic rates. Low  $T_c$  inhibits the photosynthetic carboxylation rate of plants, while extremely-high  $T_c$  can lead to a high catabolic rate (e.g., dark respiration) and even irreversible tissue damage (Jones, 2013; Wright et al., 2017; Still et al., 2021). As a result, the net photosynthesis rate often peaks at an intermediate  $T_c$  (Huang et al., 2019). Additionally,  $T_c$ also regulates plant transpiration through the interactions between leaf-to-air vapor pressure deficit (VPD) and stomatal behaviors, and in turn is also regulated by this transpiration cooling (Medlyn et al., 2011; Guo et al., 2022). Ultimately, the influence of  $T_c$  on plant metabolic rates could affect the health and growth of plant individuals as well as the large-scale water and carbon cycles (Lin et al., 2020; Farella et al., 2022). Therefore, understanding whether and to what extent plants can thermoregulate their  $T_c$  to adapt to their living environments for photosynthesis and growth is a central question in ecology, with critical insights for accurate projections of ecosystems' response and resilience to climate change (Pau et al., 2018; Still et al., 2019).

Plant thermoregulation refers to the ability of plants to regulate their  $T_c$  within a relatively stable range, despite the variability in  $T_a$  (Michaletz et al., 2016; Still et al., 2019; Guo et al., 2023). This ability is influenced by plant functional traits (e.g., intrinsic plant water use strategy, leaf morphology, and canopy structure; Still et al., 2019) and their living environments (e.g. light, VPD, and wind speed; Michaletz et al., 2015) (Fig. 1a). Specifically, plants can be heated up by absorbing solar radiation, while they can also be cooled down through various processes (Campbell and Norman, 2012; Jones, 2013). One common

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process is transpiration, where plants lose water vapor from their leaves, leading to a cooling effect on  $T_c$ . In addition to transpiration cooling, non-evapotranspiration cooling, such as enhancing leaf-to-air heat dissipation, can effectively cool  $T_c$ , especially under water-limited conditions (Gates et al., 1968; Rotenberg & Yakir., 2010; Leigh et al., 2017; Muller et al. 2021). Leaf orientation or structure is another method of plant thermoregulation. For example, some plants have leaves that can change orientation to avoid direct sunlight and reduce heat absorption (Ehleringer & Forseth, 1989), and other plants have succulent leaves or hairy surfaces that reduce heat absorption or increase heat dissipation (Wuenscher, 1970).

The issue of whether and to what extent plant thermoregulation occurs remains a topic of debate in biological research (Helliker and Richter, 2008; Drake et al., 2020; Still et al., 2022). Some argue that  $T_c$ and air temperature  $(T_a)$  are rapidly in equilibrium and can simply be considered equivalent (Drake et al., 2020), while others suggest that  $T_c$ is homeothermic despite variations in T<sub>a</sub> (Helliker and Richter, 2008). In this study, we used the terminology 'thermoregulation' to describe the systematic deviation of  $T_c$  from  $T_a$  without implying any specific directionality. To quantify the degree of plant thermoregulation, we used the regression slope between  $T_c$  vs.  $T_a$  as an indicator, as it is a general and widely accepted method (Blonder & Michaletz, 2018; Guo et al., 2023). It is hypothesized that if plant thermoregulation occurred,  $T_c$  would change more slowly than  $T_a$  over time, leading the  $T_c$  vs.  $T_a$  regression slope to be less than 1. A lower regression slope means higher stability of  $T_{\rm c}$  when facing the dynamics in  $T_{\rm a}$  over time, thus indicating a stronger plant thermoregulation capability (PTC) (Fig. S1; Michaletz et al., 2016; Drake et al., 2020). To date, many empirical studies have shown that the slope metric varies widely across species and biomes (Dong et al., 2017; Blonder & Michaletz et al., 2018; Blonder et al., 2020). For example, across global extratropical vegetated ecosystems, plant ecosystems were observed to exhibit diverse PTC from slope<1 (limited homeothermy) to slope>1.2 (megathermy) (Guo et al., 2023).

Compared with the studies exploring the spatial dynamics mentioned above, understanding the patterns and drivers of diverse plant thermoregulation behaviors across timescales is also important but remains poorly studied.  $T_c$  is regulated by both abiotic and biotic factors, with dominant controls varying by timescale (Fig. 1). Within a day, stomatal conductance (Matthews et al., 2018) and leaf angle (for certain species; Ehleringer & Forseth, 1989) can significantly affect  $T_c$  by

altering the flux of transpiration and/or net radiation (Leuzinger and Körner, 2007). Contrastingly, across seasons, factors such as leaf age demography, canopy structure, and soil water content also play key roles (Still et al., 2021; Jin et al., 2022) (Fig. 1b). Adjust their T<sub>c</sub> with seasonal changes has important physiological meaning for plants. Specifically, during early growing seasons with cool temperature, plants may adjust their leaf pigments or angles to increase light absorption that can further increase  $T_c$  and thus enhance photosynthetic carbon uptake (Jones, 2013). While during the peak growing seasons with hot temperature, plants usually increase total leaf area and/or change leaf clustering to enhance the transpiration and/or heat dissipation rates, which ultimately help to reduce their  $T_c$  (Guo et al., 2023; Muller et al., 2023). These collectively imply that the seasonal thermoregulation could be a strategy to allow plants to adjust their  $T_c$  to better adapt to their seasonal climate (i.e., avoiding the heat stress while mitigating the cold temperature impact), thus prompting plant growth. Even though the dominant drivers responsible for  $T_c$  vary with the timescale of interest, it has not been assessed whether they would result in distinct PTC across timescales. If such differences were to exist, they would have important implications for applying conclusions drawn from short-term (e.g., diurnal) observations to longer-term (e.g., across seasons) predictions and vice versa; thus, they ought to be urgently explored.

To gain an improved understanding of plants' thermoregulation capabilities across diurnal and seasonal timescales, we leveraged global datasets of  $T_c$ ,  $T_a$ , and other environmental and biotic variables from FLUXNET2015, Asiaflux, European flux, and Brazil flux network (see section 2.1 for more details), and comprehensively evaluated the  $T_c$  vs.  $T_{\rm a}$  relationship at both timescales of interest. We hypothesized that plants exhibit stronger PTC (corresponding to a smaller slope indicator; Fig. S1) across seasonal timescales since more factors and processes are involved in the T<sub>c</sub> regulation at this timescale than the diurnal timescale. Accordingly, we asked the following three questions: 1) Do plant canopies show different PTC across diurnal and seasonal timescales? 2) If yes, what are the mechanisms underlying this difference? 3) What are the drivers of spatial variability in PTC across the two timescales of interest? To address these questions, we first explored the thermoregulation patterns and mechanisms of plant ecosystems across diurnal and seasonal timescales, and then used an integrated framework of state-ofthe-art machine learning model and game-theory interpretation (i.e., XGB-SHAP; Wang et al., 2022) to assess the relative contribution of each



(a) Abiotic and biotic drivers of  $T_{c}$ 

#### (b) Dominant drivers across timescales

**Fig. 1.** Abiotic and biotic variables jointly regulate the canopy temperature ( $T_c$ ) but the dominant factors differ on different timescales. (a) The main factors that regulate  $T_c$  include environmental and biological variables. (b) The dominant controls on  $T_c$  differ on diurnal and seasonal timescales.

abiotic and biotic variable to the spatial variability in PTC across global flux sites.

#### 2. Materials and methods

#### 2.1. Flux data

We used eddy covariance (EC)-based flux data from FLUXNET2015 (Pastorello et al., 2020), Asiaflux (https://db.cger.nies.go.jp/asiafluxd b/), European flux (https://www.icos-cp.eu/data-products/2G60-ZHAK), and the Brazil flux network (Restrepo-Coupe et al., 2013) (Fig. 2). It includes observations of carbon (e.g., gross primary productivity—GPP), and energy fluxes (e.g., net radiation— $R_n$ , longwave radiation— $R_{LW}$ , sensible heat—H, and latent heat—LE), as well as key meteorological data (e.g., Ta, relative humidity-RH, and windspeed—u, shear velocity— $u^*$ ). A total of 155 sites (including 869 site-years observations; Fig. 2), spanning a large latitudinal gradient from  $70^{\circ}$  N to  $37^{\circ}$  S were used after removing sites that did not meet our requirements (see Section 2.3.1). This dataset was selected for several reasons. First, the dataset is publicly available and covers major plant functional types (PFTs) around the world. Second, this dataset was processed following a rigorous data-processing pipeline including quality control, gap-filling, and photosynthesis partitioning (Pastorello et al., 2020; Restrepo-Coupe et al., 2013), and provided quality-controlled meteorological and flux data for consistent assessments of T<sub>c</sub> vs. T<sub>a</sub> relationships. Notably, only the measured or gap-filled data with good quality (QC=0 for direct measurements or 1 for good quality gap-filled data) were used in this study, and the energy fluxes data were corrected with the energy balance closure. Third, many models and theories have been developed to infer  $T_c$  from these flux measurements with demonstrated accuracy (Doughty & Goulden, 2008; Jones., 2013; Guillevic et al., 2018). Finally, it provides hourly observations and covers the full annual cycle(s), allowing us to extract diurnal and seasonal signals from original flux observations (see Section 2.3.2 for details).

#### 2.2. Satellite and reanalysis-based data

In addition to flux observations, some other abiotic and biotic variables from satellite and reanalysis data were also used as candidate variables for explaining the spatial variability in PTC (indicated by the  $T_c$  vs.  $T_a$  regression slope; Michaletz., et al., 2016; Drake et al., 2020). Specifically, 5 additional abiotic and biotic variables showing direct linkages with the canopy energy balance process were extracted and used, namely, elevation (from SRTM90\_V4; Van, 2001), soil water

content (SWC, from ERA5L, Muñoz-Sabater et al., 2021), leaf area index (LAI; from MCD15A3H; Myneni & Park, 2015), canopy height ( $H_c$ , from ETH\_GlobalCanopyHeight\_2020\_10m\_v1, Lang et al., 2022), and plant functional type (PFT; from MCD12Q1, Friedl & Sulla-Menashe, 2015) (Table 1). For each site, the average value of each variable within a 3\*3 pixels window around the site was calculated. These datasets were selected for two reasons. First, they are publicly available on Google Earth Engine and have been pre-processed following standard protocols (Gorelick et al., 2017). Second, they have been demonstrated to have high accuracy and are widely used in many global scale studies (Van, 2001; Myneni & Park, 2015; Muñoz-Sabater et al., 2021).

#### 2.3. Methods

A flowchart outlining the full data analysis procedure is provided in Fig. 3, which includes three parts: 1) data processing; 2) evaluating the patterns of PTC at the diurnal and seasonal timescale, respectively; 3) exploring the drivers of spatial PTC variability.

#### 2.3.1. Data processing

1) Extraction of growing season data for densely vegetated sites. The flux-derived ecosystem temperature usually includes the temperature of bare soil and plant canopies (Still et al., 2022). To minimize the contamination from the bare soil background (which has a considerable effect in either the early/late growing season with less leaf cover or sparse vegetation with higher soil fraction all year round), we here followed Guo et al. (2023), and only focused on the data within the growing season of densely vegetated sites, in which we assume that plant canopies dominate the ecosystem-scale surface temperature. The detailed implementation includes two steps. Step 1—Identify the growing season. The start and end of the growing season in each year were calculated based on the seasonality of gross primary productivity (GPP) for each site following Piao et al. (2007), including three sub-steps. First, we smoothed the daily EC-derived GPP data with a 10-day moving window and then extracted the maximum (GPP<sub>max</sub>) and minimum (GPP<sub>min</sub>) GPP values for each year. Second, we defined 30% of the GPP amplitude (i.e.,  $GPP_{min}$  + 0.3\*(GPPmax - GPPmin) as the threshold of the growing season, and the period with GPP less than this threshold was labeled as a non-growing season. Finally, we filtered out all the non-growing season observations. Step 2-Identify the densely vegetated sites. The LAI data from MCD15A3H (Myneni & Park, 2015) was used to filter out the sites with sparse vegetation. Following the protocol of Guo et al. (2023), the sites with mean growing season LAI (LAI<sub>gs</sub>) <



Fig. 2. Distribution of 155 flux sites from FLUXNET 2015, European Flux, AsiaFlux, and Brazil flux (including 869 site-years of observation) used in this study, indicated by circles. (a) Location of each site on latitude and longitude grid; (b) Location of each site on classic Whittaker Biome Classification by the climate of mean annual temperature and precipitation.

#### Table 1

Summary of the eddy covariance data, remote sensing data, and reanalysis data used in this study.

Variables	Definition	Unit	Resolutions (spatial/temporal)	Data source	Accessed links
T <sub>c_aero</sub>	Aerodynamic canopy temperature	°C	site/hourly	FLUXNET	А
$T_{c_LW}$	Radiative canopy temperature	°C	site/hourly	FLUXNET	А
Ta	Air temperature	°C	site/hourly	FLUXNET	А
RH	relative humidity	%	site/hourly	FLUXNET	А
и	Wind speed	$m s^{-1}$	site/hourly	FLUXNET	А
Р	Precipitation	mm	site/hourly	FLUXNET	А
PAR	Photosynthetically active radiation	$\mu$ mol m <sup>-2</sup> s <sup>-1</sup>	site/hourly	FLUXNET	А
GPP	Gross primary productivity	$\mu$ mol m <sup>-2</sup> s <sup>-1</sup>	site/hourly	FLUXNET	Α
R <sub>n</sub>	Net radiation	W/m <sup>2</sup>	site/hourly	FLUXNET	Α
LE	Latent heat (evapotranspiration)	W/m <sup>2</sup>	site/hourly	FLUXNET	А
Н	Sensible heat (convection)	W/m <sup>2</sup>	site/hourly	FLUXNET	Α
LAI	Leaf area index	$m^2/m^2$	500m/4day	MCD15A3H	В
Hc	Canopy height	m	30m/-	ETH	С
SWC	Soil water content	% of volume	9km/hourly	ERA5L	D
ε	Canopy surface emissivity	-	500m/daily	MOD21A2	E

A: https://fluxnet.org/data/fluxnet2015-dataset/subset-data-product/.

B: https://lpdaac.usgs.gov/products/mcd15a3hv006/.

C: https://samapriya.github.io/awesome-gee-community-datasets/projects/canopy/.

D: https://www.ecmwf.int/en/era5-land.

E: https://lpdaac.usgs.gov/products/mod21a2v006/.



Fig. 3. Workflow for studying the diurnal and seasonal variability in plant thermoregulation capability and its drivers on a global scale.

2.0 m<sup>2</sup>/m<sup>2</sup>, as well as the observations during the early or late growing season with LAI < 2.0 m<sup>2</sup>/m<sup>2</sup>, were removed.

2) **Derivation of canopy temperature** ( $T_c$ ). To derive  $T_c$  from the EC flux measurements, two approaches are widely used, including

aerodynamic-based (obtaining aerodynamic  $T_c$ , i.e.,  $T_{c\_Aero}$ ; Eqn. 1) and longwave radiative-based (obtaining longwave-based  $T_c$ , i.e.,  $T_{c\_LW}$ ; Eqn. 2) (Campbell and Norman, 2012; Jones, 2013). We selected  $T_{c\_LW}$  as the primary approach because it is independent of  $T_{\rm a}$  and therefore avoids the recycle use and bias issues when calculating the relationship between  $T_{\rm c}$  and  $T_{\rm a}$ . Meanwhile, when cross-comparing  $T_{\rm c_LW}$  with  $T_{\rm c_Aero}$ , we found the two  $T_{\rm c}$  metrics and associated  $T_{\rm c}$  vs.  $T_{\rm a}$  relationship display very strong agreements with each other (Fig. S2). These  $T_{\rm c}$  metrics were calculated using Eqns. 1 and 2 as follows:

$$T_{c_{-Aero}} = \left(\frac{u}{(u^*)} + 6.2u^{*-2/3}\right) \times \left(\frac{H}{c_p \rho_a}\right) + T_a$$
(1)

$$T_{c_{-LW}} = \left[\frac{1}{\varepsilon\sigma} \left(LW_{\text{canopy}} - (1 - \varepsilon)LW_{\text{sky}}\right)\right]^{1/4}$$
(2)

In Eqn. 1, *u* is the horizontal wind speed (m/s) obtained from flux data,  $u^*$  is the friction velocity (m/s) obtained from flux data, *H* is the sensible heat flux (W/m<sup>2</sup>) obtained from flux data,  $c_p$  is the specific heat capacity of air (29.3 J/mol/K),  $\rho_a$  is the density of moist air (kg/m3); In Eqn. 2,  $\varepsilon$  is the emissivity (unitless) obtained from the MODIS emissivity product (MOD21A2), which is averaged within a 3\*3 pixel window around the flux site (Guo et al., 2023);  $\sigma$  is the Stefan-Boltzmann constant (5.67 × 10<sup>-8</sup> W/m<sup>2</sup>/K<sup>4</sup>), *LW*<sub>canopy</sub> is the upward longwave radiation emitted by the canopy surface (W/m<sup>2</sup>) obtained from flux data, and *LW*<sub>sky</sub> is the downward longwave radiation emitted by the sky (W/m<sup>2</sup>)

obtained from flux data.

3) Remove the *LE* observation on rainy days. Flux-based *LE* observations are composed of plant transpiration, canopy intercepted evaporation, and soil evaporation (Zhang et al., 2019, 2022). To minimize the interference from the soil evaporation and canopy interception evaporation, we removed *LE* observations on rainy days (daily rainfall > 1mm) and their subsequent 2 days, following Knauer et al. (2018).

### 2.3.2. Deriving the patterns of plant thermoregulation capability across timescales

To answer question 1 (do plant canopies show different thermoregulation capacities across diurnal and seasonal timescales?), we derived PTC (indicated by the regression slope of  $T_c vs. T_a$ ; Fig. S1) at the diurnal and seasonal timescales, respectively. The derivation includes two steps. Step 1—extracting diurnal and seasonal signals, respectively, from hourly EC observations covering the full growing seasons (Fig. 4). For this purpose, we followed Tiwari et al (2013) and conducted a moving-window average analysis on the hourly  $T_c$  and  $T_a$  time-series data, respectively, with the window size equal to the sample number (n=24 hours; we resampled all flux data to hourly intervals) of each day. The moving-window-averaged  $T_c (T_{c_MA})$  and  $T_a (T_{a_MA})$  as a result of this step (i.e., the conceptual line shown in Fig. 4a-iii) only contains the seasonal information. By subtracting  $T_{c_MA}$  and  $T_{a_MA}$  from their original



**Fig. 4.** Partitioning the time-series of hourly air ( $T_a$ ) and canopy ( $T_c$ ) temperature into the diurnal and seasonal signals using eddy covariance flux measurements. Panel (a) displays the conceptual time-series dynamics on an annual cycle (a-i) that can be further partitioned to the signals on the diurnal (a-ii) and seasonal (a-iii) timescales, respectively. Panel (b-d) displays the examples of the three real-world vegetated ecosystems, including (b) a forest site of AU-Tum (35.66° S, 148.15° E), with a mean growing-season LAI of 5.2 m<sup>2</sup>/m<sup>2</sup>; (c) a shrubland site of US-Wi7 (49.65° N, 91.07° W), with a mean growing-season LAI of 3.6 m<sup>2</sup>/m<sup>2</sup>; and (d) a grassland site of CH-Cha (47.21° N, 8.41° W), with a mean growing-season LAI of 2.9 m<sup>2</sup>/m<sup>2</sup>. From left to right, the panels illustrate the results of (i) original, (ii) diurnal, and (iii) seasonal data.

hourly  $T_c$  and  $T_a$  data, it is possible to derive residual data that only includes diurnal information (Fig. 4a-ii; Tiwariet al., 2013). Step 2—deriving PTC at hourly and seasonal timescales. Here we followed Guo et al. (2023) and only focused on the  $T_c$  vs.  $T_a$  relationship during those daytime observations when photosynthetically active radiation (PAR) is over 100 µmol m<sup>-2</sup> s<sup>-1</sup>. This is because the night-time  $T_c$  vs.  $T_a$ relationship can be mainly driven by physical processes like radiative cooling (Jones, 2013; Guo et al., 2022) and thus does not reflect the full picture of PTC that is subject to both abiotic and biotic controls. With the above-derived diurnal and seasonal data (including daytime observations only), we calculated the regression slope of  $T_c$  vs.  $T_a$  to derive the corresponding PTC on both timescales of interest. In addition, we further compared the diurnal vs. seasonal PTC patterns for different PFTs.

### 2.3.3. Exploring the mechanisms underlying thermoregulation differences between diurnal and seasonal timescales

To answer question 2 (what are the mechanisms underlying the differences between diurnal and seasonal PTC?), we compared diurnal *vs.* seasonal differences in the key processes that regulate plant thermodynamics. For plants, their canopies are often warmer than air during the middy due to high solar radiation (Jones, 2013; Guo et al., 2023; Still et al., 2022). Therefore, to avoid extremely high tissue temperatures, plants usually thermoregulate to reduce absorbed net energy ( $R_n$ ) and/or enhance transpiration cooling (latent heat; *LE*) and canopy-to-air convection (related to sensible heat, *H*). Because  $T_c$  is mainly determined by the balance of  $R_n$ , *LE*, and  $H(R_n = H + LE + G$ , here G is stored energy by soil, which usually is proportional to  $R_n$ ; Jones, 2013), we compared how *LE* and *H* respond to  $R_n$  change between diurnal and seasonal timescales.

We expected that as  $R_n$  increases, if more absorbed energy is used for transpiration cooling (converted to *LE*), this means that less energy was converted to *H*, which will result in a smaller positive canopy-to-air temperature difference ( $\Delta T$ ) (Fig. S3). [Notably, a higher *H* may not increase  $\Delta T$  if aerodynamic conductance for heat exchange ( $G_H$ ) increases simultaneously (Muller et al., 2023). However, we did not analyze the impact of  $G_H$  due to a lack of necessary plant trait information for  $G_H$  calculation.] Specifically, we used *LE* (and *H*) vs.  $R_n$  slope to indicate the relative change of *LE* (and *H*) to  $R_n$ . A larger *LE vs.*  $R_n$  (or smaller *H vs.*  $R_n$ ) slope indicates that more  $R_n$  converted to *LE* and less to *H*, resulting in a slower warming rate for plants (i.e. smaller  $T_c$  vs.  $T_a$ slope). Our pre-analysis based on observations of 155 flux sites confirms this pattern, showing that sites with larger *LE vs.*  $R_n$  slope shave smaller  $T_c$  vs.  $T_a$  slopes (Fig. S4a,b), and *LE* (or *H*) vs.  $R_n$  slope correlates better with PTC than *LE* or *H* alone (Fig. S4c,d).

## 2.3.4. Exploring the drivers of global spatial PTC variability across both diurnal and seasonal timescales

To answer question 3 (What are the drivers of spatial variability in plant thermoregulation capability across the two timescales of interest?), we used a tree-based machine learning model (eXtreme Gradient Boosting, XGB; Chen et al., 2015) coupled with the SHapley Additive exPlanations (SHAP) framework (Lundberg et al., 2020) to explore the contribution of each biotic and abiotic variable to the variability of PTC across sites. The XGB model is a nonlinear model, which has been widely used for local interpretations in the SHAP framework (Valavi et al., 2022; Wang et al., 2022; Zhang et al., 2021). The SHAP method is based on the Shapley value concept from game theory (Lundberg & Lee, 2017), which directly monitors the impacts of individual features (e.g., those abiotic and biotic variables) on model loss using the differences between the model predicted and expected values (Lundberg et al., 2020). Specifically, the predicted value is the output of the XGB model with all features as inputs, while the expected value is the mean of the output obtained from all combinations of features other than the target feature. A higher difference indicates greater feature importance. The average of the absolute SHAP values is viewed as the SHAP feature importance of a certain variable.

18 abiotic and biotic variables showing direct linkages with the energy balance process were used as explanatory variables (details are shown in Table 1). These variables were derived from FLUXNET, satellite, and re-analysis products following the standard methods (also see Section 2.2 above), including  $T_a$ , RH, elevation, u,  $u^*$ , VPD, SWC, PAR, precipitation (P), LAI, water availability (indicated by actual ET/potential ET ratio), Hc, water use efficiency (WUE, indicated by GPP/ET ratio), PFT, LE, H, the LE vs.  $R_n$  regression slope, and the H vs.  $R_n$ regression slope. To minimize collinearity among these variables, we calculated the correlation between each of the two variables and found that some variables are highly correlated, such as VPD with  $T_a$  and LE with PAR (Fig. S5a). We thus removed those highly correlated variables, including VPD, LE, H, and the H vs. R<sub>n</sub> slope from the following analysis. The remaining variables exhibited  $R^2 < 0.44$  (Fig. S5b). The analysis includes two steps. First is model development and validation. We calibrated and evaluated the XGB model ('xgboost' package in Python) across all global sites with the above 14 variables being model inputs and the slope of  $T_c$  vs.  $T_a$  being the model output. The model displayed a satisfactory performance with an  $R^2$  above 0.5 for leave-one-out crossvalidation (Fig. S7). The second is feature importance (SHAP values) calculation. We applied the SHAP method ('shap' package in Python) to detect each variable's effect on the slope of  $T_c vs. T_a$ , which is indicated by the SHAP values of each variable. Notably, the above analyses were conducted on the diurnal and seasonal timescale, respectively.

#### 3. Results

#### 3.1. Question 1: Diurnal vs. seasonal thermoregulation capability

Our results show that  $T_c$  is linearly correlated with  $T_a$  at both diurnal and seasonal timescales, showing very high average  $R^2$ : 0.84 for diurnal data and 0.92 for seasonal data. However, slopes of  $T_c$  vs.  $T_a$  are significantly larger (p<0.001 for paired t-test) at diurnal (mean: 1.18) than seasonal (mean: 0.98) timescales, meaning that  $T_c$  changes faster with  $T_a$  at the diurnal timescale and thus indicating a significantly stronger seasonal thermoregulation capability (Fig. 5). Among all examined EC flux sites around the world, diurnal  $T_c$  vs.  $T_a$  slopes vary largely from 0.9 to 1.8, with 80% of all sites having slopes>1.1 (megathermy), 19% of all sites having slopes 0.9-1.1 (poikilothermy), and 1% of all sites having slopes<0.9 (limited homeothermy) (Fig. 5a). The seasonal  $T_c$  vs.  $T_a$  slopes, by contrast, have a smaller variation range from 0.8 to 1.3, with 40% of all sites having slopes>1.1, 41% of all sites having slopes 0.9-1.1, and 19% of all sites having slopes<0.9 (Fig. 5b).

To further assess whether the PTC difference between the two timescales of diurnal and seasonal is persistent within and across PFTs, we aggregated those sites belonging to the same PFT. Regardless of the PFTs being analyzed, i.e., forest, grassland, shrubland, savanna, cropland, and wetland, our results show that the diurnal slopes are significantly larger than the seasonal slopes (Fig. 5c).

### 3.2. Question 2: Underlying mechanisms of thermoregulation differences between diurnal and seasonal timescales

Our results demonstrate a significant difference in the response of *LE* and *H* to  $R_n$  between the diurnal and seasonal timescales, with *LE* increasing faster at the seasonal timescale, while *H* increasing faster at the diurnal timescale. Specifically, we observed a larger slope of *LE* against  $R_n$  at the seasonal timescale (slope: 0.47) than at the diurnal timescale (slope: 0.41), indicating transpiration cooling increases faster at the seasonal timescale (Fig. 6b). Conversely, for *H*, we observed an opposite trend, with the diurnal slope being larger (0.49) than the seasonal slope (0.45). The stronger transpiration cooling response at the seasonal timescale (slope: 0.0017) than on the diurnal timescale (slope: 0.0034) for the same increase in  $R_n$  (Fig. 6c), which helps explain why  $T_c$  increases more slowly at the seasonal timescale, as shown in Fig. 5.



**Fig. 5.** Diurnal and seasonal relationships of the plant canopy ( $T_c$ ) vs. air ( $T_a$ ) temperature displayed at the site and PFT level. Temporal-scale regressions of  $T_c$  vs.  $T_a$  across diurnal (a) and seasonal (b) timescales using EC data, including (i) fitted results derived from data across all available sites (n=155); (ii) fitted results for each EC site, where each grey line corresponds to each site, histograms indicate frequency distributions of regression slope and  $R^2$ , the orange dashed line is the mean of the slope. (c) The slope indicators for each site were grouped by PFT. The error bar indicates 1 standard deviation; \* and \*\* refer to p<0.05 and 0.01 of paired t-test, respectively.

To further explore the reason for the different transpiration responses at the diurnal and seasonal timescale, we compared  $LE_{norm}$  (i.e.  $LE \times \frac{\partial T_a}{\partial R_n}$  indicating *LE* normalized by  $R_n$ , see Method S1 for details) *vs.*  $T_a$  relationships between the two timescales (Fig. 7). We found that the different transpiration responses across the two timescales are mainly induced by the different responses of  $LE_{norm}$  to high  $T_a$ : at the diurnal timescale,  $LE_{norm}$  firstly increases with  $T_a$ , peaks at around intermediate  $T_a$  (blue dashed line in Fig. 7a), and then decreases afterwards; at the seasonal timescale,  $LE_{norm}$  always increases with  $T_a$  (orange line in Fig. 7a). In other words, transpiration cooling works in a wider temperature range at seasonal than diurnal timescale (evident also by the larger slope of  $LE_{norm}$  to  $T_a$  at seasonal timescale; panel a-ii of Fig. 7). The findings are consistent across all six PFTs examined (Fig. 7b), including forest, grassland, shrubland, savanna, cropland, and wetland.

### 3.3. Question 3: The relative contribution of each abiotic and biotic variable to the spatial variability in plant thermoregulation capability

To assess the relative importance of each abiotic and biotic variable in driving the large spatial PTC variability (Fig. S6), we utilized the XGB-SHAP modeling framework. The resulting XGB models for the diurnal and seasonal timescale explained 53% and 51% of the global PTC variability, respectively (Fig. S7a,b). By applying the SHAP framework to these models, we obtained the rank importance of each variable (Fig. 8b,c,d,e). Among the 14 variables examined, the *LE vs. R*<sub>n</sub> slope and water availability (indicated by the ratio of actual ET and potential ET), display the highest and second highest explanatory power on the global PTC variability, consistently across both diurnal (Fig. 8b) and seasonal (Fig. 8e) timescales. However, we also noted that the importance of other variables varies considerably between the two timescales (Fig. 8b, e). Focusing on the two most important variables (the *LE vs. R*<sub>n</sub> slope and water availability; Fig. 8c,f), we found both variables to exhibit significant, negative relationships with PTC, indicating that higher *LE vs.*  $R_n$  slope and greater water availability tend to increase the cooling effect, thus enhancing the PTC (leading to lower slopes of  $T_c$  vs.  $T_a$ ).

#### 4. Discussion

Plant canopy temperature  $(T_c)$  plays an important role in affecting plant growth as it tightly and non-linearly regulates the rates of plant photosynthesis, respiration, and transpiration (Still et al., 2021; Huang et al., 2019). Despite having evidence that the dominant drivers responsible for  $T_c$  depend on the timescale of interest (Jones, 2013; and our Fig. 1b), it has not been assessed whether they would result in distinct plant thermoregulation capabilities (PTCs) across different timescales. This knowledge gap poses a concern regarding whether the short-term findings related to PTC (based on diurnal observations) can be extended to longer-term predictions (season and beyond). Previous studies on the PTC effect were conducted at different timescales and have reported contradictory results (Fauset et al., 2018; Miller et al., 2021; Still et al., 2022). For example, relying on diurnal observations made across several days from infrared thermal sensors in a subtropical evergreen forest, Fauset et al (2018) evaluated the  $T_c$  vs.  $T_a$  relationship and found that upper canopies usually show megathermy thermoregulation patterns (i.e., the slope of  $T_c$  vs.  $T_a$  is above 1). Later, Drake et al (2020) examined the same issue but using much longer time-series observations, and found much smaller regression slopes of  $T_c$  vs.  $T_a$  close to 1. Such reported thermoregulation differences across studies may be the results of the variation of PTC across timescales (e.g. diurnal observations in Fauset et al (2018) vs. mixed timescale observations of both diurnal and seasonal in Drake et al (2020)). Furthermore, a synthesis study helps to examine the PTC issue across a wide range of forest types



**Fig. 6.** The responses of (i) latent heat (*LE*), (ii) sensible heat (*H*), and (iii) canopy-to-air temperature ( $\Delta T$ ) to increasing net absorbed radiation ( $R_n$ ) at the diurnal and seasonal timescale, respectively. Panel (a) shows the diurnal relationship, while panel (b) shows the seasonal relationship. Panel (c) presents a comparison between the diurnal and seasonal relationships. "\*\*\*" represents a p-value less than 0.001.

and climate zones (Still et al., 2022), while whether similar findings can be extended to other vegetated ecosystems around the world remain unknown.

Using the global eddy flux dataset (n=155 sites) covering all major PFTs around the world, we found that PTC (indicated by the regression slope between timeseries of  $T_c$  and  $T_a$ ) is significantly smaller at the seasonal than the diurnal timescale, at both site and PFT levels. This finding indicates a slower change in  $T_c$  with a given change in  $T_a$  (and thus implies a stronger PTC) at the seasonal timescale (Fig. 5), which has important implications for broader thermal ecology studies. First, our study largely expanded the ecosystem types and regions for PTC hypothesis testing. Previously, the 'limited homeothermy' hypothesis (Mahan and Upchurch, 1988; Michaletz et al., 2016) has often been used to describe PTC, but was only tested from limited experimental data of crop plants (Still et al., 2019; Cook et al., 2021) or from limited natural plant canopies/ecosystems (Drake et al., 2020; Still et al., 2022). By expanding the scale of the study to the globe and including multiple ecosystem types (i.e. forest, grassland, shrubland, savanna, cropland, and wetland), our study helps to reconcile diverse PTC patterns observed previously ranging from no (Drake et al., 2020; Still et al., 2022) to moderate (Blonder & Michaletz et al., 2018; Cook et al., 2021) PTC. Our results demonstrated that plant ecosystems indeed exhibit diverse PTC patterns across the globe with the  $T_c vs. T_a$  regression slope changing from 0.7-1.3, implying that these divergent results reported previously may not be incompatible. Instead, they could be caused by different biotitic and abiotic conditions.

Secondly, our finding of stronger PTC at the seasonal than the diurnal timescale implies that plants may deal better with slow, gradual temperature change (from day to day along seasons) than rapid, short-term temperature change (large diurnal fluctuations within a day). The potential reason for this may be that plants cannot avoid extreme heat by changing their leaf shape or branch orientation at the short timescale (i. e., diurnal). Whereas on the seasonal scale, plants have abilities to change these at a slow rate in line with the seasonal changes of environmental conditions (e.g. soil water content and solar radiation), which helps reduce the solar radiation received or increase the rate of heat diffusion and transpiration, leading to enhanced cooling (Jones, 2013; Muller et al., 2021; Muller et al., 2023). Consequently, we expect that short-term temperature extremes (e.g., the anomaly aspect of climate change) may put more stress on plant functioning and health than long-term, gradual temperature increases (e.g., the mean trend of climate change). This implication seems to support recent large-scale observations that increasing the frequency and intensity (on average, 8 days/year during the 1960s to 20 days/year during the 2010s) of heat waves leads to significant negative impacts (up to -34% compared to no heatwave) on regional plant productivity and terrestrial photosynthetic carbon uptake (Perkins-Kirkpatrick & Lewis, 2020; Ainsworth & Long, 2021; Breshears et al., 2021). Finally, due to the PTC difference between diurnal and seasonal timescales (Fig. 5-7), one should be wary of extending the conclusion drawn from short-term observations to



**Fig. 7.** Diurnal and seasonal relationships of normalized transpiration rate ( $LE_{norm}$ ) response to air temperature ( $T_a$ ). (a) The  $LE_{norm}$  vs.  $T_a$  relationship at the diurnal (blue line; each circle indicates one hour) and seasonal (orange line; each circle indicates one month) timescale, which is derived based on the data from all flux sites (n=155). (b) Site-level linear regression slopes of  $LE_{norm}$  vs.  $T_a$  are subsequently grouped into each PFT. The error bar indicates 1 standard deviation; \* and \*\* refer to p<0.05 and 0.01 of paired t-test, respectively. SOS and EOS refer to the start and end of the growing season.

longer-term predictions. If ignoring the difference between timescales, we may generate inaccurate predictions in canopy temperatures and other metabolic processes dependent on canopy temperature (Farella et al., 2022; Still et al., 2022).

Furthermore, we explored how transpiration cooling (LE) and canopy-to-air convection (H) respond to  $R_n$ , and found that LE increases faster (but *H* increases slower) at the seasonal timescale (Fig. 6). This is caused by the different LE responses to high  $T_a$  between the two timescales, with a convex relationship at the diurnal timescale and a monotonous, positive relationship at the seasonal timescale (Fig. 7). This finding is novel, but also agrees with the fundamental plant ecophysiology and energy balance principles that more transpiration cooling usually leads to cooler T<sub>c</sub> (Campbell and Norman, 2012; Mohr and Schopfer, 2012; Wu et al., 2020). Within a day, plant canopies tend to initially increase their transpiration rates with  $T_a$  (and solar radiation) and then down-regulate their stomata around midday when  $T_a$  (and VPD) is very high (above  $\sim 85^{\text{th}}$  percentile of  $T_a$ ) for water conservation, causing a negative relationship between transpiration and  $T_a$  (blue line in Fig. 7-i) (Medlyn et al., 2011; Vialet-Chabrand et al., 2013; Matthews et al., 2018). While at the seasonal scale, the hottest period tends to occur during the peak growing season, which often comes with sufficient precipitation (Pascale et al., 2015), resulting in sufficient water supply for plant transpiration cooling, despite a high  $T_a$  (orange line in Fig. 7-i). Such different  $LE_{norm}$  vs.  $T_a$  relationships across timescales, explain why LE increases faster with  $R_n$  (and PTC is stronger) at the seasonal than the

diurnal timescale (Fig. 5,6).

Additionally, we observed large spatial PTC variability across different plant ecosystems, but no clear trends along the temperature and precipitation gradient (Fig. S6), which may imply that  $T_a$  and precipitation are not the dominant drivers of spatial PTC variability. To allow for a more holistic understanding of abiotic and biotic controls of spatial PTC variability, we further compiled a comprehensive list of candidate variables (n=14). We found that the slope of *LE vs.*  $R_n$  has the highest explanatory power for PTC for both diurnal and seasonal timescales (Fig. 8). It is because energy allocation (i.e. how many fractions of energy absorbed is used for LE or H) importantly regulates  $T_c$ . If more energy is used for transpiration cooling (LE) and less for H, plants will have a slower warming rate with absorbed energy increasing (Fig. 6,7, S3; Jones, 2013). Water availability (indicated by ET/PET ratio) also importantly regulates PTC (Fig. 8c), suggesting that ample water availability is essential to sustain plant transpiration cooling and health (Jones, 2013; Zhang et al., 2019; 2022). This implies that future changes in precipitation and associated transpiration cooling will have an important impact on PTC, with areas of increased precipitation likely to have higher PTC, while the opposite will be true for areas with reduced precipitation. Additionally, non-transpiration cooling associated with sensible heat and aerodynamic conductance remains an important topic for future work (Rotenberg & Yakir., 2010; Leigh et al., 2017; Muller et al. 2021), especially considering that atmospheric dryness will increase in the future (Yuan et al., 2019; Breshears et al., 2021).



**Fig. 8.** The relative variable contribution to the global plant thermoregulation capability (PTC, indicated by the site-specific regression slope of  $T_c$  vs.  $T_a$ ) variability at the diurnal (a-c) and seasonal (d-f) timescale, respectively. In panels a&d, one point indicates one site, and color represents the feature value (red high, blue low), and positive (negative) SHAP values refer to the positive (negative) impact on model outputs. In panels b&e, the relative variable importance is assessed using the mean SHAP values, a higher value mean that the feature is more important; (c&e) the paired relationships between the two most important variables and PTC were displayed at diurnal and seasonal timescale, respectively. The '+' and '-' in panels b&e indicate the feature is positively and negatively partially correlated with plant thermoregulation capabilities. \*\*\* refer to p < 0.001 of paired t-test.

#### 5. Conclusion

To understand how plant thermoregulation capability varies across different timescales, we extracted diurnal and seasonal signals of  $T_c$ ,  $T_a$ , and other relevant variables from hourly flux data, and then compared thermoregulation patterns between the two timescales. We found that plant canopies exhibit different thermoregulation capabilities between the two timescales, with significantly higher thermoregulation capability at the seasonal than the diurnal timescale. Further, we revealed that this difference is mainly caused by the difference in transpiration response between the two timescales (i.e., the convex response at the diurnal timescale vs. the positive, monotonous response at the seasonal timescale). Finally, we explored the drivers of the spatial variability in plant thermoregulation capability across the global flux sites and found that the LE vs. R<sub>n</sub> slope and water availability (indicated by the ET/PET ratio) are the two most important explanatory variables. These results altogether suggest that caution is needed when expanding conclusions for short-term observational PTC studies to longer-term predictions and vice versa.

#### Author contributions

Z.G. conceived and designed the study with significant inputs from J. W. Z.G. performed the analyses. All authors contributed to interpreting the results. Z.G. wrote the first draft of the paper and all authors contributed to editing and revising the manuscript.

#### **Declaration of Competing Interest**

None.

#### Data availability

Data will be made available on request.

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#### Supplementary materials

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