



# A comparative study of ecohydrologies of a tropical mangrove and a broadleaf deciduous forest using eddy covariance measurement

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## Abstract

Evapotranspiration denotes the transport of water vapor between an ecosystem and atmosphere comprising the biotic (transpiration) and abiotic (evaporation) components. Additionally, the water vapor transports the energy used for its vaporization, the latent heat. In the present study we compare the ecohydrological cycle of a mangrove on the Bay of Bengal coast in southeast India with a broadleaf deciduous forest in northeast India using eddy covariance flux measurement for the very first time. Similar to a semi-arid ecosystem the evapotranspiration from mangrove is dominated by the dry sensible heat flux throughout the year, except pre-monsoon when it behaves like a well-watered ecosystem with evapotranspiration dominating the sensible heat flux. Such behavior is in stark contrast with the broadleaf deciduous forest which provides stronger evapotranspirative heating than sensible heat throughout the year including the dry seasons. The evaporative fraction remains consistently much lower at the mangrove than the broadleaf deciduous forest. Compared to the broadleaf deciduous forest, the mangrove ecosystem remains tighter coupled with the atmosphere. Transpiration contributes the larger share to the evapotranspiration of mangrove even in the dry seasons, whereas transpiration and evaporation contribute maximum to the evapotranspiration of broadleaf deciduous forest periodically through the year. Based on principal component analysis we show that both transpiration and evaporation at the mangrove are most strongly coupled with salinity, much different from the broadleaf deciduous forest where transpiration and evaporation are most tightly coupled with root-zone soil moisture and wind speed, respectively. The salinity regulation of transpiration has an important implication for the carbon cycle of mangrove and its appropriate parameterization in ecosystem and climate models.

## 1 Introduction

Mangroves are wetland ecosystems found in the coastal area near the confluence of rivers (Donato et al. 2011). These ecosystems not only provide livelihood to the local population through fishery, shrimp farming, firewood and tourism, but also act as natural barriers for dampening the oceanic eddies such as tsunamis, cyclones etc. (Marois and Mitsch 2015) upon their landfall. Apart from such socioeconomic values these also offer high carbon sequestration potential, often larger than the inland ‘dry’ terrestrial ecosystems, crucial for the climate change mitigation (IPCC 2013a, b; Barros et al. 2014). A large amount of carbon is stored in these partly submerged ecosystems often termed the ‘blue carbon’ (Alongi 2014).

Located at the juncture of soil, water and atmosphere the hydrology of mangroves is an amalgamation of complex biogeophysical and biogeochemical processes between the plants and environmental variables (Alongi 2014). The trace

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gas exchanges of terrestrial ecosystems with atmosphere including CO<sub>2</sub>, water vapor and CH<sub>4</sub> are controlled by the environmental variables such as global radiation, air temperature, vapor pressure deficit and soil water content. In addition, for mangroves the water parameters also play crucial roles in such exchanges. Usually growing in the regions with high radiation, evaporative demand and salinity, mangroves experience water-stressed conditions (Passioura et al. 1992; Barr et al. 2014) which make these ecosystems highly efficient in water use and capable of optimizing the carbon gain with minimum water loss (Krauss et al. 2015). Hughes et al. (2001) found the rainfall and tidal flooding to be the primary drivers of ecosystem–atmosphere water vapor exchange at a temperate salt-marsh mangrove in Australia and highlighted the supremacy of in situ measurements over empirical models in studying these phenomena.

In most ecosystem-, weather- and climate models terrestrial ecosystems are specified as different plant functional types (Hughes et al. 2001) and their atmospheric trace gas exchanges are calculated based on the empirically derived functional relations among measured trace gas concentrations, environmental variables and plant-physiological parameters. The magnitude, pattern and physiological and environmental drivers of atmospheric exchanges of trace gases and energy of the mangrove ecosystems are much different from the dry terrestrial ecosystems. Hence the mangroves are poised to respond to climate change in a much different way from the terrestrial ecosystems. However, due to the sparsity of measurements to precisely decipher such relations among the fluxes and their governing variables the mangroves remain poorly represented in such models (Berger et al. 2008; Verheijen et al. 2015) which is also presently a key area of uncertainty in projecting the impact of climate change on mangrove ecosystems.

In fact, in several of these models no dedicated PFT for mangrove is found which is partially due to the lack of clear consensus in the scientific community whether to treat these ecosystems as wetlands or forests (IPCC 2013a, b; Bongaarts 2019). Thus, uncertainty arises in upscaling the measured ecosystem-level trace gas fluxes from the mangroves to the regional and global scales (Luo et al. 2010). To address this problem, it is necessary to comparatively assess the biosphere–atmosphere exchanges of trace gases and energies at mangroves and dry inland ecosystems and highlight the similarities and differences to be implemented in the aforementioned models.

In a warmer environment induced by climate change these processes are slated to behave in much different ways posing a threat to the existence and biodiversity of mangroves (Luo et al. 2010). For proper mitigation measures such processes need to be efficiently represented in process-based ecosystem-, Earth system- and climate models which presently remain largely unknown. The long coastline of India along

the Bay of Bengal and Arabian Sea houses a significant patch of mangroves distributed over several locations (Giri et al. 2015). The Sundarban Biosphere Reserve, a contiguous mangrove in the Gangetic delta shared between India and Bangladesh is the largest mangrove ecosystem in the world. According to the latest national forest report, mangroves occupy 4975 km<sup>2</sup> area in the Indian landmass accounting for 0.70% of the total forest cover of India (India State of Forest Report 2019).

Several of the Indian mangroves are located in densely populated regions and exposed to the severe threat of rapid land-cover and land-use change driven by the agricultural expansion for their offering of fertile soil in less saline fringe regions (Datta and Deb 2012; Singh et al. 2014). Several observational and satellite-based studies have investigated various aspects of these mangroves and mapped the extent and health of these mangroves at Sundarban (Akhand et al. 2016), Coringa (Satapathy et al. 2007), Pichavaram, Bhittarkanika (Chauhan et al. 2008), Muthupet (Krithika et al. 2008; Kripa et al. 2019), etc. Forest biomass, salinity pattern, greenhouse gas emissions from soil have been documented by slow chamber-based methods (Purvaja et al. 2004; Gnanamoorthy et al. 2019). However, the high-frequency eddy covariance (EC) technique offers an unprecedented advantage in the proper estimation of evapotranspiration over the other modes of measurement (Baldocchi 2003) which is still sparse in the Indian mangroves (Rodda et al. 2016). Additionally, the partitioning of evapotranspiration into its components measured using the EC method remains challenging. In fact, to the best of our knowledge no study exists for assessing the evaporation and transpiration separately for the mangroves in the Indian subcontinent. Subsequently, the role of environmental drivers (including salinity) on transpiration and evaporation also remain largely unknown. To be specific, such underrepresentation of the mangrove ecosystems is one of the key sources of uncertainty in modelling the impact of climate change on the biomes in the south Asian region as highlighted by Kumar and Scheiter (2019).

To bridge this gap the MetFlux India project endeavors to gather EC-based observational data at a few natural ecosystems in India (Deb Burman et al. 2017; Deb Burman et al. 2020b), Chakraborty et al. 2020). As part of this network several instrumented micrometeorological flux towers have been installed, aimed at the continuous monitoring of energy and greenhouse gas fluxes from the terrestrial ecosystems in India (Deb Burman et al. 2020a). In our present comparative study we address this problem by using the MetFlux India observations from a mangrove and a broadleaf deciduous forest to bring out the differences in evapotranspiration, their components and drivers at these two ecosystem types in India.

The objectives of this paper were threefold. First, we want to study how strong is the evapotranspirative forcing by a

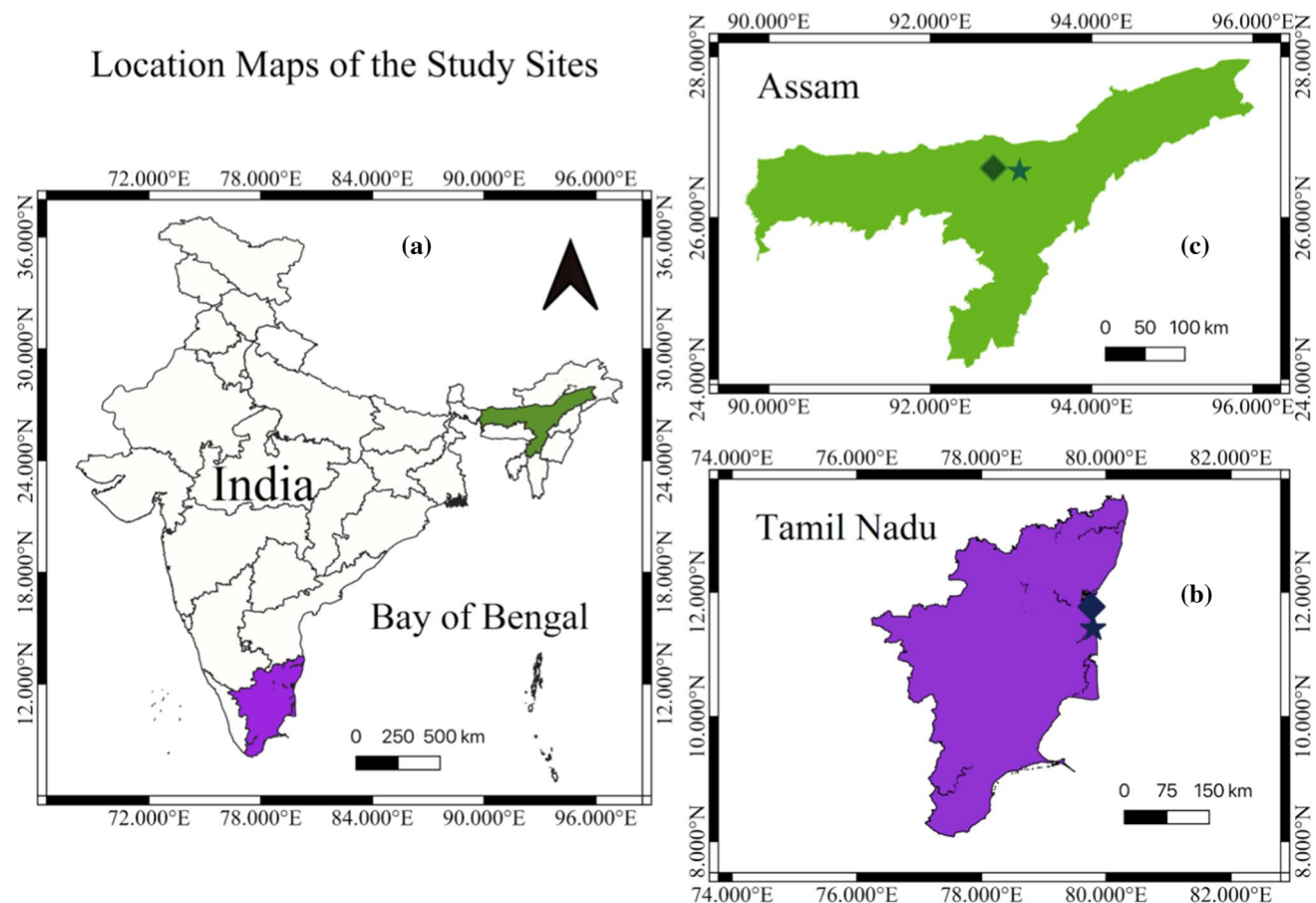
tropical mangrove ecosystem in comparison with a tropical broadleaf deciduous forest to the atmosphere. Second, we want to compare their annual evapotranspiration patterns and quantify the relative contributions of evaporation and transpiration in the same for both the ecosystems. Finally, we want to find out the major controlling factors of evaporation and transpiration for these different types of ecosystems.

## 2 Materials and methods

### 2.1 Study sites

The Pichavaram Mangroves (PVM) are situated on the southeast coast of India in Tamil Nadu along the Bay of Bengal on the Cauvery delta between Coleroon and Vellar estuaries (11.22°–11.30° N, 79.49°–51.24° E). According to Gnanappazham and Selvam (2014) the tidal flats and mudflats without vegetation occupy 660 ha and the tidal flats

with mangrove occupy 858 ha in this mangrove. A 10 m-tall micrometeorological flux tower equipped with EC setup and other associated meteorological and soil measurement sensors at multiple heights and depths for continuous measurements of scalar and energy fluxes was erected by the Indian Institute of Tropical Meteorology, Pune, in collaboration with the MS Swaminathan Research Foundation, Chennai in this ecosystem (11.43° N, 79.79° E) as part of the MetFlux India network (Fig. 1). The mangrove vegetation surrounding this tower has an average height of 3 m and comprises mostly *Avicennia marina* along with *Rhizophora* spp whose average leaf area index (LAI) varies approximately between 1.2 and 3.5 m<sup>2</sup> m<sup>-2</sup> annually as found from the in situ measurements using a portable sensor (LAI2200, Li-COR Biosciences, Lincoln, USA) (Gnanamoorthy et al. 2019). The PVM flux tower has an average measurement footprint radius of 206 m, and more details regarding its instrumentation can be found in Gnanamoorthy et al. (2020).



**Fig. 1** Geographical locations of the study sites (anticlockwise from left); **a** the states of Tamil Nadu and Assam are highlighted on the map of India in purple and green, respectively, with the arrow pointing towards the north direction, **b** Pichavaram flux tower (PVM) and Cuddalore surface observatory by the India Meteorological Department (IMD) are marked on the map of Tamil Nadu with star and diamond symbols, respectively, and **c** Kaziranga National Park (KNP) flux tower and Tezpur surface observatory by the India Meteorological Department (IMD) are marked on the map of Assam with star and diamond symbols respectively

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Every month the water salinity (ST) was measured in situ at 0.3 m depth at eight places surrounding the PVM flux tower using the multiprobe water quality monitoring system (Hydrolab Quanta, Austin, Texas, USA). This system was calibrated every month using standard solutions in the laboratory. The ST measurements are subsequently averaged over these measurement locations each month since the temporal variation of this parameter is satisfactorily captured at this temporal scale (Boyer and Levitus 2002; Das et al. 2017). This mangrove ecosystem is less influenced by tidal activities and classified as river-dominated (Selvam 2003). The Uppanar, a tributary of the Coleroon River in the south and the Vellar estuary in the north of the PVM region are rainfed.

The Kaziranga National Park (KNP) is a moist evergreen, semi-deciduous forest in the state of Assam in north-east India, the region with maximum forest coverage (India State of Forest Report 2019) in the country. As part of the MetFlux India network a 50 m-tall flux tower with EC setup and other associated meteorological and soil measurements at multiple heights and depths was erected in this forest (26.58° N, 93.1° E) surrounded by vegetation with an average canopy height of 20 m (Fig. 1). *Gmelina arborea* Roxb., *Mallotus repandas* (willd) Müll. Arg., *Tetrameles nudiflora* R. Br. are among the major plant species found around the site. The KNP flux tower has an average measurement footprint radius of 400 m (Deb Burman et al. 2020a). The details on its instrumentation and surrounding floristic composition can be found in Deb Burman et al. (2019) and Sarma et al. (2019), respectively. The annual LAI varies approximately within 0.75–3.25 m<sup>2</sup> m<sup>-2</sup>, as found from the in situ measurements (Deb Burman et al. 2017). The geographical locations of PVM and KNP flux towers are shown in Fig. 1.

## 2.2 Site climate

According to the Köppen-Geiger climate classification (Beck et al. 2018), the local climates in PVM and KNP are identified as tropical savannah (Aw) and humid subtropical (Cwa) types, respectively. The air temperature and precipitation climatology for both the sites are calculated as the monthly mean of 30 years measurement during 1981–2010 at the nearest available surface observatories at Cuddalore (11.46° N, 79.46° E) and Tezpur (26.62° N, 92.78° E), respectively, maintained by the India Meteorological Department (IMD) (Fig. 1) (Jaswal et al. 2014; Suresh and Bhatnagar 2005) and plotted in Fig. 2.

## 2.3 In situ data

For our analysis we have used the latent heat flux (LE in W m<sup>-2</sup>) as the measure of evapotranspiration (ET) in the energy flux unit during an 11-month period from October 2017 to

August 2018 at PVM and the year of 2016 at KNP. Additionally, the sensible heat flux ( $H$  in W m<sup>-2</sup>), net radiation ( $R_n$  in W m<sup>-2</sup>), incoming shortwave radiation ( $R_g$  in W m<sup>-2</sup>; interchangeably known as the global radiation), air temperature ( $T_{air}$  in °C), air pressure ( $P_{air}$  in hPa), precipitation ( $P_n$  in mm) and horizontal wind speed (WS in m s<sup>-1</sup>) have been used for the analyses during the corresponding measurement periods at each site.

### 2.3.1 Measured data records

At both the sites, LE and H are calculated from the high frequency EC measurements over the canopy, at 10 m at PVM and 37 m at KNP. Each EC setup includes a 3D sonic anemometer-thermometer (WindMaster Pro, Gill Instruments, Lymington, UK) and an enclosed path CO<sub>2</sub> and H<sub>2</sub>O infrared gas analyzer (LI7200, Li-COR Biosciences, Lincoln, USA). The  $R_n$  is recorded as the resultant of incoming and outgoing shortwave and longwave radiations every 30-min by the four-component net radiometers (NR01, Hukseflux, Manorsville, NY, USA) installed at 6 m at PVM and 19 m at KNP. The multi-component weather sensors (WXT520, Vaisala Oyj., Vantaa, Finland) record  $T_{air}$ ,  $P_{air}$ ,  $P_n$  and WS every 30-min at 10 and 37 m at PVM and KNP, respectively.

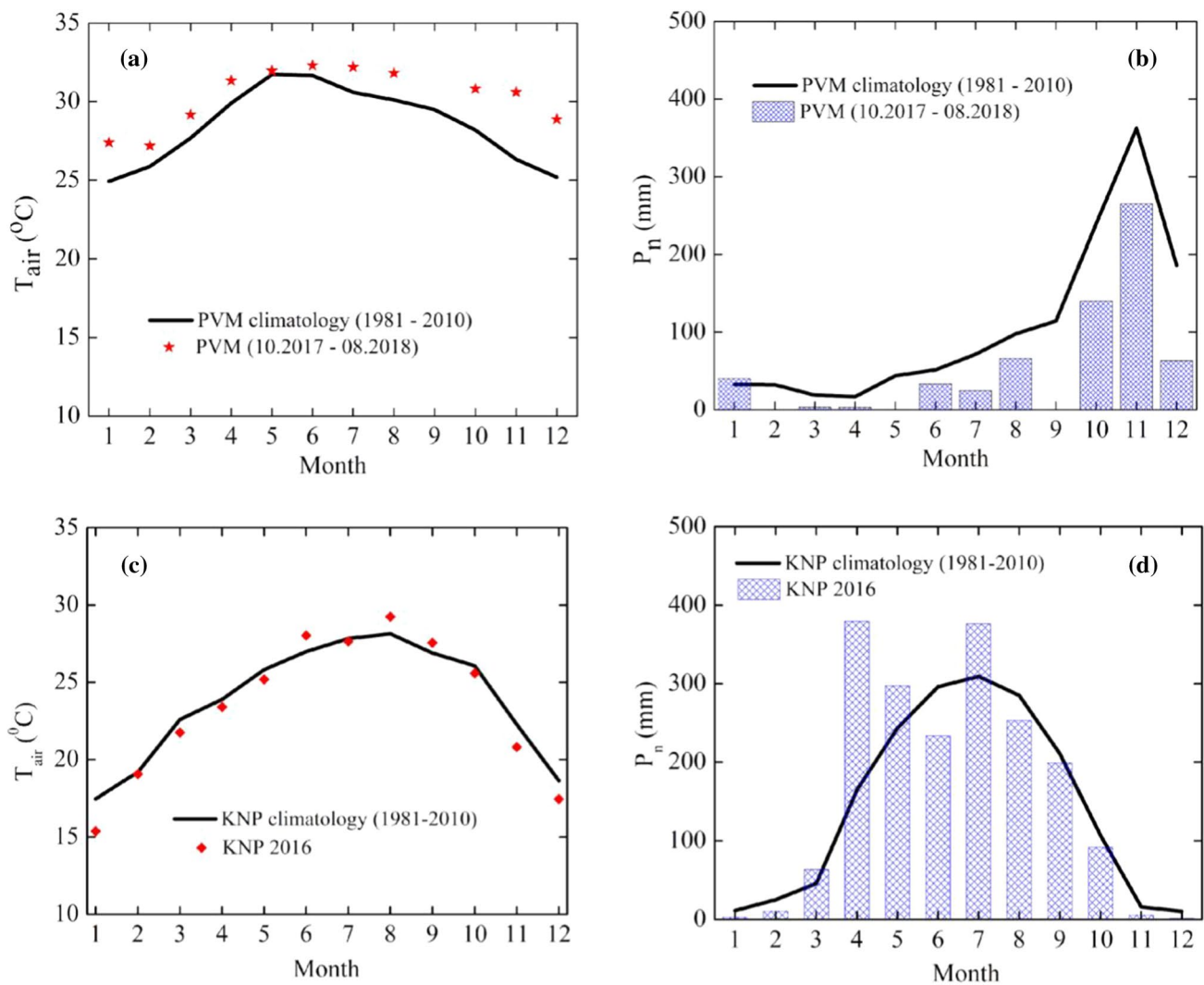
### 2.3.2 Data processing

The raw EC measurements are quality-controlled according to a set of recommended pre-processing recipes (Aubinet et al. 2012) before calculating the Reynolds averaged half-hourly fluxes in EddyPro software version 6.2.0 (<https://www.licor.com>). These half-hourly fluxes are further checked for outliers and erroneous values are removed. The vapor pressure deficit (VPD in hPa) is calculated from  $T_{air}$  and relative humidity (RH in %) measurements by the WXT520 weather sensors (Campbell and Norman 1998). The meteorological variables are quality-controlled following Papale et al. (2006). This set of data include only the measured values and have been used in the analyses of the present paper without any gap-filling i.e. the data with best quality flag 0 (Mauder and Foken 2004). All the above-mentioned data handling operations are detailed in Gnanamoorthy et al. (2019) for PVM and Deb Burman et al. (2019) for KNP. The monthly-averaged values of  $T_{air}$  and monthly total values of  $P_n$  are calculated from their complete half-hourly values for comparing with the climatological records at the corresponding sites.

### 2.3.3 GLEAM data

For the present analysis the water content in soil at KNP is taken from the Global Land Evaporation Amsterdam Model (GLEAM) (Miralles et al. 2011), which is a globally





**Fig. 2** Climatological and measured monthly averaged **a** air temperature ( $T_{air}$ ), **b** precipitation ( $P_n$ ) at Pichavaram Mangroves (PVM), **c**  $T_{air}$ , and **d**  $P_n$  at Kaziranga National Park (KNP). Corresponding measurement durations are provided in the parentheses in the figure

modeled daily land evaporation product primarily derived from the satellite measurements based on the Priestley & Taylor formulation (Priestley and Taylor 1972) and validated against multiple EC measurements sites across the globe.

As inputs to this model the soil water content and vegetation optical depth remotely sensed using the microwave band are used along with the surface radiation and near-surface air temperature from reanalysis data and rainfall and snowmelt components from multi-source ensemble products. Additionally, the running water budget components are corrected every day using the Kalman filter assimilation (Crow 2007) to account for the uncertainties in satellite measurements. The details about this methodology can be found in Miralles et al. (2011) and Martens et al. (2017).

In the GLEAM methodology two types of soil water content are produced in the units of volumetric ratio. These are namely the surface soil moisture and root-zone soil moisture

in  $\text{m}^3 \text{m}^{-3}$ . The surface soil moisture (SM) represents the water content in the topsoil layer, confined till 0.10 m depth from the soil surface. For the bare soil, this effectively contributes to the evaporation. However, any vegetation canopy can access the water content much deeper within the soil due to the penetration of roots which is termed as the root-zone soil moisture (RM). For tall forest canopies such as KNP the RM is integrated over the depths from 0.05 m to 2.50 m (Miralles et al. 2011).

Version 3.3a of the GLEAM data (hereafter GLEAMv3.3a) is available globally at a spatial resolution of  $0.25^\circ \times 0.25^\circ$  for a 39-year time-period from 1980 to 2018 (Martens et al. 2017). In the present work we have computed the area averages of SM and RM from GLEAMv3.3a over a spatial domain extending from  $25^\circ$  to  $27^\circ$  N and  $92^\circ$  to  $94^\circ$  E, surrounding the location of KNP flux-tower. Subsequently we computed the monthly averages of these spatially

averaged SM and RM during 1981–2010 to be used as their climatology at KNP. Additionally, the monthly average values of SM and RM during 2016 at KNP are also used in our analysis.

### 2.3.4 Evapotranspiration partitioning

Several methods exist for partitioning the evapotranspiration (ET) by an ecosystem into its constituent fluxes, namely evaporation and transpiration (Kool et al. 2014; Stoy et al. 2019). Evaporation (E) is an abiotic physical process that represents the water loss by bare soil and waterbodies, whereas transpiration (T) is a biotic process that regulates the water loss by plants through stomata (Wang and Dickinson 2012). Transpiration is also closely coupled with the photosynthetic CO<sub>2</sub> uptake by plants as both these processes are controlled by the stomatal opening and closure (Bonan 2015). In the present work we have not accounted for the canopy-intercepted water evaporation which is usually the smallest component of ET (Roth et al. 2007). This component is considerably large for coniferous (Bréda et al. 2006) and dense canopies with large LAI (Aussenac 2000). The forest types at PVM and KNP are mangrove and deciduous, respectively, with annually maximum LAI values of 3.5 m<sup>2</sup> m<sup>-2</sup> (Gnanamoorthy et al. 2019) and 3.25 m<sup>2</sup> m<sup>-2</sup> (Deb Burman et al. 2017) correspondingly. Hence it is justifiable not to include this flux in our analyses.

We have partitioned ET into E and T in this paper using the open source Fluxpart module (Skaggs et al. 2018) available for the Python 3 programming language (van Rossum and Drake 2009). This code computes E and T separately from the raw EC measurements of wind velocity components,  $T_{\text{air}}$ ,  $P_{\text{air}}$ , atmospheric water vapor ( $q$  in g kg<sup>-1</sup>) and CO<sub>2</sub> concentrations ( $c$  in ppm) using the flux variance similarity method (Scanlon and Sahu 2008; Scanlon and Kustas 2010, 2012) which has been shown to perform well in partitioning the evapotranspiration of forest ecosystems by several researchers (Sulman et al. 2016; Nelson et al. 2020). Before calculating the fluxes the same set of corrections are applied to the high-frequency measurement records for filtering the erroneous values as described in 2.3.2. We have used the ‘daytime’ partitioning method where the fluxes before sunrise and after sunset are considered as non-stomatal and as perturbations to the correlation between the stomatal CO<sub>2</sub> and water vapor fluxes which forms the basis of the flux variance similarity method (Skaggs et al. 2018). It is to be noted here that the flux-variance similarity method is not well-suited for non-convective conditions (Laubach and Kellihar, 2004). Such conditions however, arose mostly during nighttime during which the ET and its components were negligible. Additionally the advection may corrupt the flux-variance similarity relationships while not contributing

significantly towards the fluxes (Mukherjee et al. 2015). Such effects are reflected as large-scale eddies and progressively removed using the wavelet decomposition technique (Skaggs et al. 2018).

The micrometeorological flux-gradient methods work best in the experimental conditions where the source-area of the measured tracer (water vapor, in our case) is homogeneous and near-infinite in nature (Laubach and Kellihar (2004), McMillan et al. (2014)). This condition is satisfied at both our experimental sites i.e. Pichavaram and KNP, where the flux-footprint of the EC towers are large and homogeneous in terms of the terrain and canopy types (Deb Burman et al. 2020a; Gnanamoorthy et al. 2020).

In the absence of separate measurements of evaporation and transpiration, we estimated the leaf-level water use efficiencies (WUEs) of our studied ecosystems from  $c$  and  $q$  as follows according to Skaggs et al. (2018), at every half an hour during the runtime of flux-partitioning using Fluxpart:

$$\text{WUE} = (1/1.6) \times (c_a - c_i) / (q_a - q_i),$$

where  $c_a$  and  $q_a$  are the ambient values of  $c$  and  $q$ , and  $c_i$  and  $q_i$  are intercellular values of  $c$  and  $q$ , respectively.

Divergent opinions exist on the effect of VPD on the WUE of an ecosystem which is manifested in the functional dependence of  $c_i/c_a$  on VPD. We have considered the following four such scenarios, as reported to be mostly followed in the natural ecosystems, in our work and partitioned the evapotranspiration into evaporation and transpiration for each of these:

1. Constant:  $c_i/c_a = K$ , where  $K = 0.7$  for C3 plants
2. Linear:  $c_i/c_a = b - m \times \text{VPD}$ , where  $b \sim 1$  and  $m = 0.000164 \text{ Pa}^{-1}$  for C3 plants (Morison and Gifford 1983)
3. Optimized:  $c_i/c_a$  is determined followed the optimization model by Scanlon et al. (2019)
- and 4. Square-root:  $c_i/c_a = 1 - (1.6 \times \text{VPD})$  with  $\text{VPD}$  being  $22\text{e}-9 \text{ kg CO}_2 \text{ m}^{-3} \text{ Pa}^{-1}$  (Katul et al. 2009)

At both KNP and PVM the dominant vegetation type is C3 (Metaya et al., 2021), as described in Sect. 2.1. Additionally, Deb Burman et al. (2021) reported that at KNP, the daytime  $c_i/c_a$  remains constant at  $\sim 0.7$ . Hence, we have chosen this scenario to represent the partitioned fluxes at KNP in our study and to maintain uniformity in analysis followed the same for our other study location at PVM. Further we have calculated the standard errors for evaporation and transpiration computed for the four different scenarios as listed above and used those as the error estimates (Fig. S1). All these methods are found to agree well with each other in determining the magnitudes and patterns of evaporation and transpiration.

### 2.3.5 Potential evapotranspiration

Potential evapotranspiration (PET) is a measure of the theoretical maximum evapotranspiration from any ecosystem under optimal conditions and no water or nutrient stress (Monteith et al. 1965). It is often used as a measure of the response of the canopy to the atmospheric water demand. Several formulations exist in the literature to compute the same. However, in the present work we have computed the PET following the Penman–Monteith formulation (Allen et al. 1998), recommended as the preferable method for the tropical and subtropical regions (Fisher et al. 2011).

We have represented PET in energy flux unit ( $\text{W m}^{-2}$ ) for easier comparison with the actual transpiration (AET) which is represented in the same convention as LE in the present work. The surface energy budget is closed at 61% and 70% at PVM (Gnanamoorthy et al. 2020) and KNP (Deb Burman et al. 2019), respectively, which match well with the closure obtained at the flux measurement stations (Wilson et al. 2002) and recognized as acceptable degree of closure globally. With this closure no additional correction to the energy fluxes and PET is implemented as recommended by Maes et al. (2019). Additionally, we have computed the evaporative fraction (EF) that is defined as the ratio of LE to  $R_n$  (Gentine et al. 2007). It is a measure of the amount of net available energy channelized into the moist latent by any ecosystem.

### 2.3.6 Atmospheric conductances

The exchanges of mass, energy and momentum between any vegetated land surface and boundary layer of atmosphere can be expressed in terms of several conductances following the flux-gradient analogy (Verma 1987). In the present work, we have computed the aerodynamic ( $G_a$  in  $\text{m s}^{-1}$ ) and boundary layer ( $G_b$  in  $\text{m s}^{-1}$ ) conductances to water vapor transfer following Thom (1972); Verma (1987) and Hicks et al. (1987) in the Bigleaf analogy in which the entire ecosystem is considered to behave like a single big leaf and individual leaf-level details are averaged at a larger scale to obtain their ecosystem-level counterparts (Sellers 1987). Surface layer conductance to water vapor ( $G_s$  in  $\text{m s}^{-1}$ ) is computed inverting the Penman–Monteith equation (Knauer et al. 2018). This approach is particularly useful to parameterize the ecosystem-atmosphere interactions in process-based ecosystem, Earth system and climate change models for their improved performance at a reduced complexity (Sellers 1997; Dai et al. 2004).

### 2.3.7 Canopy-atmosphere decoupling coefficient

The concept of a dimensionless coefficient of decoupling between the ecosystem and atmosphere, known as the

canopy-atmosphere decoupling coefficient ( $\Omega$ ), was formulated (Jarvis and McNaughton 1986) to assess the relative controls of physiology and environment on the water vapor exchange between these two. Lower and higher values of  $\Omega$  signify stronger and weaker physiological control on the canopy-atmosphere water vapor exchange and termed as tightly and weakly coupled conditions, respectively. For the calculations of PET, conductances and  $\Omega$  we have used the open-source ‘bigleaf’ R-package by Knauer et al. (2018).

### 2.3.8 Principal component analysis

Principal Component Analysis (PCA) is a multi-variate data analysis technique to visualize the inherent variations of a dataset in a more apprehensive way (Jolliffe and Cadima 2016). In this technique the dimensionality of any dataset ( $D$ ) is reduced by the eigenvalue decomposition of the covariance matrix ( $V$ ) of this dataset so that the dataset is reoriented along with the directions with gradually decreasing order of variance explained in the original data  $D$  (Hand et al. 2001). The first principal component (PC1) refers to the eigenvector corresponding to the largest eigenvalue of the covariance matrix  $V$  which is also the direction with maximum variance. The second principal component (PC2) stands for the eigenvector corresponding to the next largest eigenvector of  $V$  and is aligned with the direction with second maximum variance and orthogonal to PC1. Similarly, the  $n^{\text{th}}$  principal component (PCn) ranks  $n$  in terms of explaining the variance while remaining orthogonal to the rest of the principal components.

In this work we have carried out the PCA using the ‘prcomp’ command in R programming language (R Development Core Team 2011). It uses a robust methodology based on the singular value decomposition (SVD) technique to compute the PCs (Mair 2018); for details about SVD and PCA see Mardia et al. (1997) and Jolliffe (2002). The controls of  $T_{\text{air}}$ ,  $P_{\text{air}}$ ,  $P_n$ , WS, VPD, SM, RM and  $R_n$  on  $E$  and  $T$  have been found using PCA at monthly scale at KNP. In addition to these variables the ST has also been included in the PCA for PVM. The monthly scale has been chosen to have a uniform scale still maintaining the maximum possible temporal resolution across all the variables. For all the variables monthly averaged values are computed from their half-hourly measurements except ST, for which a rigorous spatio-temporal averaging removes the outliers and makes the input variables statistically robust by reducing the noise (Ivanov and Evtimov 2014). Finally in our analysis PCA is performed over 11 measurements of 8 variables at PVM and 12 measurements of 7 variables at KNP and thus the probability of misinterpreting the PCA results is eliminated as the number of observations are more than the number of variables in both the cases (Jolliffe and Cadima 2016).

All the variables have been centered by removing their means and scaled by their respective variances before performing the PCA. Such transformations do not alter the conclusion but improve the visualization of the output (Jolliffe and Cadima 2016). The standard deviation ( $\sigma$ ), proportion of variance ( $\alpha$ ) and cumulative proportion of variance ( $\gamma$ ) of the PCs are listed in Table 1. The biplot is a dual display of the original data along with the projections of the data on PC1 and PC2 on x and y-axes, respectively (Gabriel 1971; Aitchison and Greenacre 2002). On a biplot all the variables are marked with arrows with the length of each

arrow denoting the standard deviation of the variable. The correlation coefficient between any two variables is represented by the cosine of angle between the corresponding arrows (Ivanov and Evtimov 2014). Similarly, the cosine of angle between any variable arrow and x-axis (y-axis) denotes the correlation of that variable with PC1 (PC2); acute and obtuse angles stand for positive and negative correlations, respectively, whereas right angle signifies lack of any correlation (see Table 2).

**Table 1** Variables and parameters used in the present study listed in alphabetical order

Symbol	Definition	Unit
AET	Actual evapotranspiration	ppm
$C_p$	Specific heat of air at constant pressure	$\text{J kg}^{-1} \text{K}^{-1}$
$c$	Atmospheric $\text{CO}_2$ concentration	ppm
$d$	Displacement height	m
$E$	Evaporation	$\text{W m}^{-2}$
$E_{av}$	Quarterly averaged diurnal $E$	$\text{W m}^{-2}$
EF	Evaporative fraction	–
ET	Evapotranspiration	$\text{W m}^{-2}$
GPP	Gross primary productivity	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$\text{GPP}_d$	Daily GPP	$\text{gC m}^{-2} \text{d}^{-1}$
$\text{GPP}_y$	Annual GPP	$\text{gC m}^{-2} \text{y}^{-1}$
$G_{ac}$	Aerodynamic conductance for $\text{CO}_2$ transfer	$\text{m s}^{-1}$
$G_{aq}$	Aerodynamic conductance for water vapor transfer	$\text{m s}^{-1}$
$G_{bc}$	Canopy boundary layer conductance for $\text{CO}_2$ transfer	$\text{m s}^{-1}$
$H$	Sensible heat flux	$\text{W m}^{-2}$
$H_{av}$	Quarterly averaged diurnal $H$	$\text{W m}^{-2}$
$H_{max}$	Maximum value of $H_{av}$	$\text{W m}^{-2}$
LAI	Leaf area index	$\text{m}^2 \text{m}^{-2}$
LE	Latent heat flux	$\text{W m}^{-2}$
$\text{LE}_{av}$	Quarterly averaged diurnal LE	$\text{W m}^{-2}$
$\text{LE}_{max}$	Maximum value of $\text{LE}_{av}$	$\text{W m}^{-2}$
$\Omega$	Canopy–atmosphere decoupling coefficient	–
NEE	Net ecosystem exchange	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$P_{air}$	Air pressure	hPa
PET	Potential evapotranspiration	$\text{W m}^{-2}$
$P_n$	Precipitation	mm
$q$	Atmospheric water vapor concentration	$\text{g kg}^{-1}$
$R_g$	Incoming shortwave radiation (also known as the global radiation)	$\text{W m}^{-2}$
RH	Relative humidity	%
RM	Root-zone soil moisture	$\text{m}^3 \text{m}^{-3}$
$R_n$	Net radiation	$\text{W m}^{-2}$
SM	Surface soil moisture	$\text{m}^3 \text{m}^{-3}$
ST	Salinity	ppt
T	Transpiration	$\text{W m}^{-2}$
$T_{air}$	Air temperature	$^{\circ}\text{C}$
$T_{av}$	Quarterly averaged diurnal T	$\text{W m}^{-2}$
VPD	Vapor pressure deficit	hPa
WS	Horizontal wind speed	$\text{m s}^{-1}$



**Table 2** The standard deviation ( $\sigma$ ), percentage of variance explained ( $\alpha$ ), and cumulative percentage of variance explained ( $\gamma$ ) by the  $n$ th principal component (PC $_n$ ) from the principal component analyses of transpiration (T) and evaporation (E) at Pichavaram Mangroves (PVM) and Kaziranga National Park (KNP)

	PC1	PC2	PC3	PC4	PC5	PC6	PC7	PC8
T(PVM)								
$\sigma$	1.90	1.69	0.86	0.71	0.41	0.38	0.15	0.07
$\alpha$ (%)	45.24	35.89	9.14	6.36	2.08	0.96	0.28	0.06
$\gamma$ (%)	45.24	81.13	90.26	96.62	98.71	99.66	99.94	100
E (PVM)								
$\sigma$	1.82	1.67	0.99	0.85	0.33	0.26	0.16	0.10
$\alpha$ (%)	41.52	34.66	12.21	8.95	1.36	0.87	0.31	0.12
$\gamma$ (%)	41.52	76.19	88.40	97.35	98.70	99.57	99.89	100
T (KNP)								
$\sigma$	2.14	1.48	0.79	0.62	0.38	0.22	0.16	0.07
$\alpha$ (%)	57.17	27.51	7.70	4.82	1.80	0.62	0.33	0.06
$\gamma$ (%)	57.17	84.68	92.38	97.20	98.99	99.62	99.95	100
E (KNP)								
$\sigma$	2.19	1.51	0.64	0.47	0.40	0.33	0.14	0.04
$\alpha$ (%)	60.13	28.46	5.07	2.78	2.00	1.32	0.25	0.02
$\gamma$ (%)	60.13	88.59	98.36	96.44	98.42	99.74	99.98	100

### 3 Results and discussion

#### 3.1 Meteorological features of the study sites

Being located closer to the tropics the climate at PVM is warmer as compared to KNP. Climatologically, May and January are the warmest and coldest months at PVM with the monthly mean  $T_{\text{air}}$  being approximately 32 and 25 °C, respectively (Fig. 2a), whereas November and April are the wettest and driest months with cumulative  $P_n$  being approximately 362 and 17 mm correspondingly (Fig. 2b). The climatological annual cumulative  $P_n$  at PVM is 1267 mm. On the other hand, the climatologically warmest and coldest months at KNP are August and January with the monthly mean  $T_{\text{air}}$  being approximately 28 and 17 °C, respectively (Fig. 2c), whereas July and January are the wettest and driest months with cumulative  $P_n$  approximately being 300 and 10 mm correspondingly (Fig. 2d). The climatological annual cumulative  $P_n$  at KNP is 1725 mm. As it is seen, despite having an inland location, climatologically KNP is wetter than its coastal counterpart PVM.

The annual precipitation pattern at PVM is markedly different from most parts of the mainland peninsular India. Most of this landmass receives maximum precipitation in the summer months (i.e. June–September), during the Indian summer monsoon (also known as the southwest monsoon) (Parthasarathy 1984; Rajeevan et al. 2010), a planetary-scale event characteristic of this region. This includes the upstream region of Cauvery basin in Karnataka that receives rainfall during the southwest monsoon season (June–September) (Gnanappazham and Selvam 2014). However, these months are usually drier at the PVM estuarine area which

receives the maximum precipitation in the colder months of October, November and December (Gnanappazham and Selvam 2014). Accordingly, locally the seasons are defined as follows: in contrast to the larger part of the peninsular India, January to March as post-monsoon, April–June as summer, July to September as pre-monsoon and October to December as monsoon (Kathiresan 2000). Apparently, no season is designated as winter in this region.

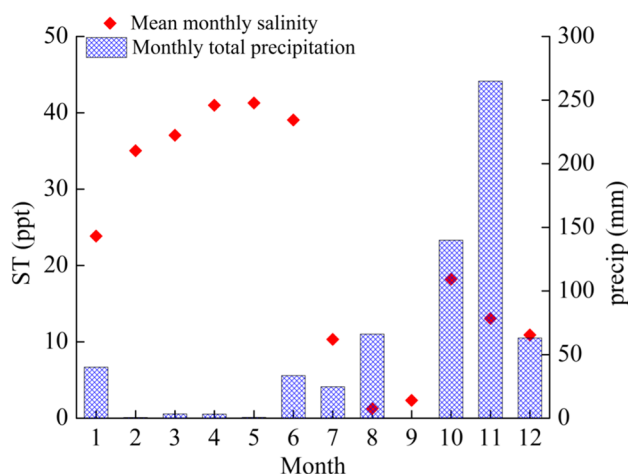
Climatologically the wettest period at KNP is the summer months from June to September. Additionally, it receives a significant amount of rainfall during April and May due to the thunderstorm activities (Mahanta et al. 2013). The seasons are defined at KNP as follows: December–February as winter, March–May as pre-monsoon, June to September as monsoon and October–November as post-monsoon (Jain and Kumar, 2012).

In our measurement period, compared to the climatic normals all the months are warmer at PVM (Fig. 2a), whereas all the months except January are drier (Fig. 2b). This warming pattern is more prominent ( $> 4$  °C) in November and December. These months are climatologically colder with average  $T_{\text{air}}$  of 26 and 25 °C, respectively, but in the measurement period record 31 and 29 °C correspondingly. In the analysis period October, November and December receive 140, 265 and 63 mm  $P_n$  as compared to the climatological values of 240, 362 and 185 mm, respectively (Fig. 2c). Hence although the annual trends in both  $T_{\text{air}}$  and  $P_n$  follow their climatological patterns at PVM, a drastic desiccation pattern is seen driving the local climate to be warmer and drier.

In contrast to PVM, at KNP no significant change in  $T_{\text{air}}$  is observed. Here during the analysis period, June and August are warmer than climatology whereas January and December

are colder (Fig. 2c). However, the  $T_{\text{air}}$  shift remains less than  $2^{\circ}\text{C}$  and the annual mean  $T_{\text{air}}$  in the analysis period does not deviate from its climatological value of  $23^{\circ}\text{C}$ . On the other hand, April, May and July receive more rainfall in the analysis period ( $P_n$  is 379, 297 and 376 mm, respectively) as compared to their climatological mean values (165, 244 and 309 mm, respectively) at KNP. However, June and August remain drier ( $P_n$  is 233 and 253, respectively, as compared to 296 and 285 mm correspondingly) compared to climatology. Overall, at KNP the cumulative annual  $P_n$  is 1915 mm in the measurement period, compared to its climatological value of 1725 mm. Hence, the climate at KNP does not warm up but tends to get wetter.

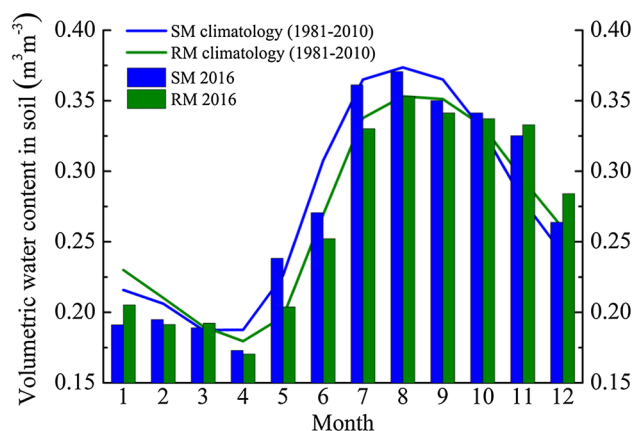
The monthly total  $P_n$  and average ST at PVM are plotted together in Fig. 3. Overall, ST decreases with  $P_n$ . Annually maximum ST observed is 41.3 ppt, in the month of May at the end of the dry period when  $P_n$  is almost nil (Fig. 3). In the post-monsoon and summer seasons the monthly ST remains higher than 30 ppt except in January when ST is 24 ppt (Fig. 3). During the pre-monsoon and monsoon the ST remains lower than 20 ppt; during August the annual minimum ST of 1.3 ppt is reached (Fig. 3). As explained earlier the upstream region of Cauvery basin receives heavy rainfall every year during the southwest monsoon season (June–September), and as a result a large volume of floodwater flows from this region to the downstream PVM estuary. The volume of this freshwater flow is usually high during the northeast monsoon season (October–December) at PVM (Gnanappazham and Selvam 2014). Additionally in 2018, due to the surplus rainfall in June in Karnataka (Sreejith et al. 2018) a large volume of freshwater was released from the dams in Karnataka from July onwards which resulted in this drop of ST in August at the PVM estuary.



**Fig. 3** Mean monthly salinity (ST) and precipitation ( $P_n$ ) during the analysis period at Pichavaram mangroves (PVM)

Hence, according to the salinity classification (Barik et al. 2018) the PVM ecosystem swings between a high-saline zone in post-monsoon and summer and low-saline zone in pre-monsoon when the influence of freshwater inputs from rainfall and river discharge is high. Such high amplitude of intra-annual salinity variation is, however, not reported from other Indian mangroves across the Bay of Bengal coast where even larger freshwater influxes were observed (Chauhan et al. 2008). For example, the monthly average ST at Sunderban mangrove varies between 15 and 22 ppt (Das et al. 2017) that receives 1500–1800 mm rainfall annually (Rodda et al. 2016) as compared to 1267 mm at PVM. The Muthupet mangrove remains moderately saline throughout the year with the annual ST variation confined within 20–25 ppt (Krithika et al. 2008) where the annual cumulative precipitation is 1100 mm (Zade et al. 2005). Our observation clearly shows the downstream freshwater input by the rivers plays a crucial role in determining the salinity of the mangrove basin and often a strong river flow supersedes the effect of local precipitation while doing so.

The water contents in soil at various depths in the KNP ecosystem (Fig. 4b) is largely governed by the local rainfall pattern (Fig. 2d) although a delay in the occurrences of the absolute magnitudes of  $P_n$ , SM and RM are seen. Climatologically in a year the SM and RM record higher and lower values during the wetter and drier periods, respectively, at KNP. Following the annual maximum  $P_n$  of 300 mm in July (Fig. 1d) the SM and RM record maximum values of 0.37 and 0.35  $\text{m}^3 \text{m}^{-3}$ , respectively, in August (Fig. 4). Subsequently, the SM and RM continue to decrease since September to plummet to their respective annual minimum values of 0.19 and 0.18  $\text{m}^3 \text{m}^{-3}$  in April (Fig. 4). Climatologically, April receives a  $P_n$  of 165 mm (Fig. 1d) replenishing the SM and RM to 0.23 and 0.20  $\text{m}^3 \text{m}^{-3}$  in May (Fig. 4).



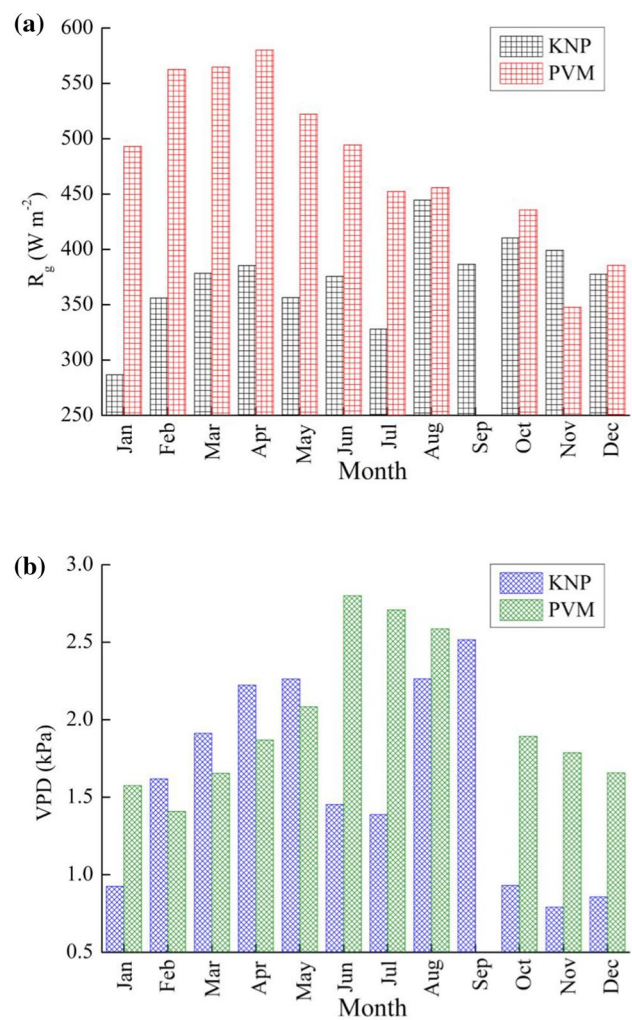
**Fig. 4** Monthly mean soil moisture contents, i.e. surface soil moisture (SM) and root-zone soil moisture (RM) in 2016 and their climatological (30 years during 1981–2010) means at Kaziranga National Park (KNP) broadleaf deciduous forest

Climatologically,  $P_n$  has an increasing trend from April to July which is reflected as growing trends in SM and RM during this time. The variations of SM and RM in 2016 closely follow their climatological patterns at KNP although their absolute magnitudes vary (Fig. 4).

It is to be noticed that the SM responds faster to  $P_n$  than the RM which represents the moisture content in the deeper soil layers. During the period of increasing rainfall from April to July the SM always remains higher than RM. This can be explained as the water content in topsoil is replenished first by the rainwater which subsequently percolates to the deeper soil. As this process is slow the RM always increases at a lower rate and remains lower than SM in this period. Climatologically, from April to August the SM increases from  $0.19$  to  $0.37 \text{ m}^3 \text{ m}^{-3}$  as compared to the RM increasing from  $0.18$  to  $0.35 \text{ m}^3 \text{ m}^{-3}$  in the same period (Fig. 4). On a similar note, the SM also responds to the drier conditions faster than the RM. This is evident from their values during October to March when SM registers consistent lower values than RM (Fig. 4). The loss of moisture from topsoil to atmosphere is driven by the evapotranspiration process in this period as we are going to discuss later in this paper.

The monthly averaged  $R_g$  and VPD at KNP and PVM are plotted in Fig. 5. Located closer to the tropics, PVM receives higher  $R_g$  consistently throughout the year (Fig. 5a). During February to May, the PVM ecosystem receives  $R_g > 500 \text{ W m}^{-2}$ , which explains the rising  $T_{\text{air}}$  trend during this period at this location (Fig. 2a), and together with low  $P_n$  (Fig. 2b) drives the VPD from  $1.4$  to  $2.1 \text{ kPa}$  in these months (Fig. 5b). In comparison, at KNP during the same period,  $R_g$  remains  $\geq 350 \text{ W m}^{-2}$  that results in the rising trend of  $T_{\text{air}}$  (Fig. 2c);  $P_n$  also increases gradually (Fig. 2d) but ground moisture remains low due to the preceding dry winter season (Fig. 4). As a result, VPD remains marginally higher than PVM at KNP. During the remaining period of the year, VPD remains much higher than KNP at PVM (Fig. 5b).

During June to September,  $R_g$  (Fig. 5a) and  $T_{\text{air}}$  (Fig. 2a) remain high and  $P_n$  (Fig. 2b) remains low which maintain the high VPD at PVM (Fig. 5b). The KNP ecosystem receives maximum  $P_n$  (Fig. 2d) in this period which replenishes the ground moisture (Fig. 4) that remains high till December, and as a result VPD remains smaller throughout this period (Fig. 5b). In sum, although located at the intersection of land and water, the PVM ecosystem experiences much higher atmospheric moisture demand. To further check this we now compare the AET and PET patterns among these two ecosystems.

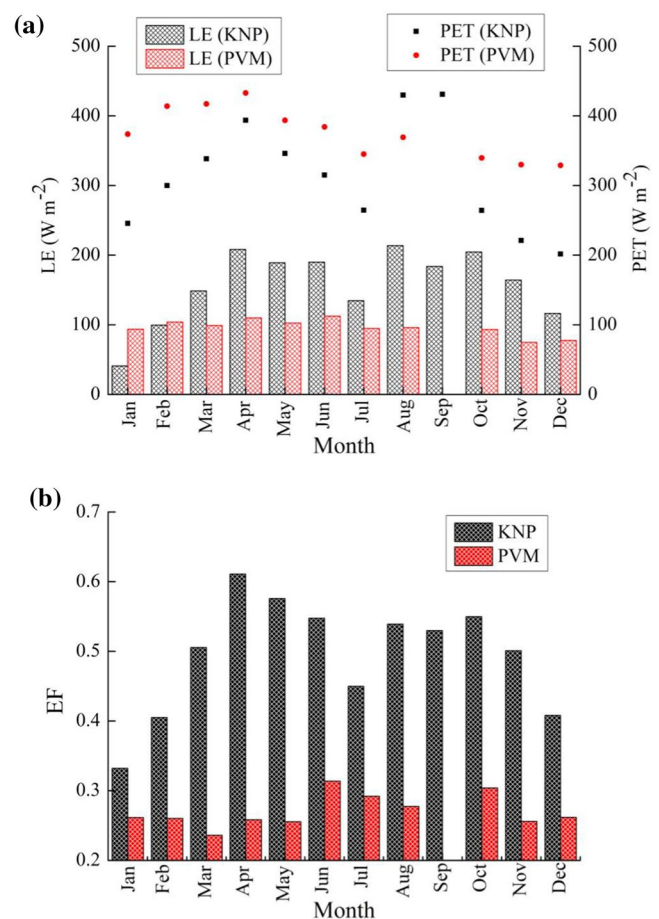


**Fig. 5** Monthly averaged **a** incoming shortwave radiation ( $R_g$ ) and **b** vapor pressure deficit (VPD) at Pichavaram Mangroves (PVM) and Kaziranga National Park (KNP) during the corresponding analysis periods

### 3.2 Actual and potential evapotranspiration

At monthly averaged scale, LE is dwarfed by PET at both the sites which is a typical of the tropical and subtropical regions (Frank and Inouye 1994). LE is always higher at KNP than PVM (Fig. 6a). However, except August PET is higher at PVM than KNP, reiterating the much larger atmospheric moisture demand at the former site. The annual maximum PET at PVM is recorded in April which is the month with maximum  $R_g$  (Fig. 5a),  $T_{\text{air}}$  (Fig. 2a) and ST (Fig. 3). In a similar fashion, the maximum PET at KNP occurs is August, driven by the annually highest  $R_g$  (Fig. 5a),  $T_{\text{air}}$  (Fig. 2c) and large VPD (Fig. 5b) in this month.

**Fig. 6** Monthly averaged **a** latent heat flux (LE) and potential evapotranspiration (PET) and **b** evaporative fraction (EF) at Pichavaram Mangroves (PVM) and Kaziranga National Park (KNP) during the corresponding analysis periods



EF is much higher at KNP than PVM (Fig. 6b), including the dry winter season. Maximum EF is 0.6 at KNP, recorded in April, which is twice the value of maximum EF at PVM (Fig. 6b). EF is higher during October to December at PVM (Fig. 6b), when this ecosystem receives high rainfall (Fig. 2b) and ST remains low (Fig. 3). Moreover EF is annually lowest at PVM during February to May when ST is high (Fig. 3), despite having high  $R_g$  (Fig. 5a),  $T_{\text{air}}$  (Fig. 2a) and VPD (Fig. 5b) in these months. For a closer investigation we next investigate the different heating feedbacks by these ecosystems to the atmosphere.

### 3.3 Comparing the evapotranspiration between mangrove and deciduous forest

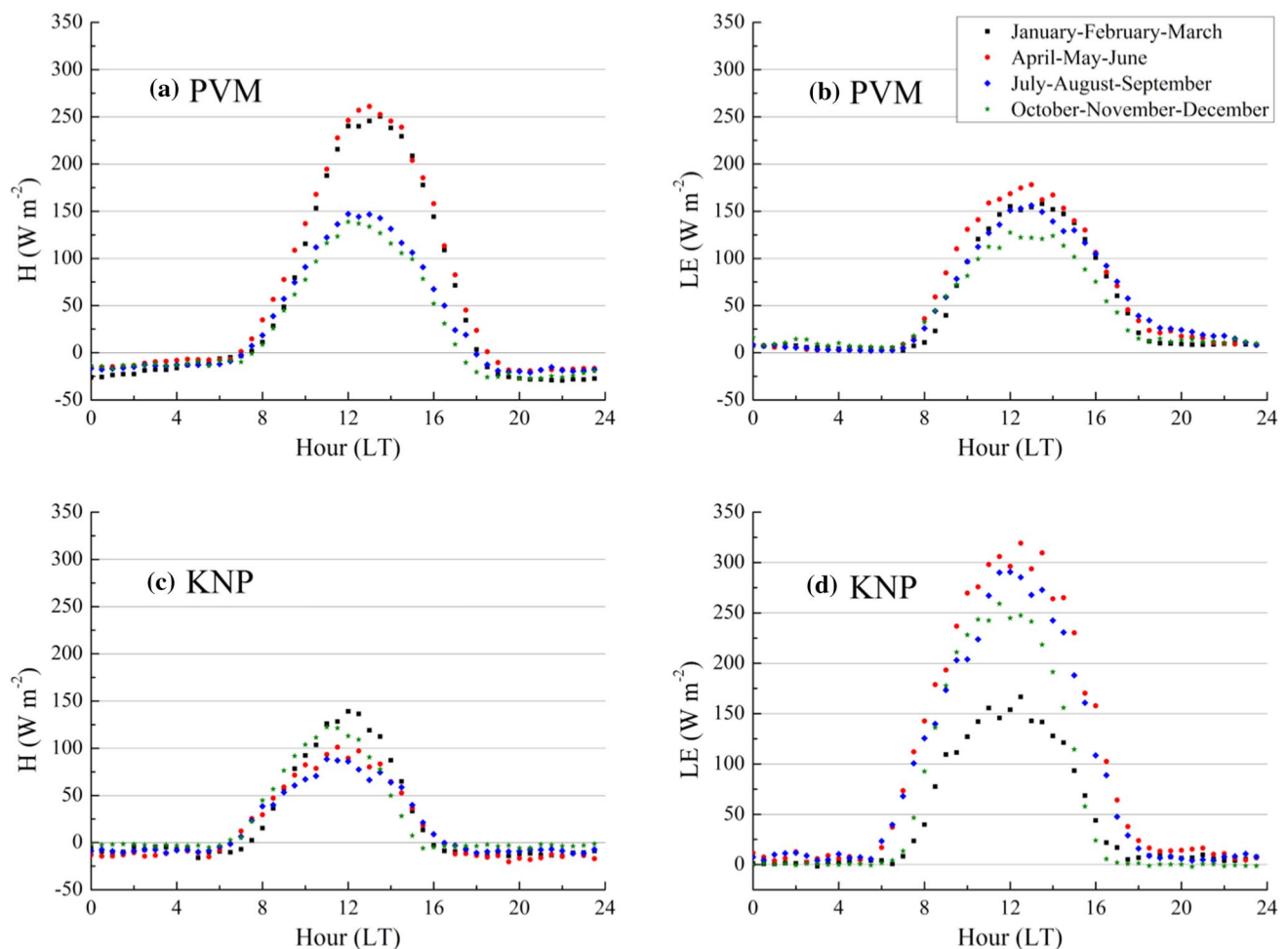
As the calendar months belong to different seasons at KNP and PVM based on the local climatology, we compare the H and LE patterns quarterly instead of seasons. The quarterly averaged half-hourly diurnal variations of H and LE ( $H_{\text{av}}$  and  $LE_{\text{av}}$ , respectively) are shown in Fig. 7. These quarters are referred in this paper as first quarter (January–March), second quarter (April to June), third quarter (July to September) and fourth quarter (October–December). The maximum values of  $H_{\text{av}}$  and  $LE_{\text{av}}$  ( $H_{\text{max}}$  and  $LE_{\text{max}}$ , respectively)

are observed around 1200 IST with prominent variations during the daytime and almost no exchange at nighttime at both sites. The values of  $H_{\text{max}}$  at PVM are 250, 260, 150 and 140  $\text{W m}^{-2}$  in first, second, third and fourth quarters, respectively (Fig. 7a). Correspondingly the values of  $LE_{\text{max}}$  are 160, 180, 160 and 130  $\text{W m}^{-2}$  (Fig. 7b). Hence, more available energy is partitioned towards H compared to LE in all the quarters except the third one, which is locally known as the pre-monsoon season at PVM (Kathiresan, 2000).

On the other hand, at KNP,  $H_{\text{max}}$  values are 140, 100, 90 and 120  $\text{W m}^{-2}$ , respectively, in first, second, third and fourth quarters (Fig. 7c). At this site  $LE_{\text{max}}$  are 165, 320, 290 and 260  $\text{W m}^{-2}$  correspondingly in these quarters (Fig. 7d). Hence, more available energy is partitioned into LE compared to H in throughout the year at KNP.

It is clearly shown that the broadleaf deciduous forest at KNP provides a larger latent heat than sensible heat transport to the atmosphere throughout the year, even during the driest season. In contrast to this, the PVM mangroves are seen to provide stronger sensible heat to the atmosphere during most of the year, which is a typical characteristic of semi-arid ecosystems (Schüttemeyer et al. 2006). Only between July and September (Fig. 7) the mangrove shows similar transport of latent and sensible heat. Here, we want





**Fig. 7** Quarterly average diurnal variations of sensible ( $H_{av}$ ) and latent heat fluxes ( $LE_{av}$ ) computed over the monthly clusters including January to March (first quarter), April–June (second quarter), July–September (third quarter) and October–December (fourth quarter)

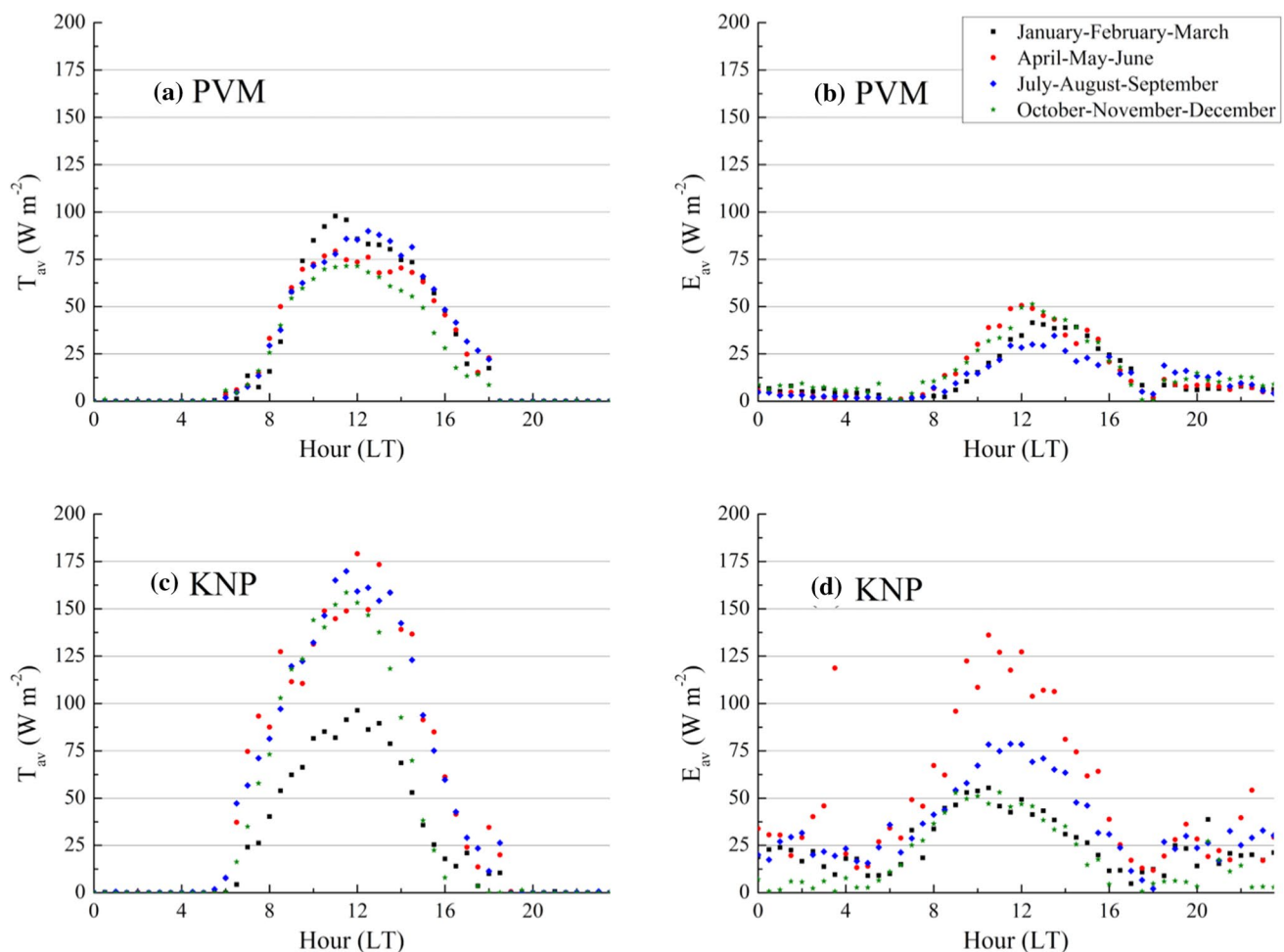
to highlight that the variability in  $H$  is much larger than that of  $LE$ . Thus the similar transport of  $H$  and  $LE$  is driven by a reduced  $H$  at PVM.

### 3.4 Relative strengths of transpiration and evaporation

We now study the relative contributions of  $T$  and  $E$  in  $ET$  at the PVM mangrove, in comparison with the broadleaf deciduous forest at KNP. The quarterly averaged half-hourly values of  $T$  and  $E$  ( $T_{av}$  and  $E_{av}$ , respectively) for both these sites are plotted in Fig. 8. Overall both the daily maximum values of  $T_{av}$  ( $T_{max}$ ) and  $E_{av}$  ( $E_{max}$ ) are higher at KNP than their counterparts at PVM (Fig. 8a–d). At PVM,  $T_{av}$  (Fig. 8a) is higher than  $E_{av}$  (Fig. 8b) throughout the year.  $T_{max}$  vary from 70 W m<sup>-2</sup> in fourth quarter to 100 W m<sup>-2</sup> in first quarter (Fig. 8a), whereas the daily maximum values of  $E_{av}$  ( $E_{max}$ ) vary from 35 W m<sup>-2</sup> in third quarter to 50 W m<sup>-2</sup> in fourth

quarter (Fig. 8b) at PVM. Hence annually first quarter is most favorable to the transpirative activity which is defined as the post-monsoon season locally (Kathiresan 2000).

The transpirative water loss by plants is coupled with their photosynthetic uptake of carbon dioxide by stomatal opening and closure and hence this observation is also supported by the  $CO_2$  exchange pattern of the PVM ecosystem with the atmosphere. The net ecosystem exchange (NEE in  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) of  $CO_2$  is the measure of the net carbon uptake by any ecosystem which is the result of its photosynthetic carbon gain (gross primary productivity or GPP) and respiratory carbon loss (ecosystem respiration or  $R_{eco}$ ). The NEE of the PVM ecosystem in different seasons is plotted in Fig. 6 of (Gnanamoorthy et al. 2020) which shows the daily maximum NEE is annually largest at  $-10 \mu\text{mol m}^{-2} \text{s}^{-1}$  in post-monsoon [Fig. 6b, (Gnanamoorthy et al. 2020)] and smallest at  $-6 \mu\text{mol m}^{-2} \text{s}^{-1}$  in monsoon at PVM [Fig. 6a, (Gnanamoorthy et al. 2020)]. Table 1 of (Gnanamoorthy



**Fig. 8** Diurnal variations of averaged **a** transpiration ( $T_{av}$ ) and **b** evaporation at Pichavaram Mangroves (PVM), and **c**  $T_{av}$  and **d**  $E_{av}$  at Kaziranga National Park (KNP), computed over the group of months

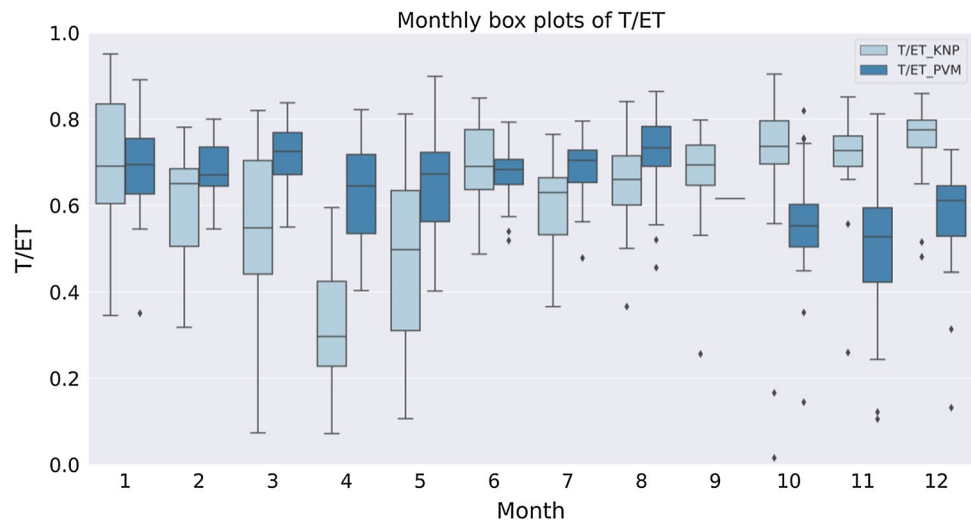
as indicated in the figure legend, during the corresponding analysis periods at each site

et al. 2020) lists the seasonally averaged GPP for the PVM ecosystem which is annually maximum at  $4.80 \text{ gC m}^{-2} \text{ day}^{-1}$  in post-monsoon, showing the photosynthesis to be strongest in this season, also in support of our observation.

On the other hand, at KNP the  $T_{max}$  has a minimum of  $100 \text{ W m}^{-2}$  in the first quarter, (Fig. 8c) rendering this period to be least favorable for stomatal activities at KNP. This is reflected in the seasonally averaged diurnal variations of NEE at this ecosystem [Fig. 5 of (Deb Burman et al. 2020a)] as well, which is annually minimum at  $-5 \mu\text{mol m}^{-2} \text{ s}^{-1}$  in this season. The  $T_{max}$  remains high within  $150\text{--}190 \text{ W m}^{-2}$  during second to fourth quarters (Fig. 8c). As shown in Fig. 5 of (Deb Burman et al. 2020a), the maximum NEE are also high around  $-13$  to  $-15 \mu\text{mol m}^{-2} \text{ s}^{-1}$  in these seasons supporting stronger stomatal activities. At KNP,  $T_{max}$  is larger than  $E_{max}$  throughout the year (Fig. 8c, d). At both KNP and PVM,  $T_{av}$  is nil at nighttime as the process of transpiration stops in the absence of incoming solar radiation.

We now study the ratio of T and ET ( $T/ET$ ) during the analysis periods at KNP and PVM. Monthly box plots of  $T/ET$  for these sites are created using the ‘seaborn’ library (Waskom, 2021) in Python 3 in Google Colaboratory ([https://colab.research.google.com/?utm\\_source=scs-index#scrollTo=GJBs\\_fIRovLc](https://colab.research.google.com/?utm_source=scs-index#scrollTo=GJBs_fIRovLc)), and plotted together in Fig. 9. Only the daytime values have been considered for the analysis as the nighttime exchanges are negligible [Fig. 8 of this paper and Fig. 8 of Deb Burman et al. (2019)]. Prominent seasonality in  $T/ET$  is seen at KNP. The median  $T/ET$  is larger than 0.5 during December, January and February, which constitute the winter season locally (Fig. 9). This is the annually coldest and driest season at KNP (Fig. 2c, d). The relation between T and ET mimics that of RM and SM. The increased T in this period is driven by the RM which remains higher than the SM in this season (Fig. 4b) at KNP. This is supportive of the results of Lai and Katul (2000) who show the transpirative

**Fig. 9** Monthly box plots of the ratio between transpiration and evapotranspiration (T/ET) at Pichavaram Mangroves (PVM) and Kaziranga National Park (KNP), denoted as T/ET\_PVM and T/ET\_KNP, respectively, during the corresponding analysis periods at each site



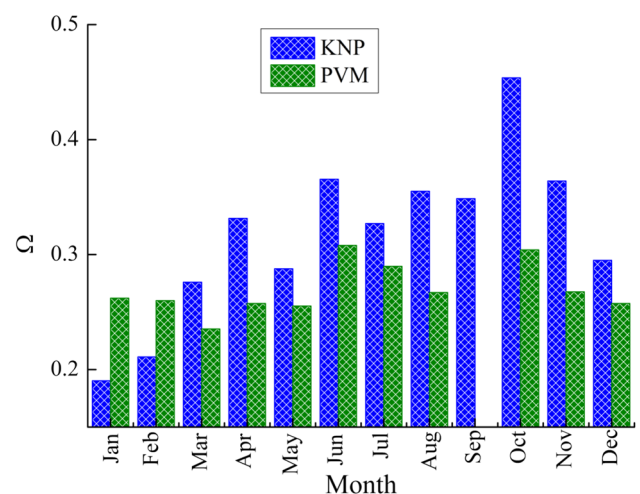
activities by plants are mostly driven by the soil water at deeper layers available to the roots for uptake while remaining largely insensitive to the surface water content variation. As  $E$  is primarily driven by SM, as pointed out by Chanzy and Bruckler (1993) it remains lower than  $T$  in this season. During March, April and May (the local pre-monsoon season) the  $T_{\text{air}}$  at KNP records an increasing trend (Fig. 2c). The KNP ecosystem also receives significant precipitation in this season (Fig. 2d) that recharges the SM (Fig. 4b). This increased SM results in enhanced  $E$ . Although the rainwater is mostly absorbed by the topsoil the RM is still comparable to SM in this season (Fig. 4b).  $E$  is seen to increase more than  $T$  in this warmer and wetter environment which reduces T/ET to  $\sim 0.5$  in March, lesser than 0.6 in April and equal to 0.5 in May (Fig. 9).

In June and July, during the first half of monsoon  $T_{\text{air}}$  and  $P_n$  increases (Fig. 2c, d). Median T/ET remains larger than 0.7 in June but falls in July to increase again in August (Fig. 9). Such a dip in T/ET can be attributed to the drop in  $R_g$  in July due to the presence of dark monsoonal convective clouds as explained in Deb Burman et al. (2020a). Such a radiation-deprivation prevents the photosynthetic carbon uptake by the KNP forest ecosystem. As the carbon gain and water loss by the plants are coupled by the stomatal opening and closure mechanism the hindrance in photosynthesis is also reflected in reduced transpiration by the canopy.

The availability of radiation improves in August and September with the gradual receding of clouds from the Indian landmass increasing the photosynthetic activity (Deb Burman et al. 2020a). Subsequently,  $T$  also increases that is reflected in median T/ET values being  $\sim 0.65$  (Fig. 9). Overall in monsoon season both SM and RM tables are elevated (Fig. 3b) due the increased amount of rainfall (Fig. 2d). However, the enhanced photosynthetic activity results in  $T$  larger than  $E$  in this season (Fig. 9).

During October and November, the local post-monsoon season, median T/ET remains  $\sim 0.7$  (Fig. 9).  $P_n$  gradually reduces in these months (Fig. 2d). Additionally, the continued  $E$  depletes the topsoil of moisture. However, the large amount of rainwater infiltrates to the deeper soil layers by now. As a result of these the SM is lower than the RM in this season (Fig. 4). This enhanced RM drives the  $T$  which remains larger than  $E$  in post-monsoon (Fig. 9).

At PVM, T/ET is larger than unity for most of the months as found from its median values (Fig. 9). Annually, the least T/ET values are mostly observed in October, November and December when its median remains close to 0.6 (Fig. 9). These months belong to the northeast monsoon season characterized by the annually coldest environment with the highest  $P_n$  (Fig. 2b) and lowest  $R_g$  (Fig. 4a). Also the water in the mangrove wetland is less saline ( $ST < 20$  ppt) during this



**Fig. 10** Monthly averaged canopy-atmosphere decoupling coefficient ( $\Omega$ ) at Pichavaram Mangroves (PVM) and Kaziranga National Park (KNP) during the corresponding analysis periods

period (Fig. 3a). Hence  $T$  is seen to be the dominant component of  $ET$  throughout the year. While  $ET$  in dry seasons is mostly driven by  $T$ , the contribution of  $E$  increases during the wet period. This shows the  $E$  to be more sensitive to the environmental conditions compared to  $T$  at PVM. Overall, we find  $T/ET$  to be fluctuating more at KNP compared to PVM. The ratio of  $T$  and  $E$  ( $T/E$ ) is another analogous interpretation of the relative strengths of  $T$  and  $E$ . The monthly box plots of  $T/E$  at both the sites are provided in supplementary figure S2.

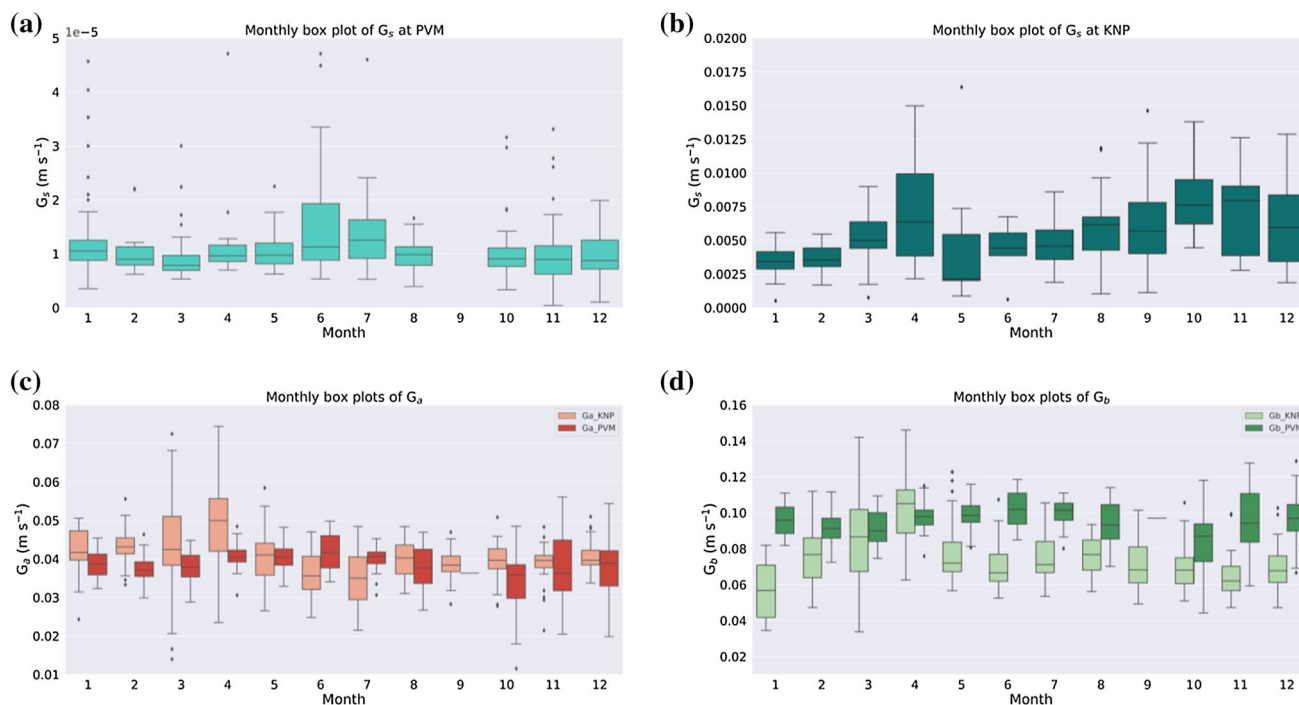
To further explore the biosphere–atmosphere interaction, next we study the variability of  $\Omega$  at these two ecosystems. At monthly averaged scale daytime  $\Omega$  remains confined to 0.3 throughout the year at PVM (Fig. 10). Such smaller values of  $\Omega$  signify tighter physiological control on canopy water exchange with atmosphere. This reiterates our earlier finding that  $ET$  by this mangrove ecosystem is primarily controlled by  $T$  (Fig. 9a). Interestingly,  $\Omega$  is lower during February–May (Fig. 10) which also corresponds to the higher  $ST$  (Fig. 3) and lower  $P_n$  at this site (Fig. 2b). On the other hand, annually higher  $\Omega$  is observed during October to December when  $ST$  is low (Fig. 3) and  $P_n$  is high (Fig. 2b).

To summarize, during the period with highest  $ST$  at PVM (March–April–May) high stomatal control is observed. On the other hand, during the lowest  $ST$  period (July–August) highest  $VPD$  is recorded, simultaneously with larger values

of  $\Omega$  indicating a reduced stomatal control. Specifically in June,  $ST$  still remains high but the large  $VPD$  in this month dictates the stomatal control over the salinity. Hence at low  $VPD$ ,  $ST$  has negative impact on  $T$  but the control of  $VPD$  grows stronger with its value. Since the water loss from the ecosystem to the atmosphere increases with  $VPD$ , it increases the water salinity and hence, results in a negative correlation between  $VPD$  and  $ST$ . In fact  $\Omega$  is high implying lesser stomatal control during the period with low-medium salinity and low-medium  $VPD$  (October–November–December) at PVM, which supports our hypothesis.

At KNP  $\Omega$  is higher than PVM during March to December implying the environmental control on water exchange to be stronger at the broadleaf deciduous forest compared to the mangrove (Fig. 10). In January and February  $\Omega$  at KNP is less than PVM when physiological controls are larger at KNP than PVM (Fig. 10). Overall  $\Omega$  is lower in the dry months and higher in wet months at KNP (Fig. 10) as also found by Kumagai et al. (2004) and Bracho et al. (2008) at several other tropical forest ecosystems.

$G_s$  is much lower at PVM (Fig. 11a) than KNP (Fig. 11b) highlighting more favorable conditions for atmospheric water vapor exchange at the broadleaf deciduous forest throughout the year compared to its mangrove counterpart as evident from their monthly median values. Interestingly at PVM, annually lowest values occurrences of  $G_s$  coincide



**Fig. 11** Monthly box plots of **a** surface conductance to water vapor ( $G_s$ ) at Pichavaram Mangroves (PVM), **b**  $G_s$  at Kaziranga National Park (KNP), **c** aerodynamic conductances ( $G_a$ ) at KNP and PVM (denoted as  $G_{a\_KNP}$  and  $G_{a\_PVM}$ , respectively) and **d** boundary

layer conductances ( $G_b$ ) at PVM and KNP (denoted as  $G_{b\_KNP}$  and  $G_{b\_PVM}$ , respectively) during the corresponding analysis periods at each site. Note that the scales on y-axes are differing among the panels



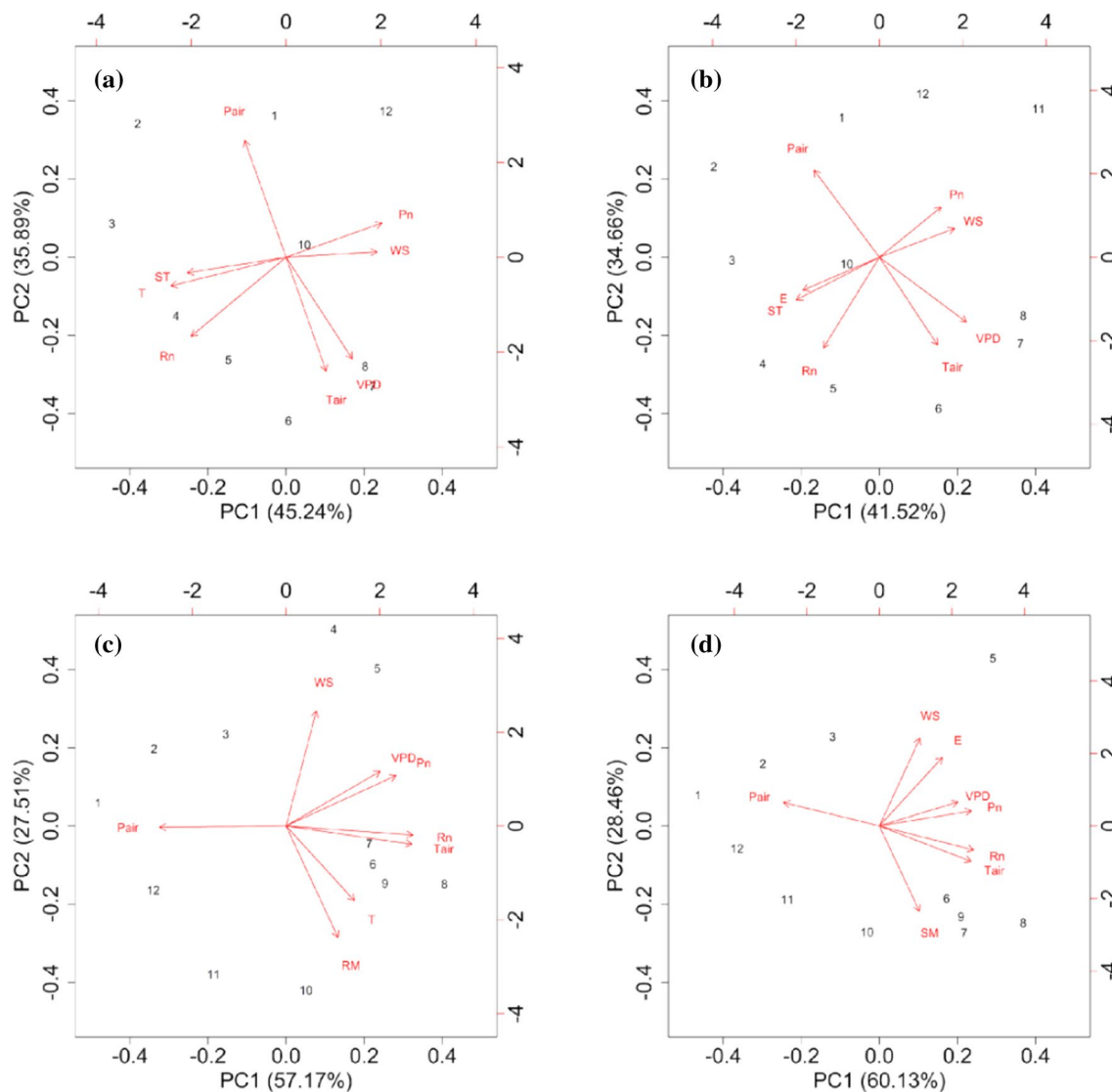
with the high ST periods (Fig. 3) and vice versa (Fig. 11a) clearly indicating the role of salinity in determining this variable. On the other hand,  $G_s$  are low and high during dry and wet periods, respectively, at KNP (Fig. 10b).

$G_b$  remains higher than or comparable to  $G_a$  at both these sites as expected (Figs. 10c-d). However,  $G_b$  is consistently higher at PVM than its KNP analogue (Fig. 10d) throughout the year. In fact,  $G_b$  increases during February–June at PVM (Fig. 10d), which are the months with high ST (Fig. 3a). These observations clearly show that although the environmental turbulence and mixing are favorable for water vapor exchange, it is practically limited by ST through the latter's

physiological control. We now assess the controlling mechanisms of T and E at both these ecosystems.

### 3.5 Meteorological controls on transpiration and evaporation

Different meteorological parameters affect T and E in different capacities. Plants tend to transpire more water for reducing their leaf temperature in warmer environment. Evaporative loss of water is also high in such conditions. The canopy transpirative loss of water is accelerated at moderately high atmospheric demand of moisture which is reflected in VPD



**Fig. 12** Biplots of Principal Component Analyses of monthly averaged **a** transpiration (T), **b** evaporation (E) at Pichavaram Mangroves, **c** T and **d** E at Kaziranga National Park as functions of air temperature ( $T_{air}$ ), air pressure ( $P_{air}$ ), precipitation ( $P_n$ ), surface soil moisture (SM), root-zone soil moisture (RM), vapor pressure deficit (VPD), horizontal wind speed ( $W_s$ ), and net radiation ( $R_n$ ) during the cor-

responding analysis periods. The horizontal and vertical axes in each of the subplots show the first and second principal components (PC1 and PC2, respectively) with the corresponding percentage of variations explained by those in the parentheses. The numbers in each plot represent the corresponding measurement month (i.e. 1 stands for January, 12 stands for December, etc.)

(Jarvis and Mcnaughton 1986) before it reduces again due to stomatal closure at high values of VPD. The VPD is also responsible for increasing  $E$  by accelerating the evaporative demand. Radiation is the primary source of energy that is allocated in different components of surface energy budget including  $E$  and  $T$ . Surface wind affects  $T$  and  $E$  by changing the surface atmospheric conditions and mixing (Jarvis and Mcnaughton 1986). Negative feedbacks are seen in the relation of  $ST$  with  $T$  and  $E$ . While increased  $ST$  arrests  $T$  and  $E$ , increment in either of these in turn increases  $ST$  (Passioura et al. 1992). We use PCA in this section to find the most dominant controls on  $T$  and  $E$  and compare the outcomes between a mangrove and a broadleaf deciduous forest.

Figure 12a shows the PCA biplot for  $T$  at PVM. The first two PCs account for 81.13% of the variations in  $T$  (Table 1) justifying the two-dimensional representation of the variables in Fig. 12a. The strongest correlation of  $T$  is observed with  $ST$ . The second strongest correlation of  $T$  is seen with  $R_n$ . Negative correlations of  $T$  are observed with  $P_n$  and  $WS$ . There is almost a lack of correlation of  $T$  with  $P_{air}$ ,  $T_{air}$  and  $VPD$ . The PCA biplot for  $E$  at PVM is shown in Fig. 12b. The first two PCs account for 76.19% of the variations (Table 1). The strongest correlation of  $E$  is seen with  $ST$  with the next largest correlation with  $R_n$ . Negative correlations of  $E$  are seen with  $P_n$  and  $WS$  whereas almost no correlation of  $E$  exists with  $T_{air}$ ,  $P_{air}$  and  $VPD$ .

At KNP the PCA biplot for  $T$  is shown in Fig. 12c. The first two PCs account for 84.68% of the variations (Table 1). The largest correlation of  $T$  is seen with  $RM$  with the second largest correlation with  $T_{air}$ . Subsequently, larger correlation of  $T$  is observed with  $R_n$  comparable to  $T_{air}$ . Small but positive correlations of  $T$  are observed with  $P_n$  and  $VPD$  while  $P_{air}$  and  $WS$  have negative correlations with  $T$ . Finally, the PCA biplot for  $E$  at KNP is shown in Fig. 12d. The first two PCs explain 88.59% variations (Table 1) in the data. The strongest correlation of  $E$  is observed with  $WS$  and the next largest correlation with  $VPD$ . Additionally,  $E$  has positive correlations with  $P_n$ ,  $R_n$  and  $T_{air}$  and negative correlation with  $SM$  and  $P_{air}$ . In contrast with PVM,  $T_{air}$  has stronger correlation with  $T$  at KNP. Also  $E$  at PVM does not have strong dependencies on  $WS$  and  $VPD$  as compared to KNP.

### 3.6 Comparison with other mangrove ecosystems

The evapotranspiration from Pichavaram mangrove is reported for the first time in the present study. Estimating  $ET$  and its drivers in mangroves remains a challenge due to the submerged nature of the soil (Drexler et al. 2004) and very few studies so far have reported these using in situ measurements (Baldocchi, 2003). In contrast to PVM,  $LE$  remains higher than  $H$  throughout the year at Sundarban mangrove (Ganguly et al. 2008; Chanda et al. 2013), however, the independent estimates of  $T$  and  $E$  at this ecosystem are not available till writing this paper. At the Phangnga Bay

National Park mangrove in southern Thailand  $T$  is higher in dry season than wet season (Hirano et al. 1996) similar to PVM, although in this ecosystem  $R_n$  and humidity are the primary drivers of  $T$  as compared to  $ST$  and  $R_n$  at PVM. According to Krauss et al. (2015) stand water use, a close measure of  $T$  constitutes 34–66% of  $ET$  at three mangrove sites in southwest Florida, USA.

## 4 Conclusions

The different diverse ecosystems in India spread over a large geo-climatic span, play crucial roles in the hydrological and energy cycles and provide important heating response to the atmosphere driving the conduction and mixing processes. Proper representation of these transport processes, their seasonality and drivers are not only necessary to improve the performance of numerical weather prediction and hydrological models but also important to represent these ecosystem types in ecosystem, Earth system and climate models, however, presently remain poorly explored due to the paucity of surface measurements over this region. In this context, we have studied the evapotranspiration, its components and drivers at a tropical mangrove at Pichavaram and comparatively assessed the same against a broadleaf deciduous forest ecosystem at the Kariranga National Park in India using in situ eddy covariance measurements in the present work. Following are the key outcomes this study:

1. The tropical mangrove at Pichavaram is seen to provide maximum heating to the atmosphere as 'dry' sensible heat during most of the year similar to a semi-arid ecosystem. During the pre-monsoon season it switches this behavior akin to a well-watered ecosystem when the evapotranspirative 'moist' heat feedback becomes dominating.
2. This is in contrast with the broadleaf deciduous forest at the Kaziranga National Park which provides stronger evapotranspirative feedback throughout the year and hence performs as a well-watered ecosystem even in the dry season.
3. The ecosystem–atmosphere coupling is stronger at the mangrove than the broadleaf deciduous forest.
4. Transpiration is the major component of evapotranspiration by Pichavaram mangrove throughout most of the year, in contrast with the broadleaf deciduous forest where transpiration and evaporation dominate each other during the different periods of year.
5. The transpiration by the mangrove is most strongly coupled with salinity as compared to air temperature for the broadleaf deciduous forest, followed by radiation in both the ecosystems.
6. Salinity is also most tightly coupled with the evaporation from the mangrove, followed by radiation. On the other hand, evaporation from the broadleaf deciduous forest is most strongly coupled with wind speed and radiation.

As compared to other natural ecosystems, studies reporting ET and their dependencies on environmental variables in mangroves are rather less due to the complexity in measuring and modelling the same because of the submerged nature of these ecosystems (Drexler et al. 2004). Salinity regulation of transpiration has an important implication for the carbon cycle of these ecosystems as decreased transpiration ensues reduced photosynthesis hindering the growth of mangrove biomass eventually resulting in forest dieback (Cintron et al. 1978; Sippo et al. 2018). Our study will be useful to the modelling community in these regards for better parameterizing the ecophysiological processes in models.

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## Declarations

**Conflicts of interest/Competing interest** The authors declare no competing interest.

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