

# Nuclear protein phylogenies support the monophyly of the three bryophyte groups (Bryophyta Schimp.)

Filipe de Sousa<sup>1</sup> , Peter G. Foster<sup>2</sup> , Philip C. J. Donoghue<sup>3</sup> , Harald Schneider<sup>2,3,4</sup>  and Cymon J. Cox<sup>1</sup> 

<sup>1</sup>Centro de Ciências do Mar, Universidade do Algarve, Gambelas, Faro 8005-319, Portugal; <sup>2</sup>Department of Life Sciences, Natural History Museum, London, SW7 5BD, UK; <sup>3</sup>School of Earth Sciences, University of Bristol, Bristol, BS8 1TQ, UK; <sup>4</sup>Center of Integrative Conservation, Xishuangbanna Tropical Botanical Garden, Chinese Academy of Sciences, Yunnan 666303, China

## Summary

Author for correspondence:

Cymon J. Cox

Tel: +351 289800051 ext 7380

Email: cymon.cox@googlemail.com

Received: 21 September 2018

Accepted: 31 October 2018

New Phytologist (2019) 222: 565–575

doi: 10.1111/nph.15587

**Key words:** bryophytes, compositional heterogeneity, land plants, life cycle, phylogenomics, substitutional saturation.

- Unraveling the phylogenetic relationships between the four major lineages of terrestrial plants (mosses, liverworts, hornworts, and vascular plants) is essential for an understanding of the evolution of traits specific to land plants, such as their complex life cycles, and the evolutionary development of stomata and vascular tissue.
- Well supported phylogenetic hypotheses resulting from different data and methods are often incongruent due to processes of nucleotide evolution that are difficult to model, for example substitutional saturation and composition heterogeneity. We reanalysed a large published dataset of nuclear data and modelled these processes using degenerate-codon recoding and tree-heterogeneous composition substitution models.
- Our analyses resolved bryophytes as a monophyletic group and showed that the nonmonophyly of the clade that is supported by the analysis of nuclear nucleotide data is due solely to fast-evolving synonymous substitutions.
- The current congruence among phylogenies of both nuclear and chloroplast analyses lent considerable support to the conclusion that the bryophytes are a monophyletic group. An initial split between bryophytes and vascular plants implies that the bryophyte life cycle (with a dominant gametophyte nurturing an unbranched sporophyte) may not be ancestral to all land plants and that stomata are likely to be a symplesiomorphy among embryophytes.

## Introduction

Plants are the main primary producers in terrestrial environments, constituting the majority of above-ground biomass and representing a major atmospheric carbon sink that has shaped the climate globally (Lenton *et al.*, 2012). However, despite their ecological importance for life on land, the evolutionary relationships of the major lineages of terrestrial plants and their immediate ancestors is not yet fully understood. In particular, the relationships among the three bryophyte groups, namely mosses, liverworts and hornworts, and their relationship to the vascular plants (tracheophytes) have long been controversial (reviewed by Cox, 2018). Land plants develop via a sporophytic embryo that is nurtured by the gametophyte and hence are collectively referred to as embryophytes. The freshwater charophyte green algae have for a long time been recognized as the closest living relatives of the embryophytes (Karol, 2001; McCourt *et al.*, 2004) and recent molecular evidence suggests that Zygnematales (Timme *et al.*, 2012; Cíván *et al.*, 2014) or a clade including Zygnematales and Coleochaetales (Wodniok *et al.*, 2011; Laurin-Lemay *et al.*, 2012) share the most recent common ancestor with the embryophytes.

The evolution of land plants was accompanied by a shift from a haplobiontic life cycle with a single multicellular haploid

gametophytic generation, as seen today in freshwater charophytes, to a diplobiontic life cycle, characterized by an alternation of multicellular haploid and diploid generations (Niklas & Kutschera, 2010). In all extant land plants, embryonic sporophytes are dependent on parental gametophytic tissue for at least part of their development (Graham & Wilcox, 2000), but two contrasting diplobiontic life strategies can be distinguished: in bryophytes, the haploid gametophytes are the dominant vegetative stage, whereas in tracheophytes (lycophytes, ferns, and seed plants), the diploid sporophyte is the main vegetative stage (Niklas & Kutschera, 2010). In the absence of a well supported phylogenetic hypothesis on the relationships and order of divergence of early land plants, is it not possible to determine which type of life cycle characterized their common ancestor. If tracheophytes are derived from a bryophyte ancestor, the ancestral life cycle of embryophytes would probably have been predominantly gametophytic (Niklas & Kutschera, 2010; Ligrone *et al.*, 2012). If, instead the first split occurred between bryophytes and tracheophytes, then the embryophyte ancestor could have had a diplobiontic life cycle (Stebbins & Hill, 1980), with stomata possibly arising in the ancestral sporophyte of all land plants.

The transition of ancestral plants to land, from an aquatic environment, is thought to have occurred *c.* 480 Ma in the late Silurian period (Kenrick *et al.*, 2012; Magallón *et al.*, 2013), but

recent estimates have dated this transition earlier to 515.1–470.0 Ma in the late Cambrian or early Ordovician period (Morris *et al.*, 2018). However, without a reliable phylogenetic hypothesis, an accurate dating of the origin of the embryophytes is more difficult to establish (Morris *et al.*, 2018). To date, the most widely accepted evolutionary hypothesis is that the tracheophytes derived from an early bryophyte lineage, and that either liverworts alone (Karol, 2001; Qiu *et al.*, 2006; Gao *et al.*, 2010; Karol *et al.*, 2010; Clarke *et al.*, 2011), liverworts plus mosses (Karol *et al.*, 2010), or the hornworts alone (Nishiyama & Kato, 1999; Wickett *et al.*, 2014), are the sister group to the remaining land plants. However, the view that bryophytes form a monophyletic group, while receiving less frequent acceptance, has not been ruled out (Nishiyama *et al.*, 2004, 2018; Cox *et al.*, 2014; Wickett *et al.*, 2014; Morris *et al.*, 2018; Puttick *et al.*, 2018).

The absence of a definitive phylogeny of land plants, in spite of the considerable amount of data available from all three genomic compartments, is due to the challenges posed when comparing anciently diverged molecular data. Regardless of the origin of the data, two main factors are known to cause systematic error in phylogenetic reconstruction of ancient phylogenies: high substitution rates (ultimately leading to substitution saturation and loss of phylogenetic signal) and composition biases among sites and between taxa (data and tree heterogeneity, respectively; Liu *et al.*, 2014). Substitutional saturation occurs when multiple substitutions at the same site overwrite synapomorphies and create homoplasies (Philippe *et al.*, 2011) thereby generating ‘noisy’ data that can affect branch support and lead to erroneous phylogenetic inference (Jeffroy & Brinkmann, 2006). Saturation is dependent on time and substitution rate, and is therefore more pronounced in faster-evolving nucleotide data (Liu *et al.*, 2014). Methodological approaches for alleviating the problem of substitutional saturation include: (1) removing third codon positions (Wickett *et al.*, 2014), which corresponds in most cases to the removal of fast-evolving synonymous substitutions; and (2) using codon degeneracy, which effectively removes all synonymous substitutions by recoding synonymous nucleotides at codon sites with nucleotide ambiguity codes (Cox *et al.*, 2014).

Nucleotide or amino acid compositions are generally modelled as their respective frequencies at equilibrium, and include the probability of change from one state to another. The Markov models, used as substitution models in phylogenetics, assume a stationary process that does not vary across time or across the data. However, we often see that different genes (or data partitions) have different compositions, which violates the assumption that the process does not differ over the data. We can relax this assumption and model composition heterogeneity among data by applying different Markov models, with different compositions, to different data partitions. Furthermore, compositional heterogeneity among taxa is also often seen at all levels of phylogenetic organisation, in violation of the assumption that the process does not vary across the tree (or over time). Such heterogeneity may be caused by differences in direct selective pressures or by variation in passive mutation processes. We can sometimes ameliorate this heterogeneity by judicious

site or taxon stripping, or alternatively we can accommodate the heterogeneity by using appropriate tree-heterogeneous composition substitution models (Lockhart *et al.*, 1992; Mooers & Holmes, 2000; Foster, 2004; Inagaki *et al.*, 2004; Inagaki & Roger, 2006; Blanquart & Lartillot, 2008; Regier *et al.*, 2010; Rota-Stabelli *et al.*, 2012). Indeed, homogeneity of the substitution process should always be verified in molecular data used to reconstructing ancient phylogenies, and, if the data are shown to be nonstationary, then appropriate tree-heterogeneous composition substitution models should be used (Foster *et al.*, 2009; Cox *et al.*, 2014; Liu *et al.*, 2014). If stationary substitution models are applied to composition tree-heterogeneous data, an artificial, but possibly statistically well supported, clustering of taxa with similar compositions may occur (e.g. Foster, 2004; Cox *et al.*, 2008). Moreover, differences in composition at the nucleotide level are reflected at codon level in the form of different synonymous codon preferences among lineages, or codon-usage bias (Gouy & Gautier, 1982; Inagaki *et al.*, 2004; Stenøien, 2005; Inagaki & Roger, 2006; Zhou & Li, 2009; Plotkin & Kudla, 2011; Rota-Stabelli *et al.*, 2012; Liu *et al.*, 2014), which may strongly impact phylogenetic reconstruction when using codon models if shared codon preference is mistaken for shared ancestry (Inagaki *et al.*, 2004; Inagaki & Roger, 2006; Regier *et al.*, 2010; Rota-Stabelli *et al.*, 2012; Cox *et al.*, 2014). Differences in codon usage occur between species but also within genomes, and can be a consequence of translational selection, as well as being due to differences in mutational bias (Bulmer, 1988; Sharp *et al.*, 1993). A possible approach to mitigate the effect of amino acid composition bias on phylogenetic reconstruction is to re-code protein data by defining amino acid groups that show similar substitution properties (Susko & Roger, 2007; Rota-Stabelli *et al.*, 2012).

In this study we analysed molecular sequence data from the nuclear genome to clarify relationships among land plant lineages using novel analytical approaches. We assumed the monophyly of tracheophytes and of each of the three bryophyte lineages; relationships which have been consistently demonstrated (Qiu *et al.*, 2006; Chang & Graham, 2011; Liu *et al.*, 2014; Wickett *et al.*, 2014). We attempted to balance representatives of each bryophyte and tracheophyte lineage, to achieve greater tree symmetry, as asymmetrical trees are less likely to be correctly estimated than symmetrical trees, due to the shorter average branch length, which expands the number of anomalous gene trees (Huang & Knowles, 2009). More balanced sampling among lineages is also likely to minimise the effect of long-branch attraction, which often influences deep phylogenetic relationships (Philippe & Laurent, 1998). We revisited a large published dataset of nuclear loci (Wickett *et al.*, 2014) and implemented complete degenerate recoding of synonymous substitutions to the whole data set. To be able to apply complex and computationally challenging substitution models we also constructed a smaller data set with selected loci (100) and a reduced number of taxa (26). We tested these data using heterogeneous models of substitution that accommodate mutational heterogeneity and showed that analyses using the best-fitting composition models support the monophyly of bryophytes.

## Materials and Methods

### Analyses of Wickett *et al.* data (620 genes, 103 taxa)

The data of Wickett *et al.* (2014), consisting of 620 nuclear genes and 103 taxa were obtained from a public data repository (<http://www.cyverse.org>). The original data matrix (labeled FNA2AA.trim50genes50sites.allPos.unpartitioned.phylip) consisted of 436 077 sites of in-frame coding sequence, after genes missing more than 50% of taxa and sites with more than 50% of gaps were removed. Synonymous vs nonsynonymous substitution rates of the 85 of 620 genes that were 'gapless' were calculated in PAML (v.4.6; Yang, 2007). The concatenated 620 gene data set was recoded with codon-degenerate characters using the script (recode\_matrix.py; Li pers. comm.), which places ambiguity characters at synonymous third codon positions, at first codon positions of amino acids leucine (L) and arginine (R), and at both first and second codon positions of amino acid serine (S), which can be coded with either purines (AG) or pyrimidines (TC) at these positions. All third codon positions were removed from both the original and the recoded matrices (290 718 sites). The amino acid translation matrix (labelled FAA.trim50genes50sites.-clustered.partitioned.phylip) was also obtained. Hence there were three derived data matrices based on the original taxon and gene selection of Wickett *et al.*: (1) original data matrix without third codon positions; (2) original data with codon-degenerate recoding and without third codon positions; and (3) the amino acid translation of the original matrix.

Maximum likelihood bootstrap analyses were conducted on all matrices using RAxML (MPI-compiled v.8.2.8; Stamatakis, 2014) using the 'full' (RAxML notation: -b) bootstrap algorithm and 200 replicates. The original nucleotide data matrix (436 077 sites) was analysed by bootstrapping with a general time-reversible model of substitution (GTR), with a discrete (four categories) gamma distribution of among-site rate variation ( $G_4$ ) with empirical composition values ( $F_{\text{emp}}$ ) and 200 bootstrap replicates (RAxML notation: GTRGAMMA). The data sets without third codon positions (290 718 sites), and the same matrix but with codon-degenerate coding, were analysed by bootstrapping with a GTR +  $G_4$  with the composition estimated via ML( $F_{\text{est}}$ ) (RAxML notation: GTRGAMMAX). The latter data set (no third codon positions, codon-degenerate coding) was also analysed using a GTR model but with the Per Site Rate model (PSR; Stamatakis & Aberer, 2013) (previously named the CAT-rates approximation), each with ML estimated composition frequencies ( $F_{\text{est}}$ ) (RAxML notation: GTRCATX). Analyses of the original and derived matrices were conducted to compare the effect of third codon position removal with the effect of synonymous substitutions, the latter through the use of codon-degenerate recoding that effectively eliminates synonymous substitutions at first and second codon positions. For the concatenated gene protein translation data (145 359 sites), the partitioning scheme calculated by Wickett *et al.* (2014) (nine categories; file labeled: 'PARTITION\_FOR\_W14\_AA\_103t\_145359aa.partition') was used (RAxML notation: -q) with both the  $G_4$  and PSR rate category estimations and  $F_{\text{est}}$  (RAxML

notation: PROTGAMMA<math>\diamond</math>X and PROTCAT<math>\diamond</math>X, where <math>\diamond</math> is an arbitrary model that is ignored) and 100 bootstrap replicates.

### Gene and taxon selection for the reduced data set (100 genes, 26 taxa)

Using non-stationary substitution models for phylogenetic inference requires substantial computational capacity, and it was therefore necessary to reduce the sampling of genes and taxa. We chose to select the genes that had the lowest composition heterogeneity among taxa and the shortest tree lengths, to minimize composition effects and substitutional saturation. Out of the 620 genes in the original nucleotide matrix, we analysed those larger than 500 bp (388 genes), in MrBAYES (v.3.2.6; Ronquist *et al.*, 2012), under the composition homogeneous GTR +  $G_4$  model of nucleotide substitution. Markov-Chain Monte Carlo (MCMC) analyses were run for 500 000 generations, after which a stop rule was employed with the default 0.05 for the average standard deviation of split frequencies (ASDOS). Out of 388 genes, 43 did not converge (ASDOS < 0.05). Composition homogeneity tests of posterior predictive distributions of the chi-squared ( $\chi^2$ ) statistic were conducted using P4 (v.1.2.0; Foster, 2004) and indicated that all 345 genes were significantly nonhomogeneous ( $P < 0.05$ ). Genes were scored for their  $\chi^2$  value of composition homogeneity and for mean tree lengths of sampled trees from the posterior tree distribution, and ranked by both scores. The mean of ranks was used as a final ranking, and the 100 genes with the lowest  $\chi^2$  value and tree lengths were selected.

Taxa were scored in the selected 100 genes for number of genes in which they were present and for the total percentage of missing sites. For each taxon, the absolute %GC deviation from the mean of entire gene alignment composition was also calculated. These values were used, in each of the six main land plant groups, and in the outgroups, to select the most appropriate taxa in order to minimise both %GC deviation and number of missing taxa, resulting in a final list of 26 taxa. The concatenated 100 gene and 26 taxa nucleotide alignment comprised 69 903 sites and the translated amino acid alignment, obtained with the alignment program SEAVIEW (v.4.5.4; Gouy *et al.*, 2009), comprised 23 301 sites. A matrix with complete codon degeneracy was obtained from the concatenated nucleotide alignment. The concatenated amino acid matrix was recoded into Dayhoff amino acid groups (six groups: c, stpag, ndeq, hrk, milv, fyw; Dayhoff *et al.*, 1978) using the program P4. Individual nucleotide and amino acid matrices of the 100 genes were also generated.

### Phylogenetic analyses of the reduced data set (100 genes, 26 taxa)

To assess the effect of synonymous substitutions, both the concatenated nucleotide and the codon-degenerate data matrices of the 100 gene and 26 taxa reduced data set were analysed under the GTR +  $G_4$  +  $F_{\text{est}}$  model of substitution (RAxML notation: GTRGAMMAX), with 300 bootstrap replicates, in RAxML. The nucleotide data alignment was also analysed in PHYLOBAYES

MPI (v.1.6; Lartillot *et al.*, 2009) using the model CAT-GTR +  $G_4$  to assess the effect of among-site composition heterogeneity. To test the effect of data partitioning under maximum likelihood, genes were grouped into partitions using the 'greedy' algorithm in IQTREE (MULTICORE v.1.5.3; Nguyen *et al.*, 2015; Chernomor *et al.*, 2016). A bootstrap analysis with 100 replicates of the nine optimal partitions was performed using IQTREE (see Supporting Information, Fig. S7 for details). We then tested whether the phylogenetic signal obtained from the analyses of nucleotide data differed from the signal obtained from the analyses that use models and data transformations aimed at mitigating the effect of homoplasy due to saturation. These analyses were performed: (1) on nucleotide data under codon models; (2) on amino acid matrices; and (3) on matrices of grouped amino acids. Codon analyses were performed on the 100 gene dataset using IQTREE, with 100 bootstrap replicates using the models GY2K + F3X4 +  $G_4$  and MG2K + F3X4 +  $G_4$ . An optimal model for the concatenated amino acid data set was determined using MODELGENERATOR (v.0.85; Keane *et al.*, 2006). Bootstrap analysis were performed in RAXML under the LG +  $G_4$  +  $F_{est}$  (RAXML notation: PROTGAMMALGX) model, with 300 replicates on both the amino acid and Dayhoff-recoded data sets. The amino acid dataset was also analysed in PHYLOBAYES under the CAT-LG +  $G_4$  model with two parallel MCMC runs.

Bayesian MCMC analyses of individual nucleotide and amino acid data matrices of the reduced 100 genes, 26 taxon set were performed using P4. Nucleotide data were analysed under the GTR +  $G_4$  model of substitution. Models for analysing individual amino acid matrices were inferred in MODELGENERATOR. Each matrix was analysed assuming both composition homogeneity ( $F_{CV1}$ : one composition vector) and heterogeneity ( $F_{CV>1}$ : two or more composition vectors) using the node-discrete composition heterogeneity model (NDCH; Foster, 2004; Cox *et al.*, 2008), which accounts for base-composition differences between branches on a tree.

To assess the effect of composition heterogeneity we analysed the concatenated nucleotide, amino acid, and Dayhoff group matrices with Bayesian MCMC using both tree-homogeneous and tree-heterogeneous composition models. The concatenated and codon-degenerate nucleotide matrices of the 100 gene, 26 taxon set were analysed with Bayesian MCMC using the composition homogeneous model GTR +  $G_4$  +  $F_{CV1}$  and composition heterogeneous NDCH model (GTR +  $G_4$  +  $F_{CV>1}$ ) in P4. The concatenated amino acid alignment was analysed using the composition homogeneous model LG +  $G_4$  +  $F_{CV1}$ , and the Dayhoff-recoded data were analysed under the GTR +  $G_4$  +  $F_{CV1}$  model. Composition heterogeneous NDCH model analyses were conducted on the concatenated amino acid data (LG +  $G_4$  +  $F_{CV>1}$ ) and the Dayhoff-recoded data set (GTR +  $G_4$  +  $F_{CV>1}$ ). A minimum of two runs was performed for each analysis. Run convergence was assessed by estimating ASDOS, which was accepted when lower than 0.05, by plotting the MCMC sample likelihoods, and comparing marginal likelihoods. Effective sample size (ESS) values and acceptances for proposals were estimated and assessed using P4 methods. The fit of the composition models was determined during the MCMC by posterior predictive

simulations of the  $\chi^2$  statistic of composition homogeneity (Foster, 2004). Marginal likelihoods were estimated in P4 following the Eqn 16 method of Newton & Raftery (1994). Bayes factors, which are used to compare the relative adequacy of competing models (Nylander *et al.*, 2004), were estimated from the log-marginal likelihood of analyses using homogeneous (null) and nonhomogeneous (alternative) models, when the alternative model was accepted under posterior predictive simulation. Alternative models that had a high log-Bayes Factors ( $\log_e BF > 10$  units), calculated as  $2 \times (\log_e L(\text{alternative model}) - \log_e L(\text{null model}))$  were considered better-fitting than the homogeneous model. A PHYLOBAYES analysis using the CAT-LG +  $G_4$  model was conducted on the concatenated amino acid data.

Analyses were performed on the CCMAR computational cluster facility GYRA at the University of Algarve or INGRID part of the Infraestrutura Nacional de Computação Distribuída (INCD) in Portugal. Details of each analysis are presented in the legends of Supporting Information Figs S1–S17.

## Results

### Wickett *et al.* nucleotide and amino acid data analyses

The analysis of the 620 gene nucleotide dataset using maximum likelihood resulted in a tree that supports hornworts as the sister group to the remaining land plants with a bootstrap support (BS) of 89% (Fig. S1). The same supported relationship (BS = 98%) is shown when nucleotides at third codon positions are excluded from the data (Fig. S2). This result is concordant with the equivalent analysis of the 620 gene dataset in Wickett *et al.* (2014; their Fig. 2) with third codon positions excluded.

Analysing the 620 gene dataset with codon-degenerate recoded data and excluded third codon positions, using maximum likelihood and the GTR +  $G_4$  model, resulted in trees showing bryophytes as a monophyletic group, albeit with low support (BS = 54%; Fig. S3). Using the GTR + PSR rate model, however, yields a tree that supports the paraphyly of bryophytes (BS = 85%) and showing hornworts as the sister group to all other land plants (Fig. S4). Similarly, differences between rate models were also observed in the maximum likelihood bootstrap analyses of partitioned amino acid data, which identifies hornworts as the sister group to all other embryophytes when the PSR rate model is used (BS = 75%; Fig. S5) but resolves the three bryophyte lineages as a monophyletic group when the  $G_4$  rate model is used (BS = 76%; Fig. S6).

### Reduced nucleotide data set analyses (100 genes, 26 taxa)

None of the 100 individual protein-coding genes (>500 bp) analysed had a stationary homogeneous composition across the tree. Most genes had a best-fitting model with two composition vectors ( $F_{CV2}$ ), and five genes were better fitted by three vectors ( $F_{CV3}$ ). Of the 100 individual amino acid gene translations analysed, 24 were compositionally tree homogeneous, while the remaining protein models required up to six composition vectors ( $F_{CV6}$ ) to fit the data (Table S1).



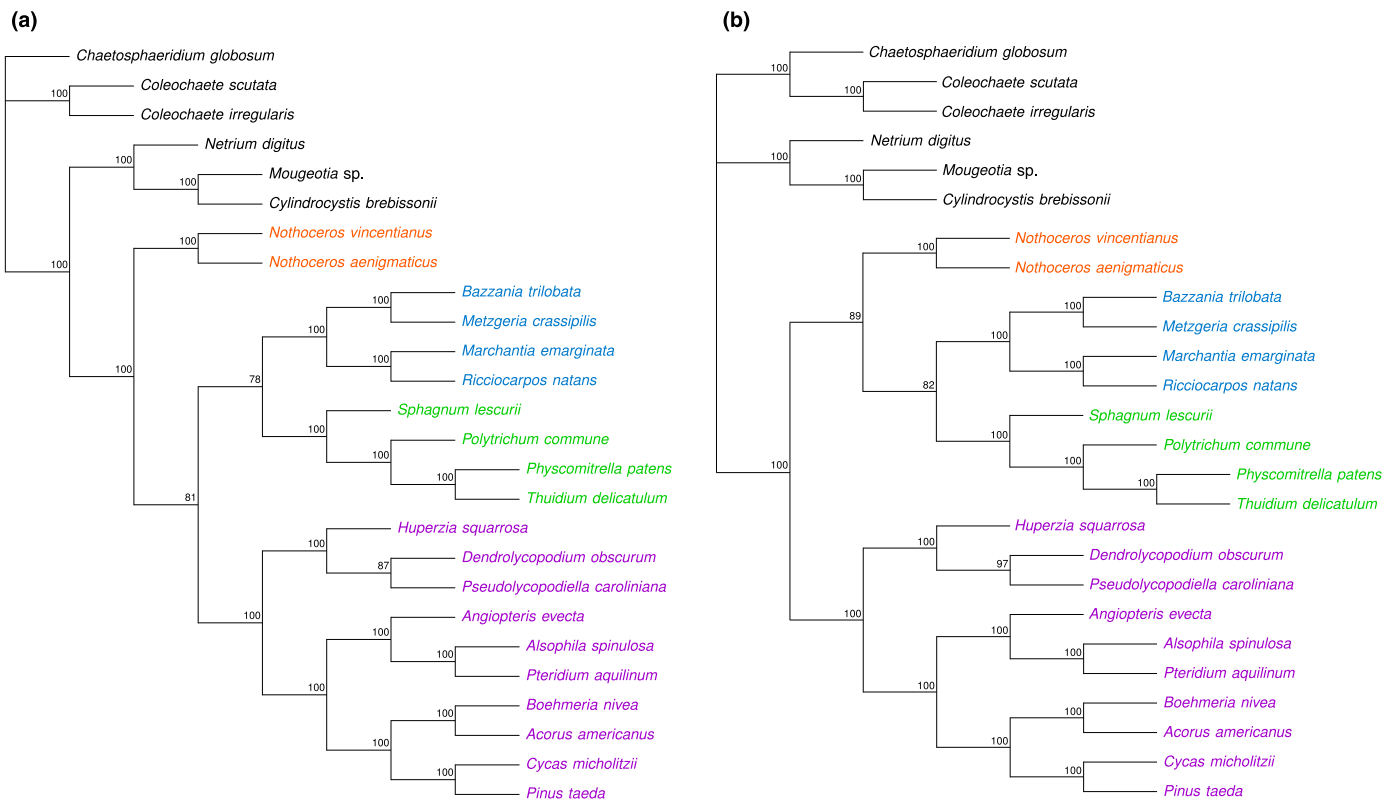
All maximum likelihood analyses of the reduced nucleotide dataset (100 genes, 26 taxa) showed full support (BS = 100%) for the monophyly of embryophytes and of each of its four major lineages (mosses, liverworts, hornworts, vascular plants). When the data were analyzed using the GTR + G<sub>4</sub> model the resulting tree supported hornworts as sister group to the remaining embryophytes (BS = 81%; Fig. 1a). Analysis of the partitioned data using IQTREE also places hornworts as the sister group to the remaining embryophytes with low bootstrap support (BS = 68%; Fig. S7). By contrast, when the data were analyzed using degenerate coding for all synonymous codon positions, the resulting tree showed the three bryophyte lineages forming a well supported monophyletic group (BS = 89%; Fig. 1b).

Bayesian analyses of the reduced nucleotide dataset using both tree-homogeneous (F<sub>CV1</sub>) and tree-heterogeneous NDCH (F<sub>CV2</sub>) composition models show hornworts strongly supported as the sister group to the remaining land plants (PP = 1.0; Figs S8, S9, respectively). Although the two runs of the heterogeneous analysis did not converge, they both recovered the same topology (Fig. S9): here we report only the diagnostic values of the MCMC with the highest likelihood. The model with two composition vectors (F<sub>CV2</sub>) fits the data with a posterior predictive simulation  $\chi^2$  distribution of the composition homogeneity statistic ( $P = 1.0$ ), whereas the homogeneous (F<sub>CV1</sub>) model was rejected ( $P = 0.0$ ). The Bayes factor comparing the composition homogeneous and heterogeneous models strongly supported the

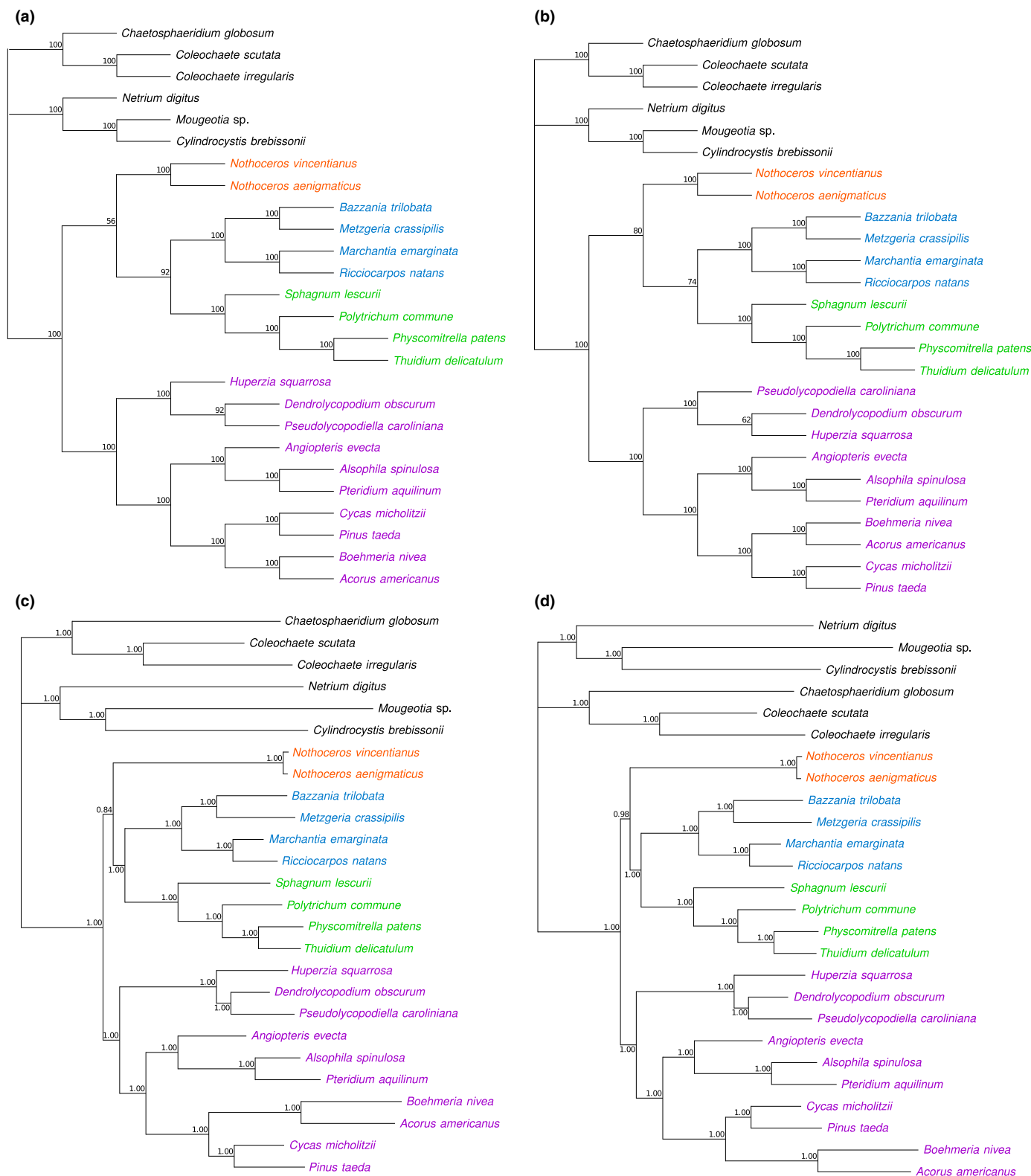
heterogeneous model ( $2\log_e \text{BF} = 9016.7$ ). Bayesian reconstructions using the PHYLOBAYES CAT model resulted in a tree showing mosses as the sister group to other land plants (PP = 0.99; Fig. S10), which contrasts with all other results obtained from the same data. Analyses of the degenerate-recoded data with both a homogeneous (F<sub>CV1</sub>) and heterogeneous model (F<sub>CV2</sub>) showed bryophytes as a monophyletic group with maximum support (PP = 1.0; Figs S11, S12, respectively). Posterior predictive simulations of composition fitted to the data rejected the homogeneous model ( $P = 0.0$ ) but not the heterogeneous model ( $P = 0.99$ ). The Bayes factor strongly favoured the heterogeneous model ( $2\log_e \text{BF} = 961.3$ ). Maximum likelihood bootstrap analyses of the codon-site data using models GY2K and MG2K placed hornworts as the sister group to other land plants with full bootstrap support (BS = 100%; Figs S13, S14, respectively).

### Reduced amino acid data analyses (100 genes, 26 taxa)

Maximum likelihood bootstrap analysis of the amino acid dataset using the LG + G<sub>4</sub> model resulted in a tree showing monophyletic bryophytes but with low bootstrap support (BS = 56%; Fig. 2a). However, a similar analysis with the data recoded into Dayhoff groups resulted in higher bootstrap support for a monophyletic bryophyte clade (BS = 80%; Fig. 2b). Bayesian MCMC analyses of the concatenated amino acid dataset using both tree-homogeneous and NDCH tree-heterogeneous models recovered



**Fig. 1** Majority-rule consensus trees inferred from the 100 gene, 26 taxon concatenated nucleotide data set. (a) Majority-rule consensus tree of maximum likelihood bootstrap analyses (300 replicates) under the GTR + G<sub>4</sub> + F<sub>est</sub> model, (b) the corresponding analysis of codon-degenerated data under the same model. Taxa are indicated as follows: hornworts, orange; liverworts, cyan blue; mosses, light green; tracheophytes, violet.



**Fig. 2** Majority-rule consensus trees inferred from the 100 gene, 26 taxon concatenated amino acid data. (a) maximum likelihood bootstrap with 300 replicates under the model LG + G<sub>4</sub> + F<sub>estr</sub>, (b) maximum likelihood bootstrap analysis with 300 replicates of the Dayhoff-recoded data with under the model GTR + G<sub>4</sub> + F<sub>estr</sub>, (c) Bayesian MCMC of the amino acid data with a composition homogeneous model LG + G<sub>4</sub> + F<sub>CV1</sub>, marginal likelihood: L<sub>h</sub> = 441823.4926, (d) Bayesian MCMC of the amino acid data with a heterogeneous NDCH composition model LG + G<sub>4</sub> + F<sub>CV5</sub>, marginal likelihood: L<sub>h</sub> = 441066.4929. Taxa are indicated as follows: hornworts, orange; liverworts, cyan blue; mosses, light green; tracheophytes, violet.

the bryophytes as monophyletic (Fig. 2c,d, respectively). However, whereas the poor-fitting ( $\chi^2=0.0$ ) homogeneous model showed low support (PP = 0.84; Fig. 2c), the best-fitting NDCH composition model ( $F_{CV5}$ ) had a highly significant posterior probability for monophyletic bryophytes (PP = 0.98; Fig. 2d). The Bayes factors comparing the tree-homogeneous and tree-heterogeneous composition models strongly favoured the latter model ( $2\log_e \text{BF} = 1513.9$ ). Bayesian MCMC of the Dayhoff-recoded dataset resolved bryophytes as monophyletic with full branch support under both homogeneous and heterogeneous models (PP = 1.0, Figs S15, S16, respectively). Posterior predictive simulations of the composition rejected the homogeneous model ( $F_{CV1}$ ;  $P = 0.0$ ) and supported a model with two composition vectors ( $F_{CV2}$ ;  $P = 0.99$ ). Similarly, the Bayes factor strongly favoured the heterogeneous over the homogeneous model ( $2\log_e \text{BF} = 400.5$ ). A PHYLONIA analysis of the amino acid data using the model CAT-LG +  $G_4$  also yielded a tree that supported the monophyly of bryophytes (PP = 0.99; Fig. S17).

## Discussion

### The effect of degenerate-codon re-coding on fast-evolving nucleotide data

The recoding of nucleotide alignments with codon-degenerate ambiguity codes negates the effect of not only synonymous substitutions at third codon positions, but also those at second and first codon positions in L, R and S codons, while still retaining those nonsynonymous substitutions that are eliminated by the common practice of deleting third codon positions. Synonymous substitutions experience less selection than nonsynonymous substitutions and have previously been shown to range between 2–40 $\times$  faster than nonsynonymous substitutions in nuclear genes (Yang & Nielsen, 1998). In both the 620 and 100 gene datasets analysed here, synonymous substitutions occurred a mean of  $c. 12.5\times$  ( $\omega = d_n/d_s = c. 0.08$ ) faster than nonsynonymous substitutions, ranging between 3–400 $\times$  ( $\omega = d_n/d_s = 0.3492\text{--}0.0025$ ) and 6–300 $\times$  ( $\omega = d_n/d_s = 0.1742\text{--}0.0033$ ) faster in the 620 and 100 gene datasets respectively (see Notes S1 and S2). Homologous sites among taxa at which synonymous substitutions occur are therefore more likely to exhibit substitution saturation and hence character homoplasy across the phylogeny, which is compounded by convergent compositional biases due to different mutation pressures among taxa (Cox *et al.*, 2014).

Codon-degenerate recoded nucleotide data resulted in inferred topologies that differed from those obtained from complete alignments and from alignments with all third codon positions removed. Simply excluding third codon positions from the 620 gene dataset recovered hornworts as the sister group to the remaining embryophytes (Fig. S2), as reported by Wickett *et al.* (2014, their Fig. 2). However, when the L, R and S synonymous codons (which include synonymous substitutions at first and second codon positions) are recoded with ambiguity codes (i.e. codon-degenerate recoding), in addition to the exclusion of third codon positions, the resulting tree shows bryophytes as monophyletic (Fig. S3). This results indicated that although most

saturated sites occur at third codon positions, the effect of synonymous substitutions at first and second codon positions in L, R, and S amino acids is enough to alter tree topologies, even in large datasets. Similarly, maximum likelihood analyses of the nucleotide 100 gene, 26 taxon dataset supported hornworts as the sister group to the remaining land plants (Fig. 1a), but when the data are codon-degenerated the same analyses resulted in a monophyletic bryophytes (Fig. 1b). Although these results by themselves do not negate the support for the hornworts as the sister lineage to the remaining land plants in the nucleotide data, they do suggest that that support is due entirely to the faster-evolving synonymous substitutions that are problematic to the model due to increased rates of substitution and the accumulation of composition biases.

### The importance of using nonstationary substitution models

In this study we analysed a 100 protein-coding gene and 26 taxon dataset obtained from a larger previously published 620 gene, 103 taxon dataset of nuclear gene sequences. This reduced dataset was generated so that evolutionary models that account for composition heterogeneity could be used, but which are computationally intractable on larger datasets. Such a methodology is based on the supposition that modeling the substitution process is an equally important part of the practice of phylogenetics as is taxon sampling. In the era of next-generation sequencing techniques and the ease of obtaining vast amounts of comparative sequence data, it can be argued that taxon sampling is no longer the limiting factor in phylogenetic systematics, but rather it is our ability to model the complexity of the evolutionary process. Indeed, adequate taxon sampling is not dependent merely on numbers of taxa but rather upon a judicious taxon sampling needed to address the specific relationships the analyses are aimed at resolving (Cox *et al.*, 2014). For instance, if the analyses are aimed at resolving relationships among the three bryophyte groups, then it is more important to sample lineages that represent temporally sparse phylogenetic splits in each bryophyte group, such as the moss genera *Takakia* and *Andreaea*, than it is to sample densely within evolutionarily derived taxa such as the speciose pleurocarpous moss group Hypnanae. Including many such taxa would be superfluous while limiting the complexity of the models that can be used, due to computational constraints. A balance needs to be made between data set size and model complexity and, if analyses with large taxon samples can only apply simplified models that ignore heterogeneity and fit the data poorly, they should be treated with due skepticism.

The criteria used to select taxa and genes for the reduced (100 genes, 26 taxa) data set were aimed at decreasing the effect of biological sources of phylogenetic incongruence such as elevated rates of substitution, by preferring shorter gene trees, and at minimising composition heterogeneity among taxa. Nevertheless, the synonymous to nonsynonymous substitution rate of the 100 chosen genes ranged from 6–300 $\times$ , indicating that our selection procedure had little effect on limiting the influence of the fast-evolving synonymous substitutions on the analyses, compared with the full 620 gene data set. Moreover, the selected data that

comprised the reduced data set were not composition homogeneous even if the amount of heterogeneity was reduced: posterior predictive distribution of the  $\chi^2$  of composition homogeneity  $P=0.0$  (Fig. S8). Indeed, despite our attempts to reduce possible sources of phylogenetic artifacts, our reduced data set had very similar analytical characteristics as the full 620 gene data set.

Use of better-fitting composition heterogeneous models did not alter the inferred topology or the support, compared with homogeneous models, when analysing either the nucleotide or codon-degenerate alignments, although the former supported hornworts as the sister group to all land plants, whereas the latter a monophyletic bryophytes (Fig. S8 vs Fig. S9  $2\log_e$  BF = 9016.7151 and Fig. S11 vs Fig. S12  $2\log_e$  BF = 961.2782, respectively). Among-lineage composition heterogeneity is present in the nucleotide data but its modeling has no influence on the phylogenetic result, indicating there are other processes that have a larger and overwhelming impact on the analyses. By contrast, when analysing the more slowly evolving amino acid data, using a better-fitting composition heterogeneous model does increase branch support for a monophyletic bryophyte group significantly (PP = 0.98, Fig. 2d), compared with the homogeneous model (PP = 0.84, Fig. 2c). We speculate that, because amino acids have a greater number of potential identities ( $n=20$ ) when compared with nucleotides ( $n=4$ ), there is greater potential for variation in among-lineage composition heterogeneity and therefore modeling composition biases has a greater effect on amino acid data.

### Implications of the study for understanding the evolution of land plants

Composition heterogeneity in nuclear land plant molecular data has been shown to affect the inference of phylogenetic relationships in analyses of poorly fitting homogeneous (stationary) composition models. Indeed, the best-fitting composition models found for the nucleotide data, the codon-degenerate nucleotide data, and the amino acid data, were all heterogeneous, indicating that any analyses of these data under homogeneous composition models is highly questionable. Analyses of the codon-degenerate nucleotide data and the amino acid data using the best-fitting nonstationary composition models resolve the bryophytes as monophyletic group with high branch support. Our results from nuclear protein-coding gene data provide compelling evidence that the three lineages of bryophytes, mosses, liverworts, and hornworts, form a monophyletic group and thereby share a common ancestor to the exclusion of tracheophytes. This hypothesis implies that the first phylogenetic split among land plants was between the bryophytes and tracheophytes, rather than the tracheophytes being derived from bryophyte ancestors, which has been the prevailing theory. These results are congruent with recently published studies of chloroplast (Nishiyama *et al.*, 2004; Cox *et al.*, 2014) and nuclear (Puttick *et al.*, 2018) protein-coding genes that favour the monophyly of bryophytes over other possible resolutions of the land plant phylogeny (Cox *et al.*, 2014; Puttick *et al.*, 2018). In addition, the Setaphyta (Puttick *et al.*,

2018), the clade consisting of mosses and liverworts, is recovered in all but one analysis. The study of Puttick *et al.* (2018), which also re-analysed the amino acid data of Wickett *et al.* (2014), strongly favoured the monophyly of bryophytes, the clade being highly supported in several analyses including supertree analyses from gene trees and composition heterogeneous analyses of Dayhoff groups. However, using a reduced low-heterogeneity dataset and a jack-knife approach, the alternative topologies that place hornworts either as the sister group to the other embryophytes or as the sister group to the tracheophytes could not be rejected. Here, we focus instead on direct comparisons between analyses of nucleotide, codon-degenerate nucleotide, and amino acid data of the same 100 gene dataset, and between inferences under composition tree-homogeneous and tree-heterogeneous models, showing that when codon degeneracy and nonstationary models are used, inferences from both nucleotide and amino acid data converge on the same topology, supporting the monophyly of bryophytes. Indeed, the explanation that incongruence between analyses of nucleotide protein-coding gene data and their amino acid translations is due to fast-evolving (and therefore unreliable) synonymous substitutions was also given for similar incongruences among analyses of land plant chloroplast data; data that were also shown to best support a monophyletic bryophytes (Cox *et al.*, 2014). Consequently, the hypothesis that bryophytes are monophyletic is now better supported than alternatives indicating bryophyte paraphyly.

A common origin of bryophytes has profound implications for the way that land plant evolution is understood. For instance, it challenges the fundamental idea that the bryophyte life cycle, in which the gametophyte is the dominant vegetative stage and nurtures an unbranched sporophyte, is ancestral to land plants (Haig, 2008). Indeed, although the haplobiontic life cycles (with dominant gametophytes and zygotic meiosis) of the charophyte algal ancestors of land plants imply that the gametophyte of the land plant ancestor was multicellular, given the monophyly of both bryophytes and tracheophytes, it is possible that the sporophyte of the ancestor of land plants was branched, and maybe even the dominant phase of the life cycle as in tracheophytes. In such a case, the unbranched sporophyte of the bryophytes would represent a reduction from the more elaborate ancestral sporophyte. Moreover, assuming homology between the retention of the meiotic zygotes in the oogonia of the haploid phase of such charophytes as *Chara* ssp. and the nurturing of the sporophyte by the haploid gametophyte of bryophytes, the ancestor of land plants likely had a sporophyte attached to, or nourished by, the gametophyte. However, if this assumption of homology is incorrect, the most recent common ancestor of land plants may have had independent gametophytes and sporophytes that were near-isomorphic, or with either phase being dominant, and the dependence of the sporophyte upon the gametophyte may be a derived character of the bryophyte lineage. Another corollary to the acceptance of bryophyte monophyly over other evolutionary scenarios is that the presence of stomata is likely a synapomorphy of all embryophytes and present in the ancestral sporophyte of all



land plants, and subsequently lost in the liverwort lineage. Earlier phylogenetic hypotheses that placed liverworts as the sister group to all other embryophytes implied that stomata arose in the embryophyte lineage after the divergence of liverworts.

### Taxonomy of a monophyletic bryophytes

The clade uniting all three bryophyte lineages should be referred to by its formal name in accordance with taxonomic precedence. The name *Bryophyta sensu lato* has been used informally to refer to all bryophytes (Cronquist *et al.*, 1966; Whittaker, 1969), but using it as a formal name creates ambiguity with *Bryophyta sensu stricto*, which pertains only to mosses (Goffinet & Buck, 2013; Ruggiero *et al.*, 2015). The name 'Bryobiotina' has previously been proposed for a subkingdom encompassing all three bryophyte lineages (Campbell, 1891). However, assigning the rank of subkingdom to the bryophytes is problematic, as there are several unranked taxa within the kingdom Plantae, such as Streptophyta and Embryophyta, that include the bryophytes. Furthermore, the sister lineage to all bryophytes, Tracheophyta, is also an unranked taxon. We propose that the previously used division (phylum) name *Bryophyta* Schimp. (1879) be used for the clade containing mosses, liverworts, and hornworts. This will give taxonomic symmetry to the land plant classification with the first split being between the Tracheophyta and *Bryophyta*. Schimper originally used the name *Bryophyta* to describe both the mosses and liverworts (which at the time included the hornworts). More recently, the name *Bryophyta* Schimp. has been restricted in use to the mosses alone (e.g. Goffinet *et al.*, 2009), with the liverworts (Marchantiophyta Stotler & Crand.-Stotl.) and hornworts (Anthocerotophyta Rothm. Stotler & Crand.-Stotl.) recognised as separate divisions. The elevation of the three bryophyte lineages to individual divisions was done presumably to reflect the concept of the paraphyly of bryophytes. If the monophyly of bryophytes is to be recognised it seems now prudent to de-rank the hornworts, liverworts and mosses, to the classes Anthocerotopsida, Marchantiopsida, and Bryopsida respectively, and classify the bryophytes as a whole as *Bryophyta*.

### Acknowledgements

This study was funded by FCT (Portuguese Foundation for Science and Technology) through project grant PTDC/BIA-EVF/1499/2014 to CJC and institutional grant CCMAR/Multi/04326/2013, and by the NERC (Natural Environment Research Council, UK) grant NE/N002067/1 to PD and HS. We also wish to thank the Portuguese Infraestrutura Nacional de Computação Distribuída for access to their High Performance Computing infrastructure (INGRID).

### Author contributions

CJC, PGF, PCJD and HS conceived the study. FS and CJC performed analyses. FS, PGF, PCJD, HS and CJC wrote the paper.

### ORCID

Cymon J. Cox  <http://orcid.org/0000-0002-4927-979X>  
Philip C. J. Donoghue  <http://orcid.org/0000-0003-3116-7463>  
Peter G. Foster  <http://orcid.org/0000-0003-0194-9237>  
Harald Schneider  <http://orcid.org/0000-0002-4548-7268>  
Filipe de Sousa  <http://orcid.org/0000-0003-4681-8951>

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## Supporting Information

Additional Supporting Information may be found online in the Supporting Information section at the end of the article:

**Fig. S1** Reanalyses of the 620 genes, 103 taxa nucleotide dataset, RAxML full bootstrap, GTRGAMMA, 200 replicates.

**Fig. S2** Reanalyses of the 620 genes, 103 taxa nucleotide dataset without 3rd-codon positions, RAxML full bootstrap, GTRGAMMAX, 200 replicates.

**Fig. S3** Reanalyses of the 620 genes, 103 taxa nucleotide dataset, codon-degenerate without 3rd-codon positions, RAxML full bootstrap, GTRGAMMAX, 200 replicates.

**Fig. S4** Reanalyses of the 620 genes, 103 taxa nucleotide dataset, codon-degenerate without 3rd-codon positions, RAxML full bootstrap, GTRCATX, 200 replicates.

**Fig. S5** Reanalyses of the 620 genes, 103 taxa amino acid dataset, Partitioned RAxML full bootstrap, PROTCAT(X), 100 replicates.

**Fig. S6** Reanalyses of the 620 genes, 103 taxa amino acid dataset, Partitioned RAxML full bootstrap, PROTGAMMA(X), 100 replicates.

**Fig. S7** Analyses of the 100 genes, 26 taxa nucleotide dataset, Partitioned IQTREE ML bootstrap (greedy) analysis, with 100 replicates.

**Fig. S8** Analyses of the 100 genes, 26 taxa nucleotide dataset, Bayesian P4 MCMC, GTR + Gamma, homogeneous composition (CV1).

**Fig. S9** Analyses of the 100 genes, 26 taxa nucleotide dataset, Bayesian P4 MCMC, GTR + Gamma, heterogeneous composition (CV2).

**Fig. S10** Analyses of the 100 genes, 26 taxa nucleotide dataset, PHYLOBAYES MCMC, CAT-GTR + Gamma.

**Fig. S11** Analyses of the 100 genes, 26 taxa codon-degenerate nucleotide dataset, Bayesian P4 MCMC, GTR + Gamma, homogeneous composition (CV1).

**Fig. S12** Analyses of the 100 genes, 26 taxa codon-degenerate nucleotide dataset, Bayesian P4 MCMC, GTR + Gamma, heterogeneous composition (CV2).

**Fig. S13** Analyses of the 100 genes, 26 taxa nucleotide dataset, Codon analysis, IQTREE ML bootstrap, GY2K + F3X4 + G, 100 replicates.

**Fig. S14** Analyses of the 100 genes, 26 taxa nucleotide dataset, Codon analysis, IQTREE ML bootstrap, MG2K + F3X4 + G, 100 replicates.

**Fig. S15** Analyses of the 100 genes, 26 taxa Dayhoff amino acid group dataset, Bayesian P4 MCMC, GTR + Gamma, homogeneous composition (CV1).

**Fig. S16** Analyses of the 100 genes, 26 taxa Dayhoff amino acid group dataset, Bayesian P4 MCMC, GTR + Gamma, heterogeneous composition (CV2).

**Fig. S17** Analyses of the 100 genes, 26 taxa amino acid dataset, PHYLOBAYES MCMC, CAT-LG + Gamma.

**Notes S1** Calculation of nonsynonymous/synonymous substitution rates for 85 genes from the 620 gene data set.

**Notes S2** Calculation of nonsynonymous/synonymous substitution rates for 35 genes from the 100 gene data set.

**Table S1** The list of 100 nuclear genes showing the sequence length, number of taxa and the number of composition vectors that fits the data for both nucleotide (nt) and amino acid (aa) alignments.

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